

# ChiNorBC project

## Emission Workshop Summary



9<sup>th</sup> December 2020



Norwegian  
Environment  
Agency



NIPH °CICERO



The establishment of a BC/OC inventory is an important output in the Chinese-Norwegian Project on Emission, Impact, and Control Policy for Black Carbon and its Co-benefits in Northern China (ChiNorBC) project. An Emission Workshop (WS) with international participation was therefore held already back-to-back with the Kick-off meeting of the project on December 9<sup>th</sup> 2020. This report constitutes the proceeding from the WS. It contains a short summary of the WS as well as the meeting agenda and the presentations given.

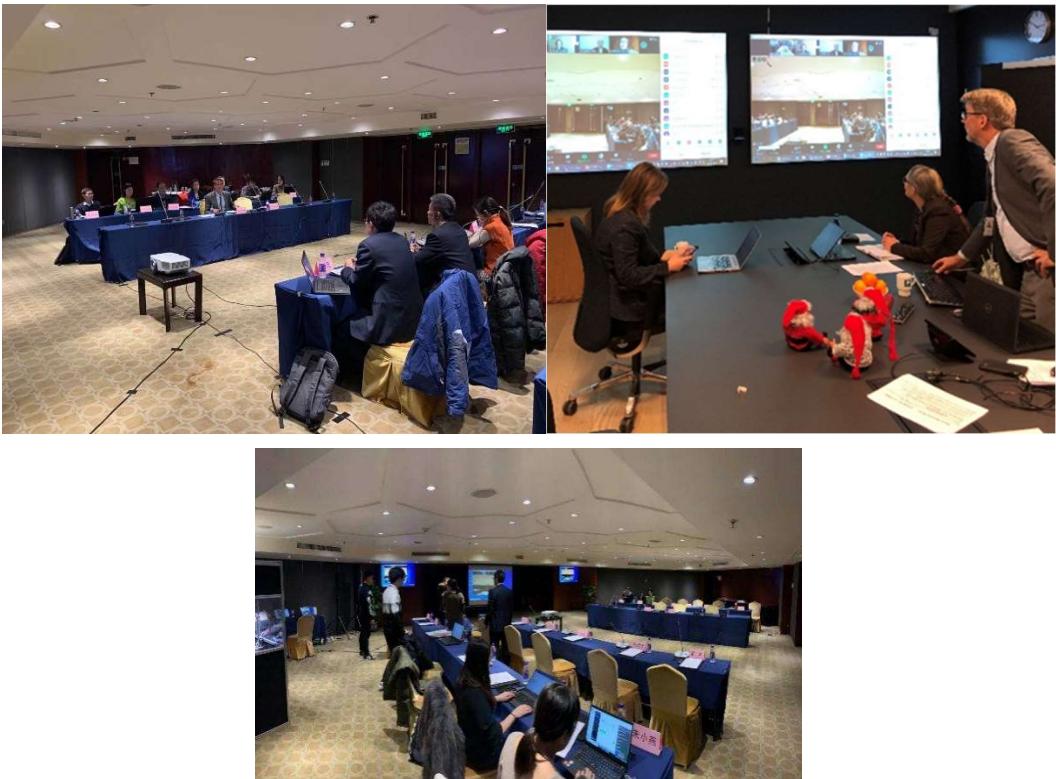
BC/OC emissions data in northern China have been published by different institutions and are often inconsistent in total emission or specific sectors due to substantial differences in the emission factors chosen, activity levels recognized, and methodologies applied. These inconsistent results often lead to misunderstanding and confusion and add difficulty to identify major emissions sources and formulate policy. For this reason, a more comprehensive and convincing BC/OC emissions inventory should be developed for northern China to provide scientific data support for the formulation and implementation of policies by incorporating new emissions factors derived from measurements, investigated activity levels, and improved methodology. According to available literature, residential and mobile source sectors are among the most important sectors in emissions and uncertainty, which calls for an intensive study on these two sectors.

The ChiNorBC project was established between China and Norway to specifically addressed these two sectors. This project focuses on improving the knowledge and capability of China to conduct scientific assessments that co-benefit air pollution control and climate change and to provide support in policy making for reducing BC/OC emissions from multiple aspects (emissions inventories, air quality, climate change, health, and policies). The project results will significantly contribute to improve the Chinese Government's capacity for environmental management. Furthermore, developing a strategy for BC/OC co-control will greatly benefit reductions in regional and national air pollutant emissions and improve air quality. To

read more about the ChiNorBC project please have a look at the project web site,  
<http://chinorbc.net/>

On December 9<sup>th</sup>, 2020, the kick-off meeting of the international cooperation project Chinese-Norwegian Project on Emission, Impact, and Control Policy for Black Carbon and its Co-benefits in Northern China (ChiNorBC) was held. More than 20 experts and governmental officials from the competent departments and project group of both China and Norway attended the meeting. After the kick-off meeting, an Emission WS was held. Experts from within the project and outside experts from Tsinghua University and IIASA introduced the current status of BC emissions in China and relevant research results. These experts pointed out the adverse impacts of black carbon on air pollution and climate change and showed how different control strategies could reduce the emissions (WS agenda attached). The experience of Norway and Europe to control black carbon will serve as a reference in the project for China to carry out relevant scientific and policy research. After this workshop, more data, including emission factors and activity levels, will be investigated and a BC/OC emission inventory of northern China will be developed. For northern China, the emission inventory will be given a higher temporal/spatial resolution.





Photos from the virtual kick-off meeting and the Emission WS

## Attachment: Workshop Agenda

<b>Part 2: Technical workshop on emission inventories for China and co-benefits of black carbon mitigation</b>		
Time: 17:25-19:30 / 10:25-12:30		
<b>Moderator: Vigdis Vestreng, Time keeper: Tor Skudal (NEA), Rapporteur: CRAES</b>		
17:30-19:10/ 10:30-12:10	Perspectives on emission inventories for China and co-benefits of black carbon mitigation	<b>17:25-17:30</b> , Welcome and purpose of WS. Dr. Vigdis Vestreng, NEA <b>1.17:30-17:50</b> , Black carbon emissions in China – opportunities for mitigation and co-benefits. Mr. Zbigniew Klimont, Senior Researcher, IIASA <b>2.17:50-18:10</b> , Anthropogenic emission trend of black carbon in China. Dr. Xing Jia, Tsinghua University <b>3.18:10-18:25</b> , Asian air pollution levels simulated with the CEDS and ECLIPSEv6 emission inventories”. Dr. Marianne Tronstad Lund, Senior Researcher, CICERO <b>4.18:25-18:40</b> , Estimates of health impacts of air pollution in China in the ECLIPSEv6 scenarios, Dr. Shilpa Rao, Senior Researcher, NIPH <b>5.18:40-18:55</b> : On the UNEP-China SLCPs report. Prof. Zhi Guorui, CRAES <b>6.18:55-19:10</b> , Diesel engine/vehicle emission prevention and control in China. Prof. Wang Yanjun, CRAES
19:10-19:30 / 12:10-12:30	Discussion and summing up	Vigdis Vestreng, NEA / Meng Fan, CRAES
	Dinner	

# Black carbon emissions in China

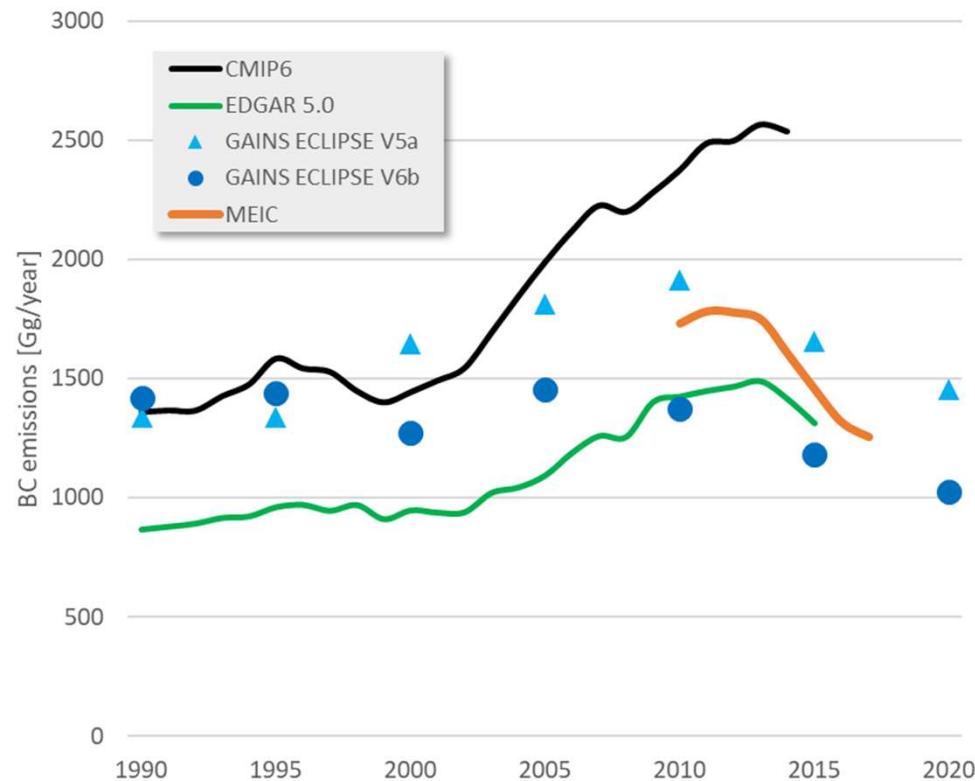
## *Opportunities for mitigation and co-benefits*

Zbigniew Klimont

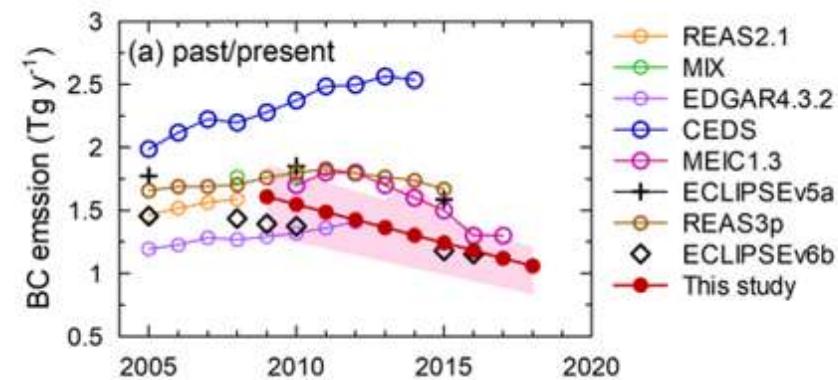
International Institute for Applied Systems Analysis (IIASA)

Chinese-Norwegian Project on Emissions, Impacts, and Control Policy for Black Carbon and its Co-benefits; kick-off meeting, December 9, 2020

# Comparison of China BC emission estimates



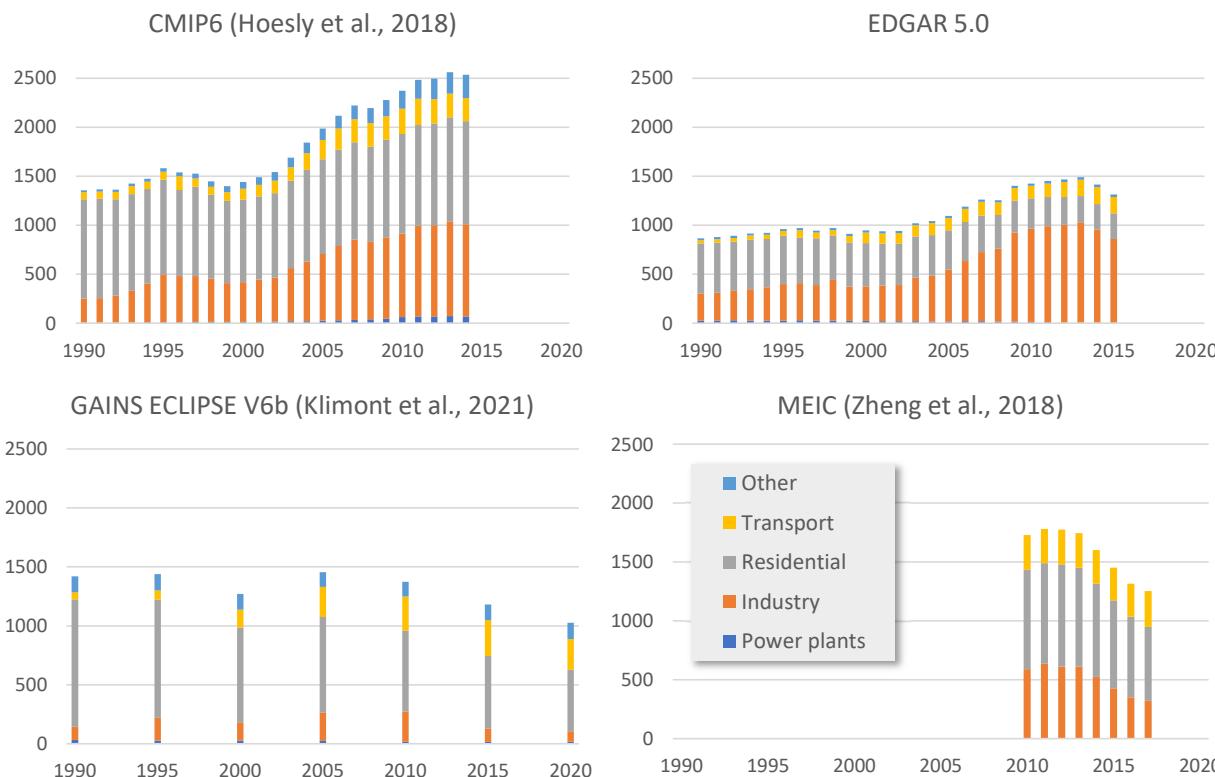
CMIP6 (Hoesly et al., 2018); MEIC (Zheng et al., 2018);  
 EDGAR 5.0 ([https://edgar.jrc.ec.europa.eu/overview.php?v=50\\_AP](https://edgar.jrc.ec.europa.eu/overview.php?v=50_AP));  
 ECLIPSE V5a (Klimont et al., 2017) – residential coal (MEIC);  
 ECLIPSE V6b (Klimont et al., 2021) – residential coal (IEA)



Kanaya et al. (2020) Rapid reduction of black carbon emissions from China based on 2009–2019 observations from Fukue Island, Japan

# Comparison of China BC emission estimates (2)

## [Gg/year]

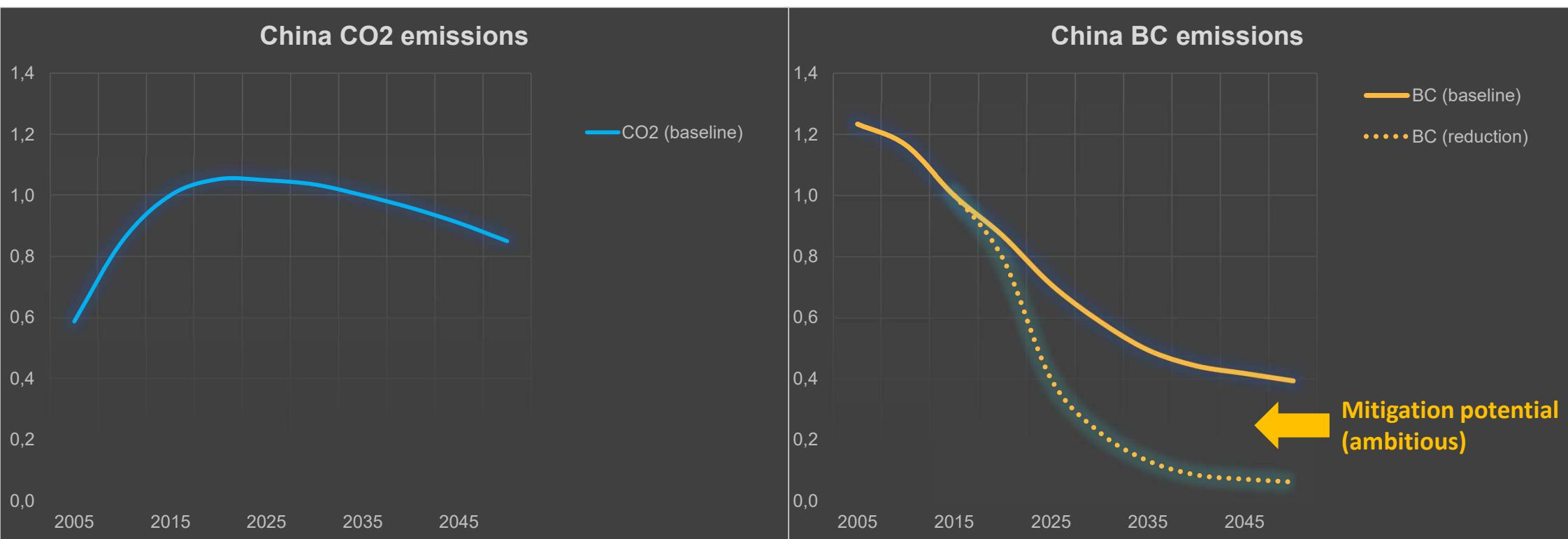


....at least transport looks fairly consistent!

### Issues:

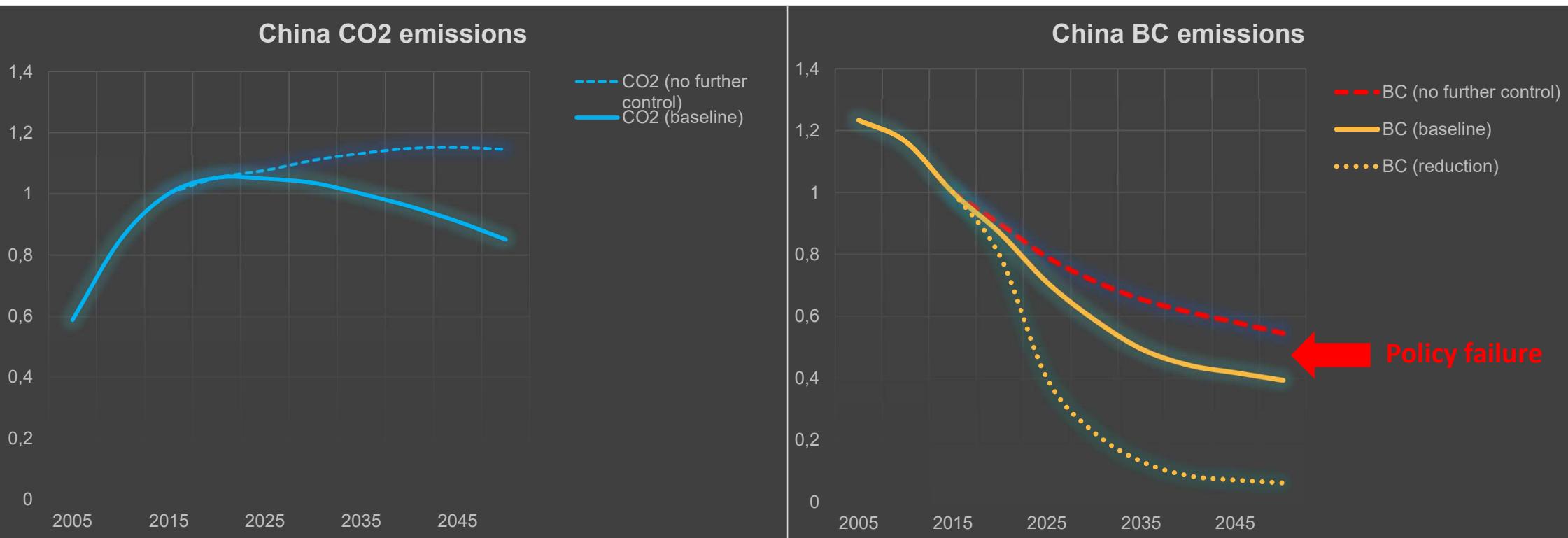
- Industry
  - Coke ovens emission factors
  - Small coal boilers emission factors
  - Industrial coal use in recent years
- Residential coal and wood statistics
- Inclusion and activity for waste burning

# Emission projections and mitigation potential; *(Changes relative to 2015)*



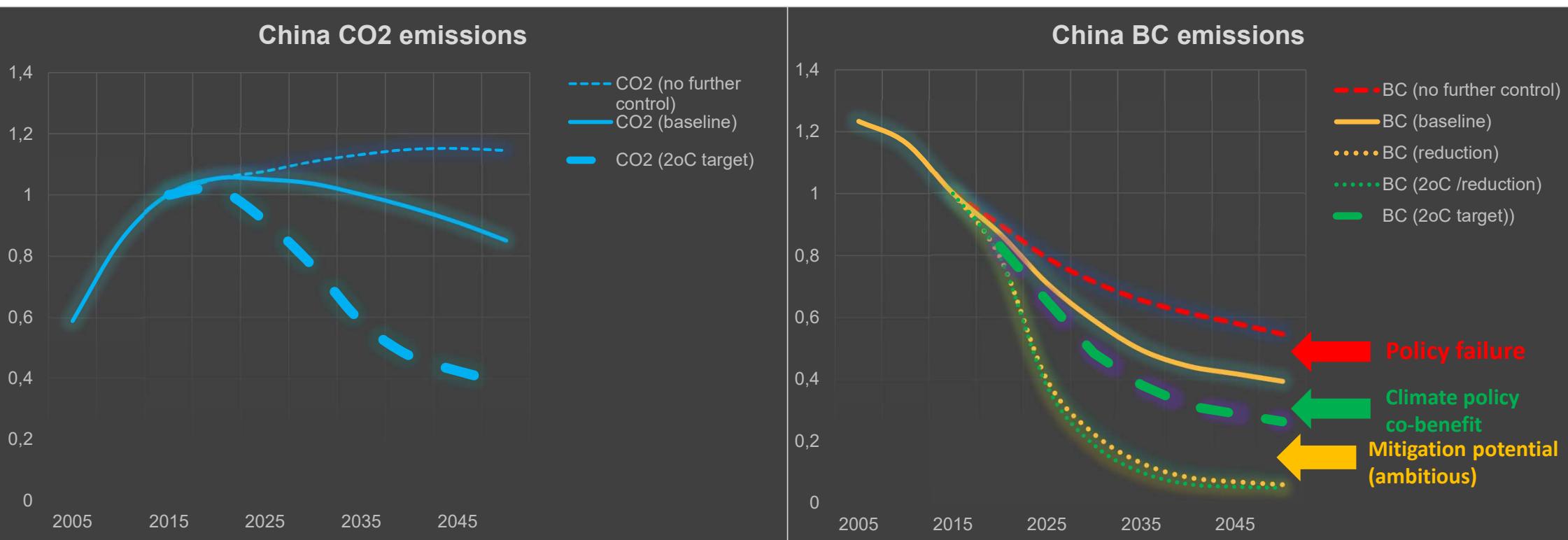
Source: GAINS model (IIASA); scenario: ECLIPSE V6b (CLE, MFR); Klimont et al. (2021)

# Emission projections and mitigation potential *(Changes relative to 2015)*



Source: GAINS model (IIASA); scenario: ECLIPSE V6b (CLE, NFC, MFR); Klimont et al. (2021)

# Emission projections and mitigation potential *(Changes relative to 2015)*



Source: GAINS model (IIASA); scenario: ECLIPSE V6b (CLE, NFC, MFR, SDS, SDS-MFR); Klimont et al. (2021)

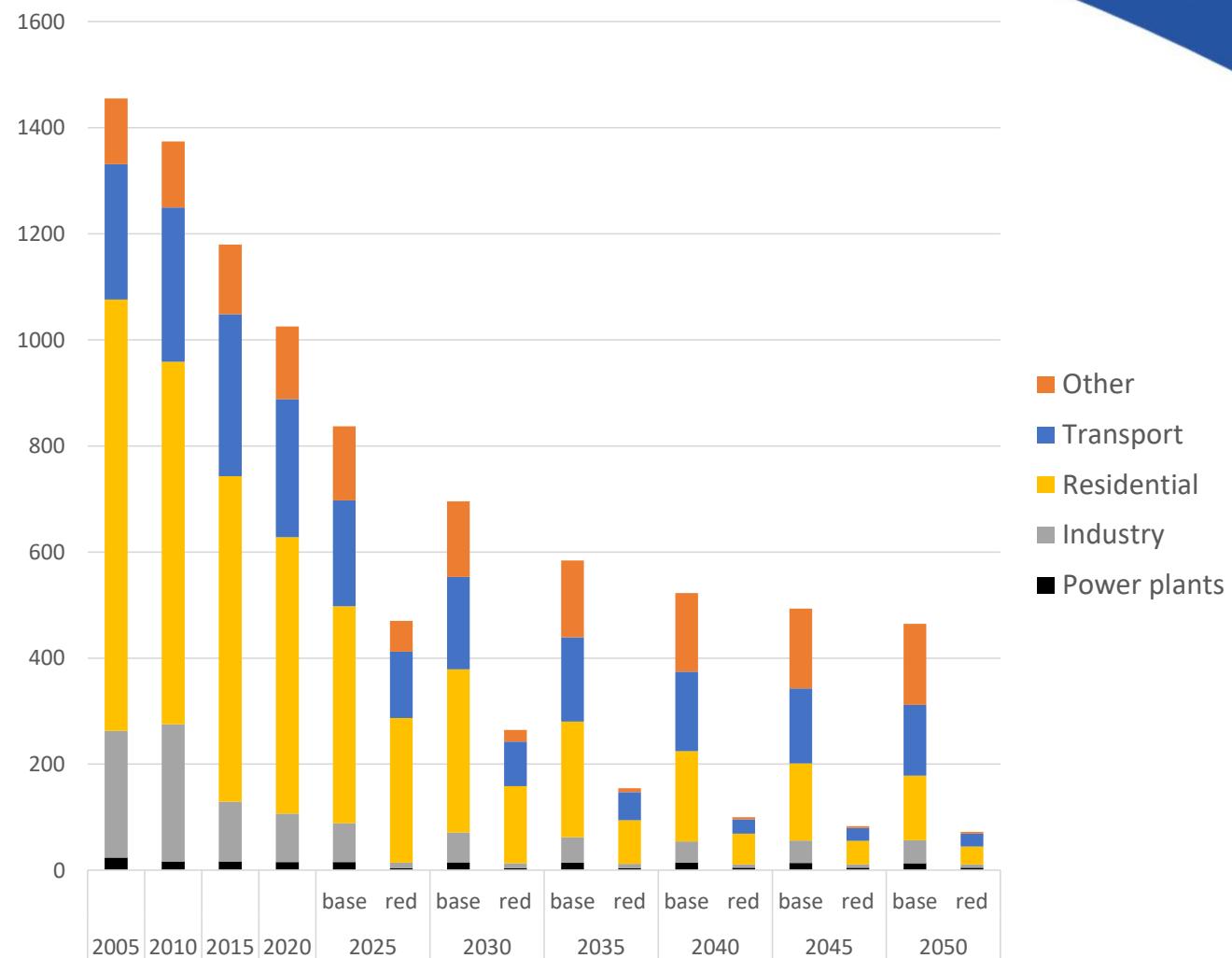
# Emission projections and mitigation potential [Gg]

## Baseline (*base*)

- Continued reduction in residential and transport
- Waste sector lags behind and becomes a major source

## Mitigation (*red*)

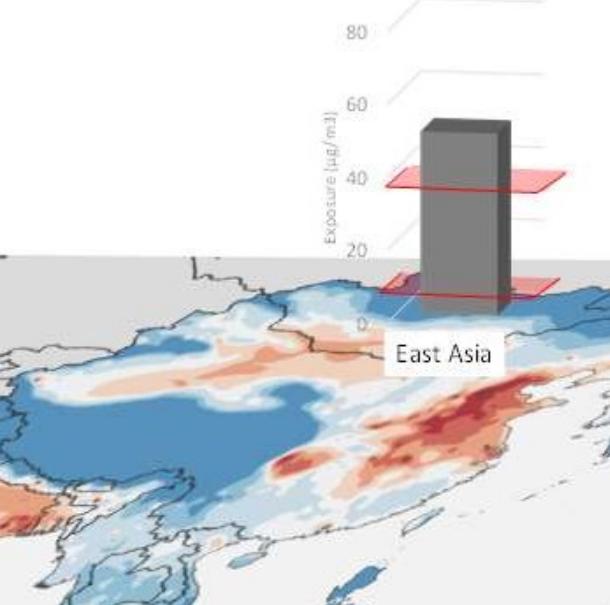
- Strict policies in waste sector
- Further strengthening and enforcement in transport
- Accelerated reductions in use of solid fuels for heating and cooking



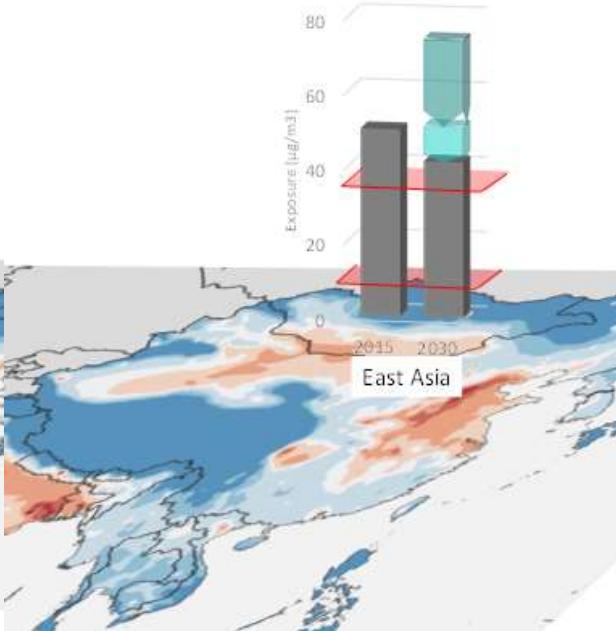
Source: GAINS model (IIASA); scenario: ECLIPSE V6b (CLE, MFR); Klimont et al. (2021)

# PM<sub>2.5</sub> concentrations and mean population exposure in East Asia

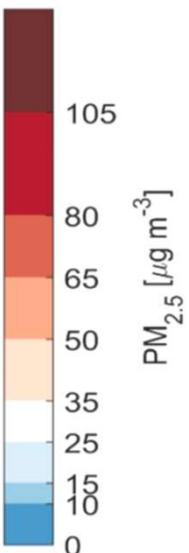
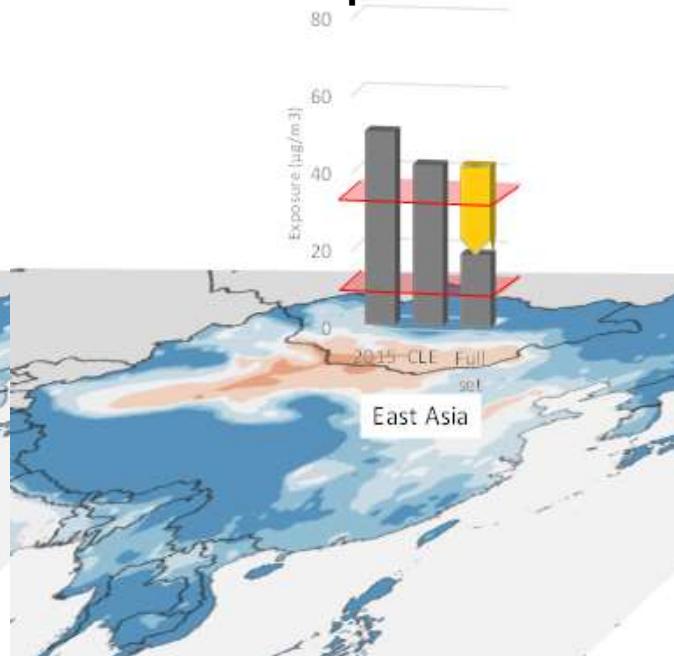
2015



2030 - CLE



2030 – Further policies



- Avoided by current measures
- Avoided through recent legislation
- Residual/actual concentrations

- Potential for further improvements
- Residual/actual concentrations



AIR POLLUTION IN ASIA AND THE PACIFIC:  
SCIENCE-BASED SOLUTIONS

UNEP  
CCAC

Source: GAINS model (IIASA); "Science-based solutions, UNEP/CCAC, 2018"

# BC reduction shall be part of policies addressing energy efficiency, air pollution, SDGs, resulting in multiple benefits

Mean population exposure to PM<sub>2.5</sub>



	Climate forcers	SDG benefits		
		CO <sub>2</sub>	CH <sub>4</sub>	BC
<i>Current legislation relative to 2015*</i>	+16%	+17%	-24%	
Conventional controls relative to 2030 baseline	0%	0%	-8%	
'Next-stage' measures relative to 2030 baseline	0%	-29%	-56%	
Development priority measures relative to 2030 baseline	-19%	-44%	-72%	

Source: GAINS model (IIASA); "Science-based solutions, UNEP/CCAC, 2018"

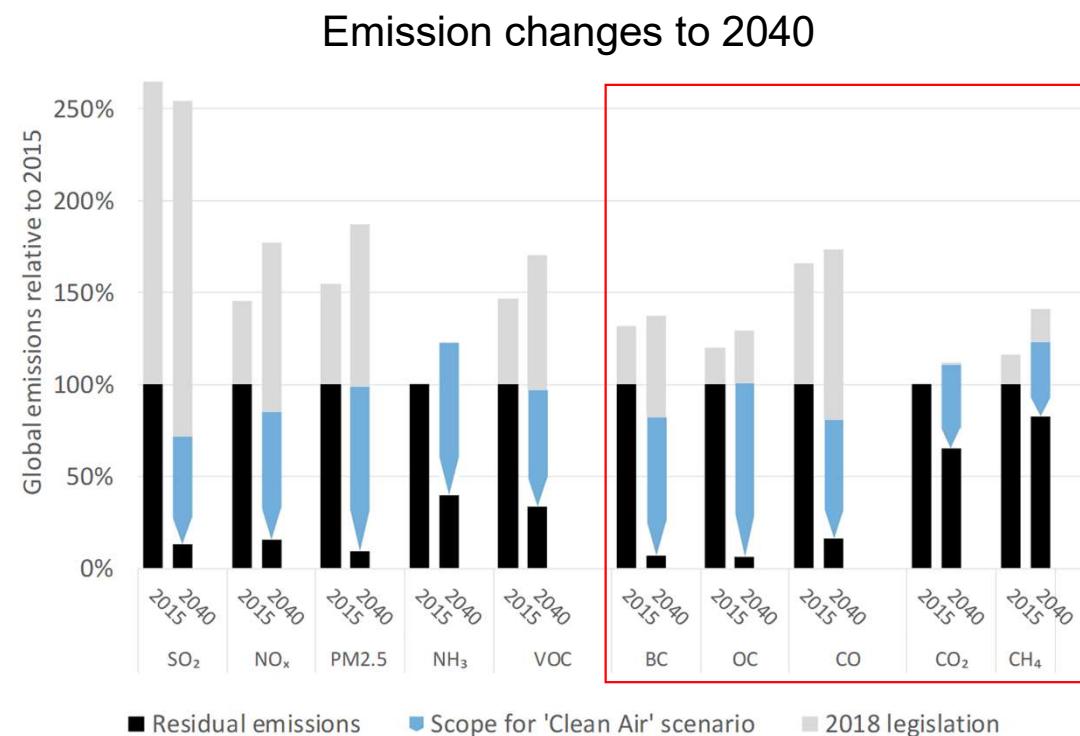
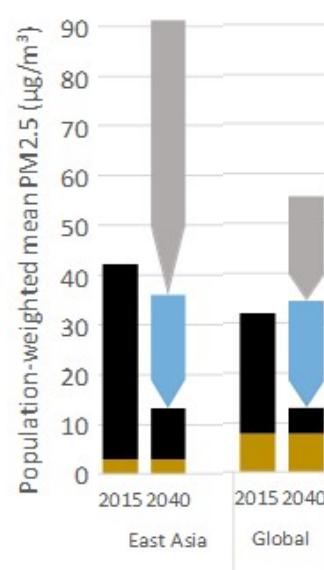


AIR POLLUTION IN ASIA AND THE PACIFIC:  
SCIENCE-BASED SOLUTIONS

## Global Perspective:

# Multi-sectoral solutions to achieve clean air will deliver a wide range of benefits on multiple development priorities

- Direct health benefits on health, particularly in urban areas (SDG 3, SDG 11)
- Significant cuts of global emissions of SLCFs and CO<sub>2</sub> (SDG 13) BC (-90%), CH<sub>4</sub> (-30%), CO<sub>2</sub> (-40%)
- Improve further energy efficiency and access to clean energy (SDG 7)
- Improved nitrogen use efficiency contributes towards reducing malnutrition and several environmental impacts and enables responsible consumption and production (SDG 2, SDG 14, SDG 15, SDG 12)



Source: Amann et al (2020). *Phil. Trans. R. Soc. A*

# Final remarks

- BC emission estimates remain uncertain
- Declining trend of BC emissions in China appears robust
- Enforcement of current policies important to deliver significant further reductions; nearly 30% of total potential
- Further mitigation potential exists and could be achieved with known and proven technologies/transformations
- BC measures are among the top priority measures for air quality improvements in Asia
- Co-benefits of climate policy are smaller than often believed and might result in unnecessary delay in BC mitigation
- BC reduction shall be part of policies addressing energy efficiency, air pollution, and several SDGs resulting in multiple benefits

# **Anthropogenic emission trend of black carbon in China**

**Jia Xing, Fenfen Zhang, Haotian Zheng, Shuxiao Wang**

Tsinghua University

# Outline

- **Overview of ABaCAS-EI**
- **BC and its impacts during 2013-2017**
- **Future emission prediction to 2035**

# Summary of current emission inventories

## Air Benefit and Cost and Attainment Assessment System-Emission Inventory (ABaCAS-EI)

- 2005-2017 China anthropogenic emissions of air pollutants by country and on spatial grid
- 1 km x 1 km

<http://abacas-dss.com>

## Emissions Database for Global Atmospheric Research (EDGAR v4.3.2)

- 1970-2010 global past and present day anthropogenic emissions of greenhouse gases and air pollutants by country and on spatial grid
- 0.1 degree x 0.1 degree

## Regional Emission inventory in ASia (REASv3.1)

- 1950-2015 Asia anthropogenic emissions of air pollutants by country and on spatial grid
- 0.25 degree x 0.25 degree

## The ECLIPSEv5a emission dataset from GAINS-Asia (IIASA)

- 1990-2015 Asia anthropogenic emissions of air pollutants by country and on spatial grid
- 0.25 degree x 0.25 degree

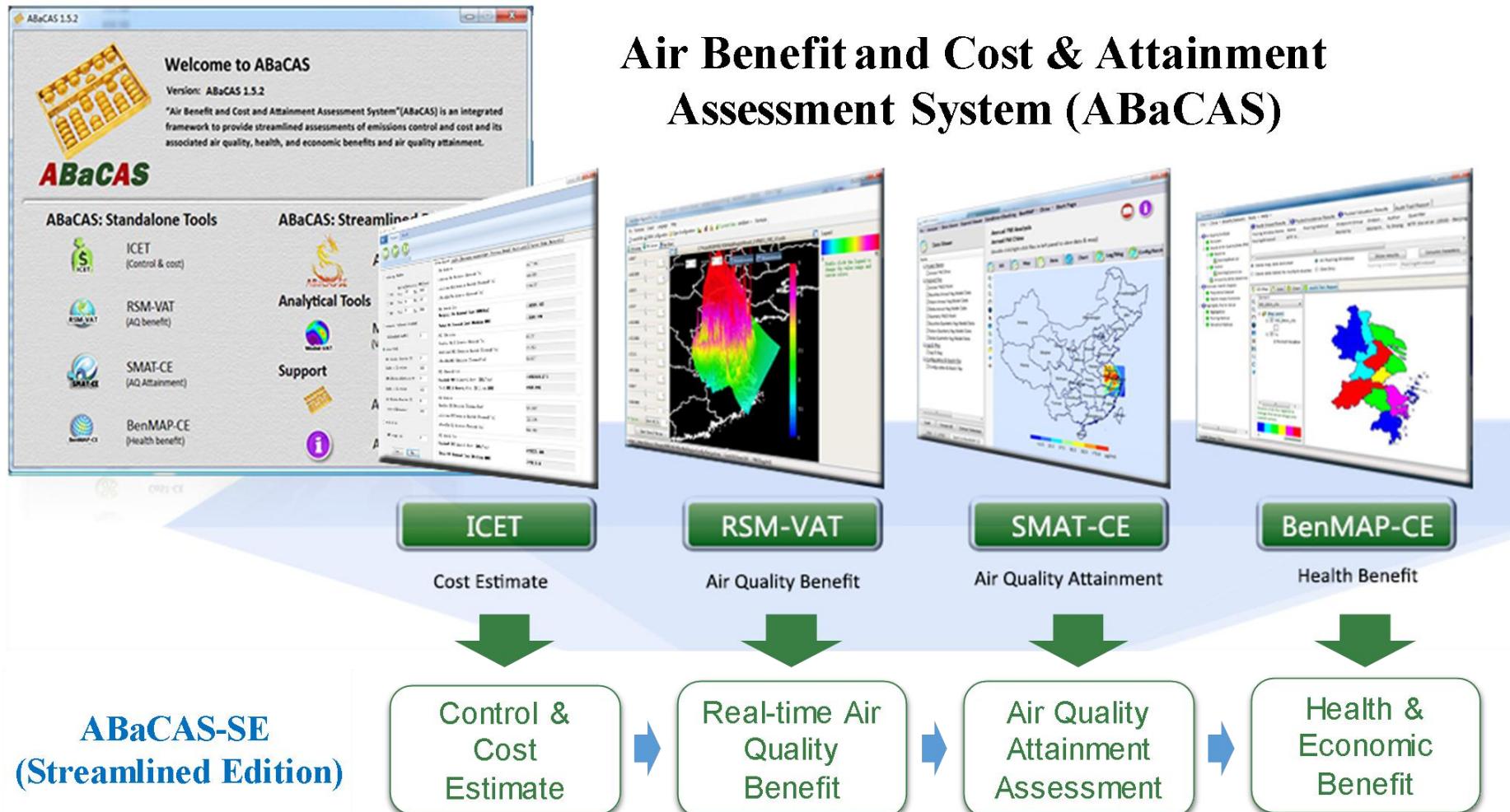
## Multi-resolution Emission Inventory for China (MEICv1.3)

- 2008-2016 China anthropogenic emissions of air pollutants by country and on spatial grid
- 1 degree x 1 degree

## MIX Asian emission inventory (MIXv1.1)

- 2008 and 2010 Asia emissions of air pollutants by country and on spatial grid
- 0.25 degree x 0.25 degree
- Integrating latest MEIC, REAS2, PKU-NH3, and CAPSS emission inventories

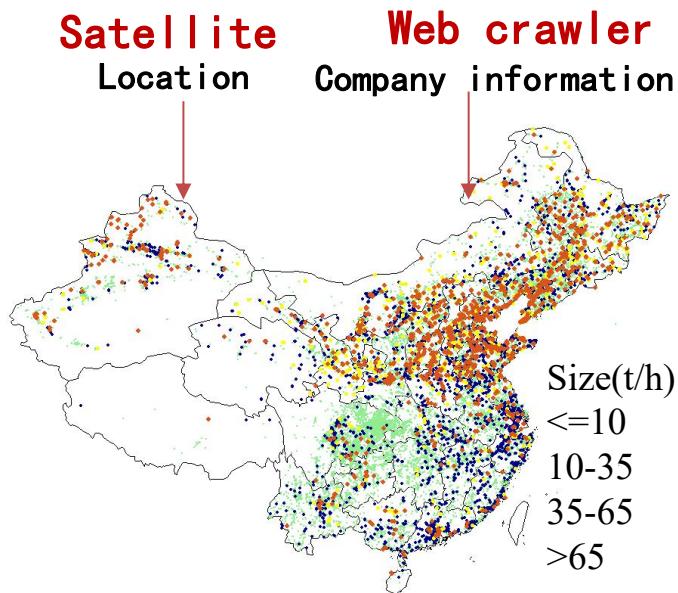
# ABaCAS: a scientifically sound decision support system



Xing, J. et al. ABaCAS: an overview of the air pollution control cost-benefit and attainment assessment system and its application in China. *The Magazine for Environmental Managers - Air & Waste Management Association* 2017, April.

# ABaCAS-El: a bottom-up emission inventory in China

## Unit-based industrial emission



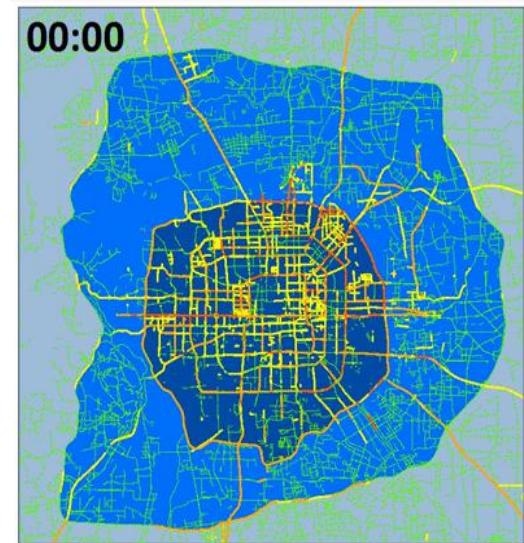
Pollutants	SO <sub>2</sub> 、NOx、PM、VOCs、NH <sub>3</sub> 、HCl/PCI、Hg
Time	2005-2017
Region	China, key regions and cities
Spatial resolution	~1km×1km
Time resolution	1 hour
Components	Local PM2.5 and VOC profiles

## Vehicle emission based on AI

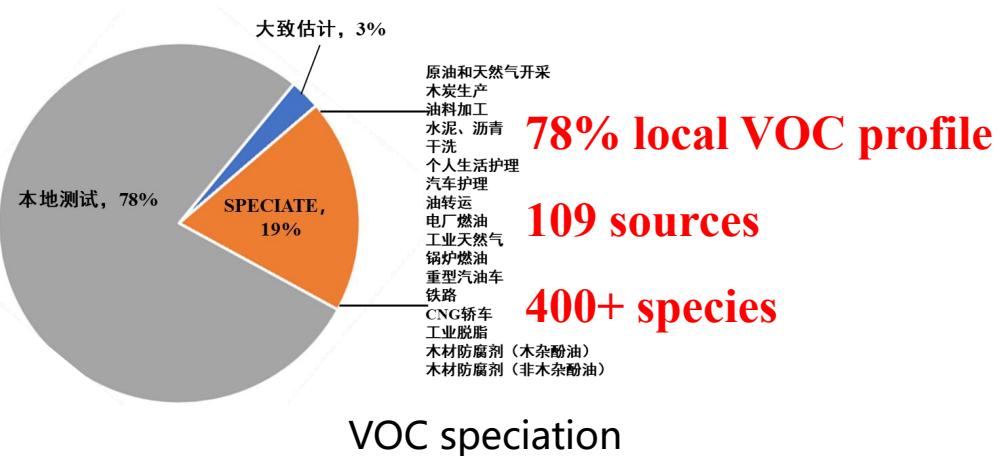
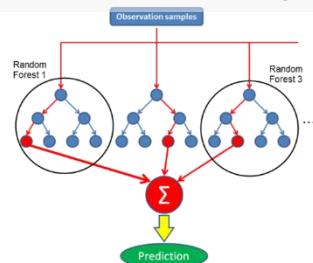
Traffic big data



Resolution: 100m-1hour

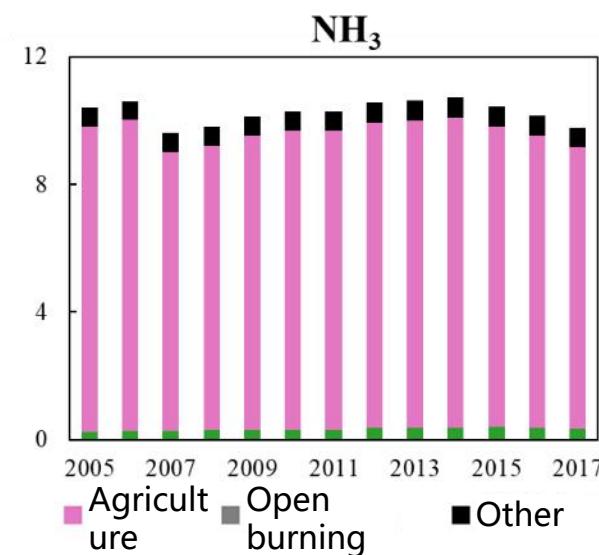
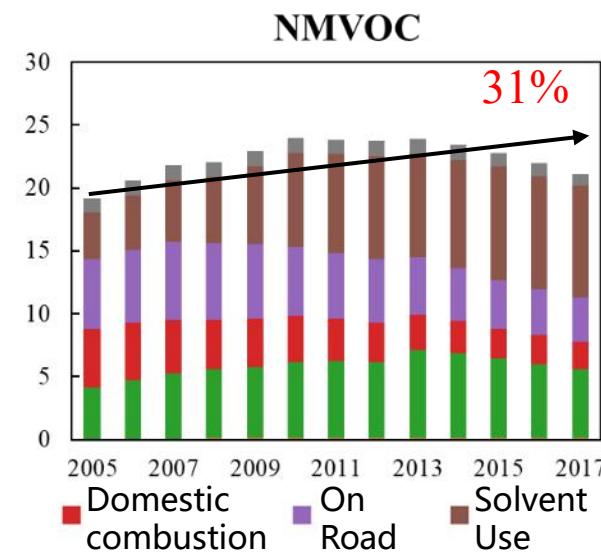
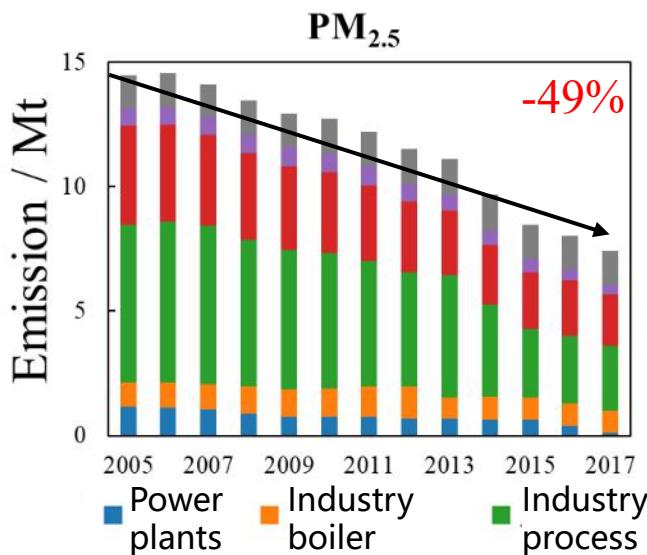
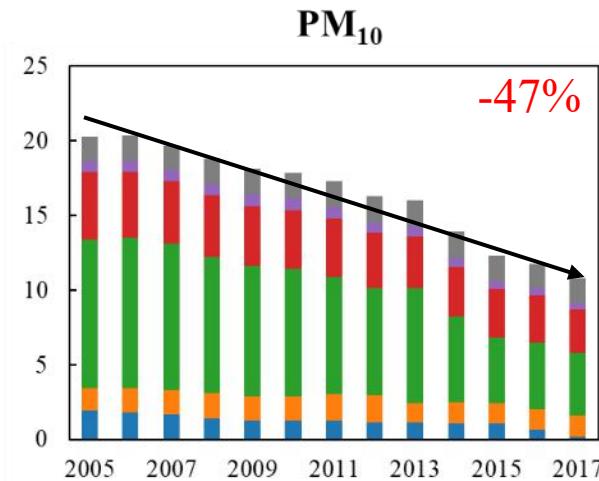
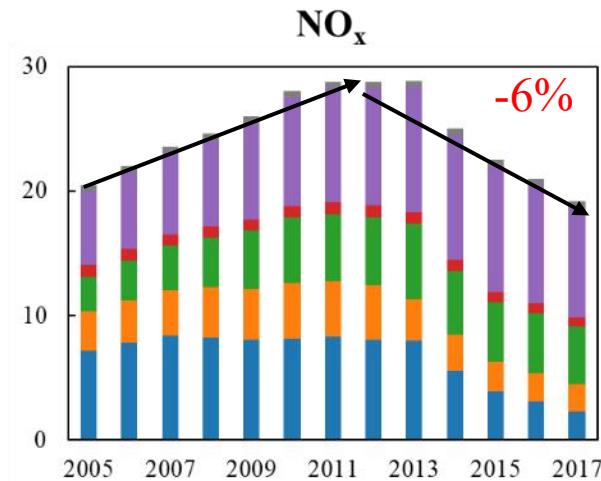
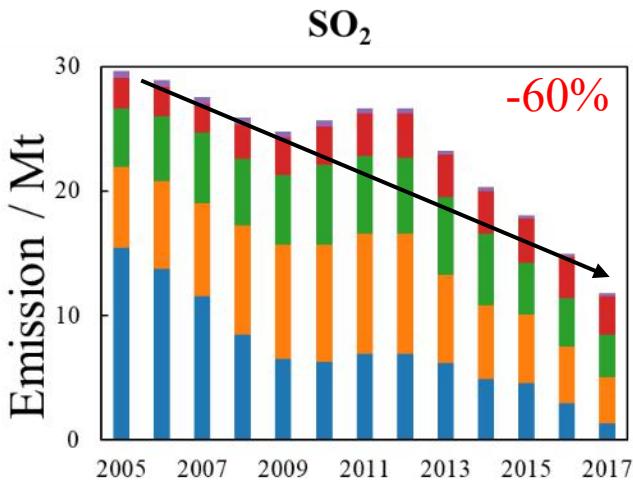


Machine learning

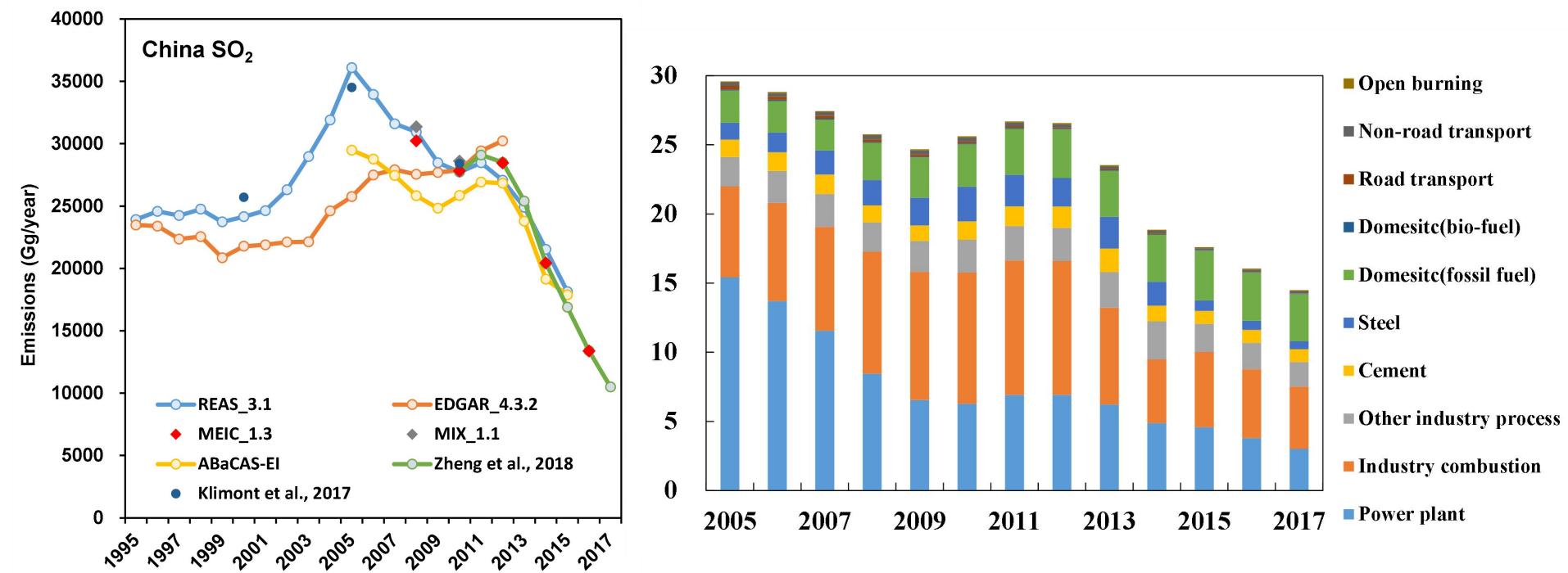


# Anthropogenic emissions in China (2005-2017)

With inner-consistent method

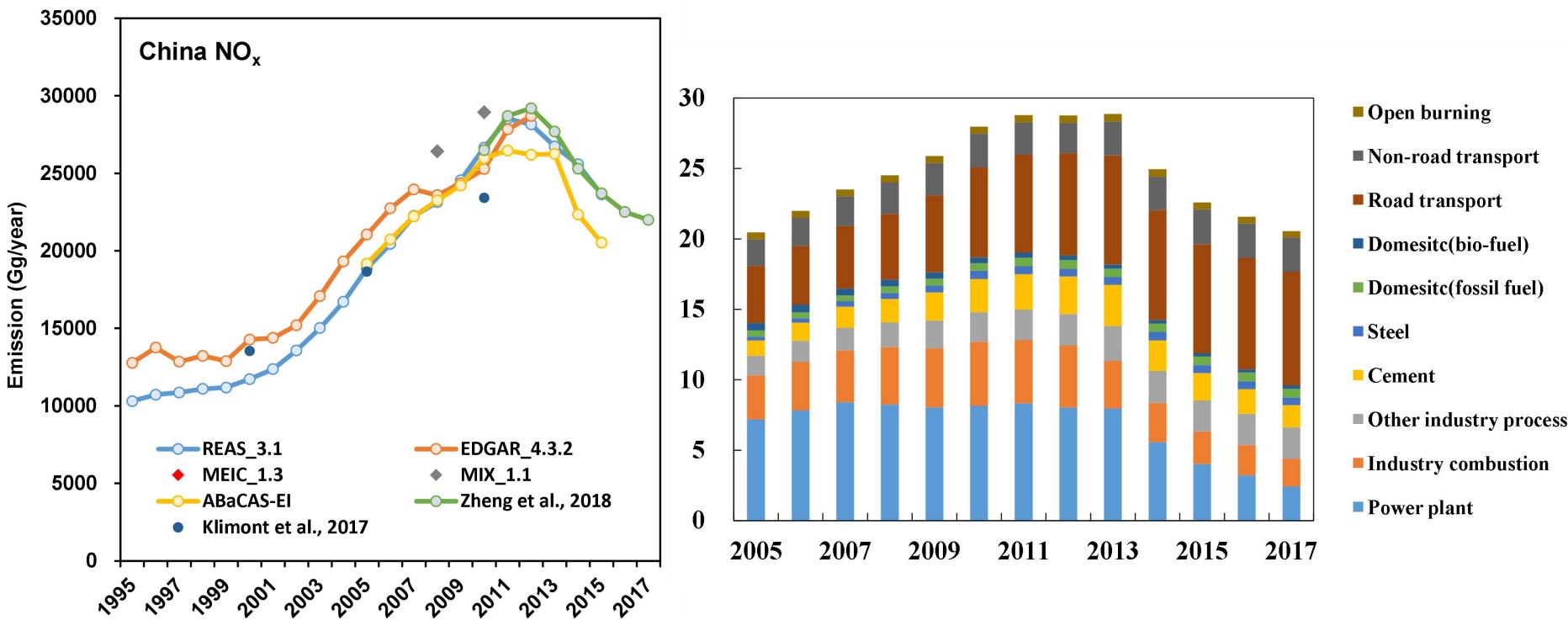


# ABaCAS-EI: SO<sub>2</sub>



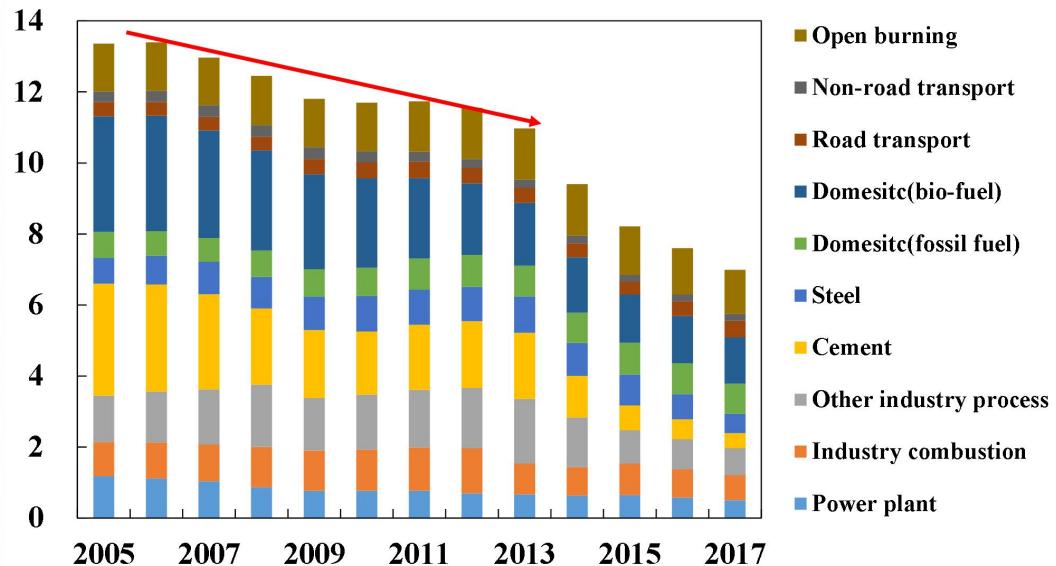
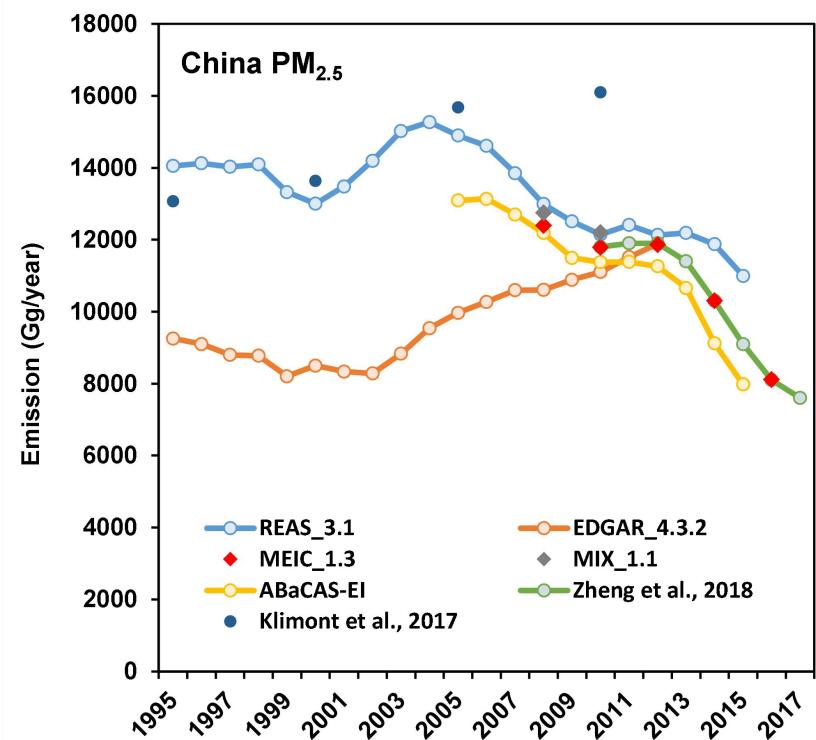
- During 2005-2017, SO<sub>2</sub> emissions were reduced by **51%**, with the largest contributor was power plants (80%) and industrial combustion (32%).

# ABaCAS-EI: NO<sub>x</sub>



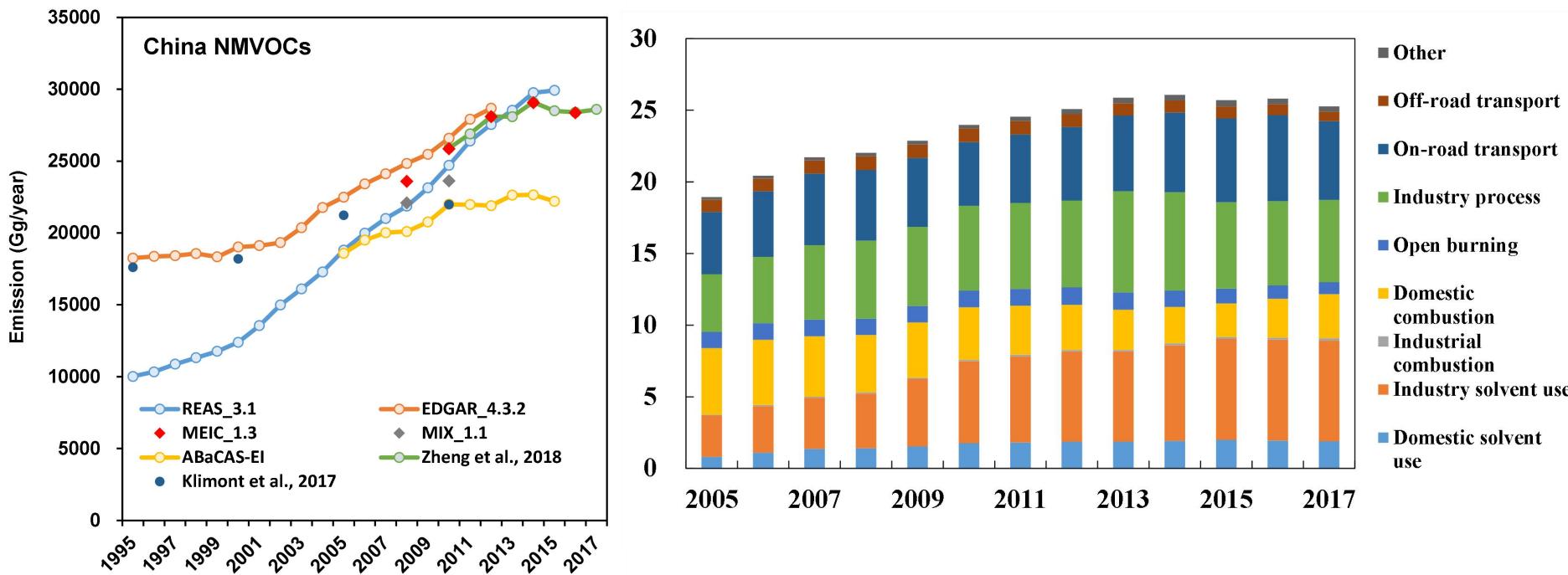
- During 2005-2017, NO<sub>x</sub> emissions first increased and then decreased, emissions from power plants and industrial combustion significantly decreased, emissions from steel, cement and industrial processes increased, and emissions from road transport doubled.

# ABaCAS-EI: PM<sub>2.5</sub>



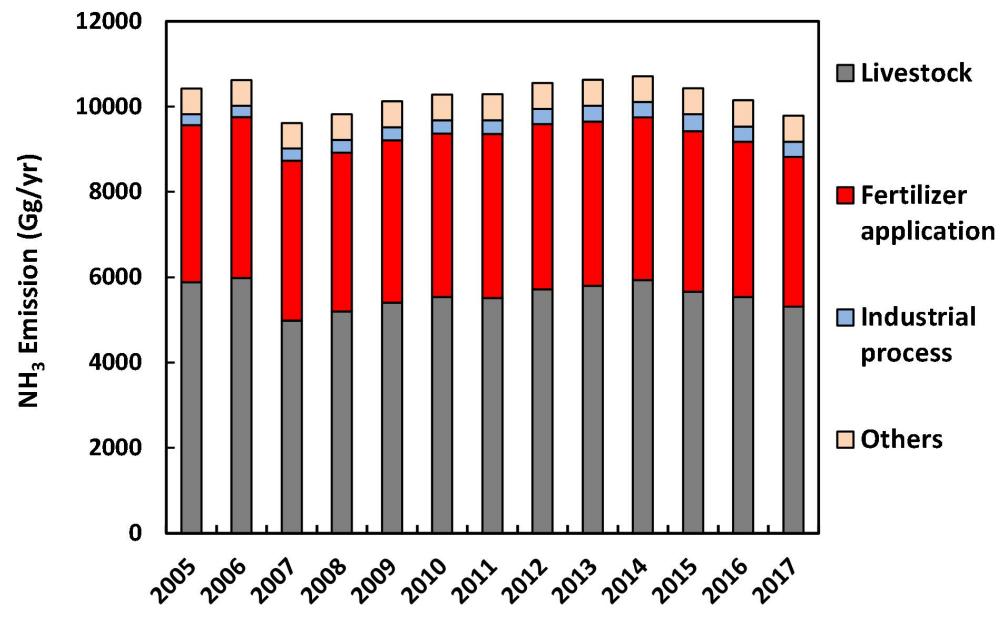
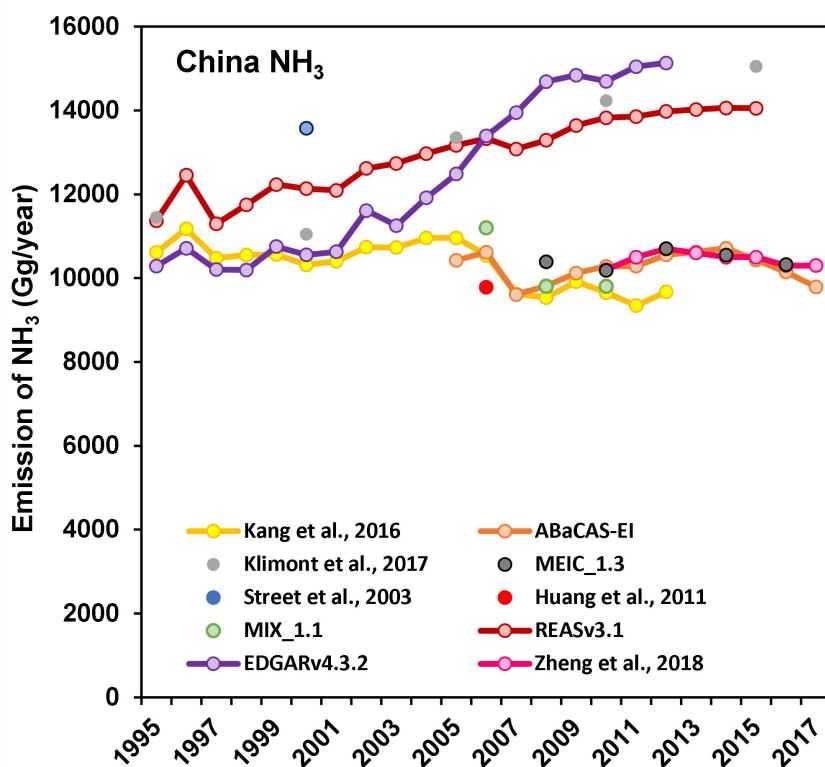
- During 2005-2017, PM<sub>2.5</sub> emissions decreased by 48%, with the largest contributor was cement (80%), power plants (57%) and domestic biomass combustion.

# ABaCAS-EI: NMVOCs



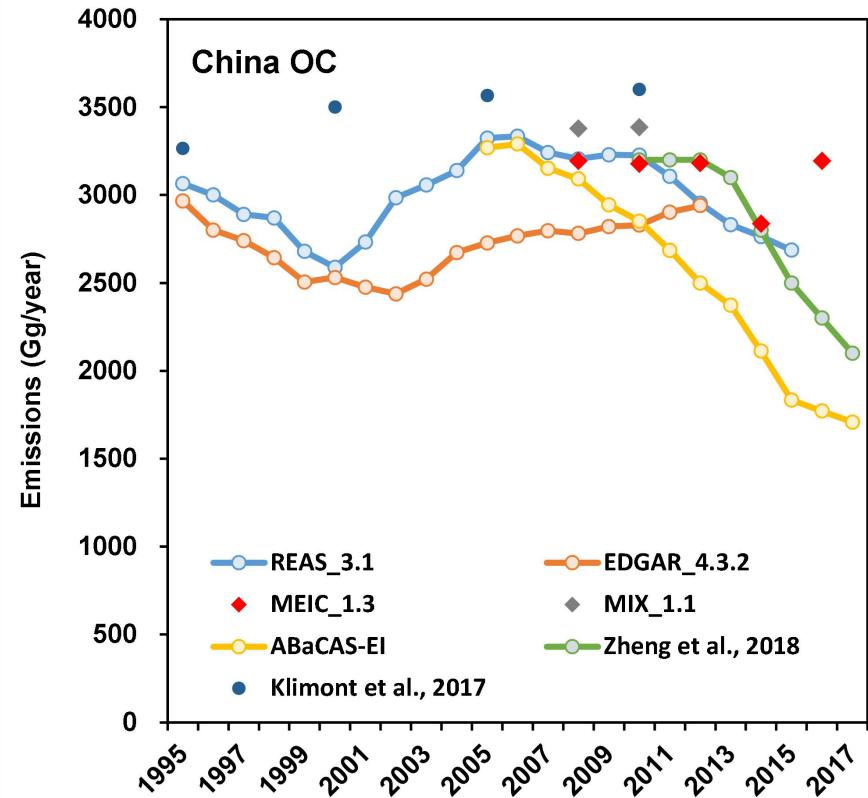
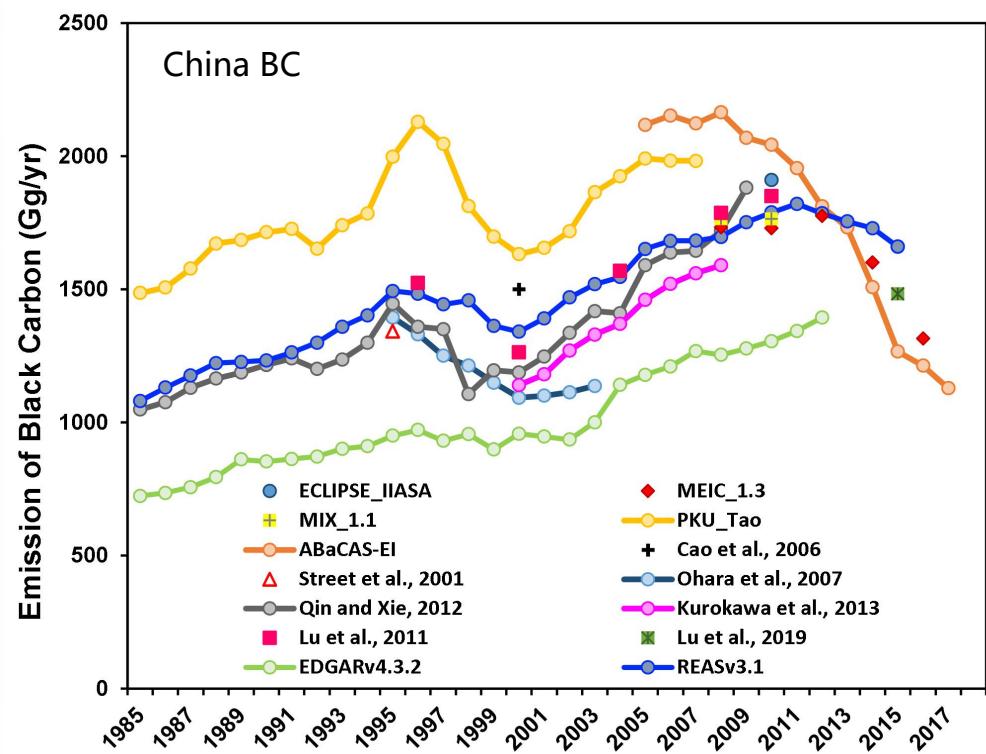
- During 2005-2017, NMVOC emissions increased by 14%, with the largest increases sector was solvent use, industrial processes and traffic sources.

# ABaCAS-EI: NH<sub>3</sub>



- During 2005-2017, the NH<sub>3</sub> emissions decreased by 6.1%, with the most contributor was livestock and fertilizer application, which totally contributed up to 90%.

# ABaCAS-EI: BC and OC



- During 2005-2017, BC and OC emissions decreased by 46.7 % and 47.7 %, respectively.
- The largest contributor was industrial processes, transportation and domestic sectors.

# Outline

- Overview of ABaCAS-EI
- BC and its impacts during 2013-2017
- Future emission prediction to 2035

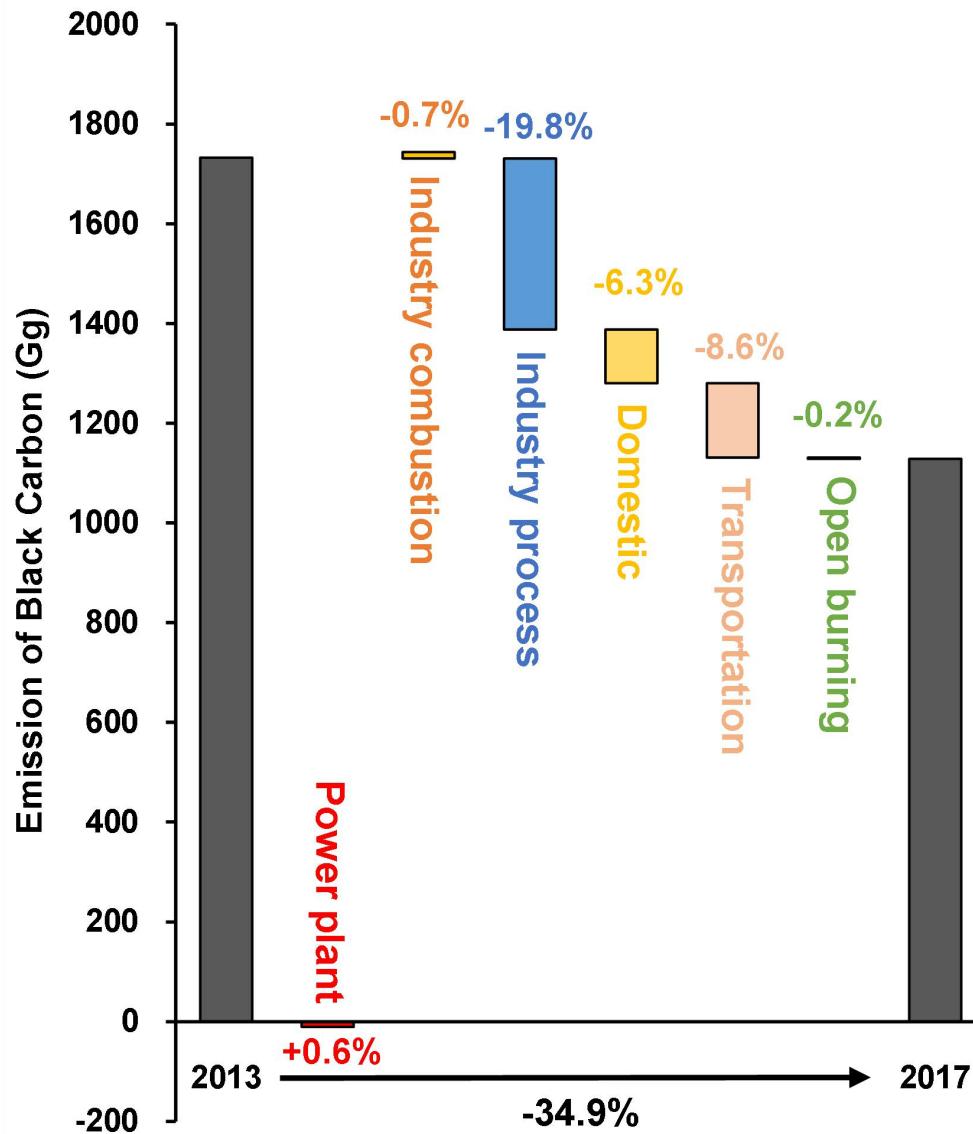
# Reduction of BC emissions during 2013-2017

## □ Emission of BC during 2013-2017

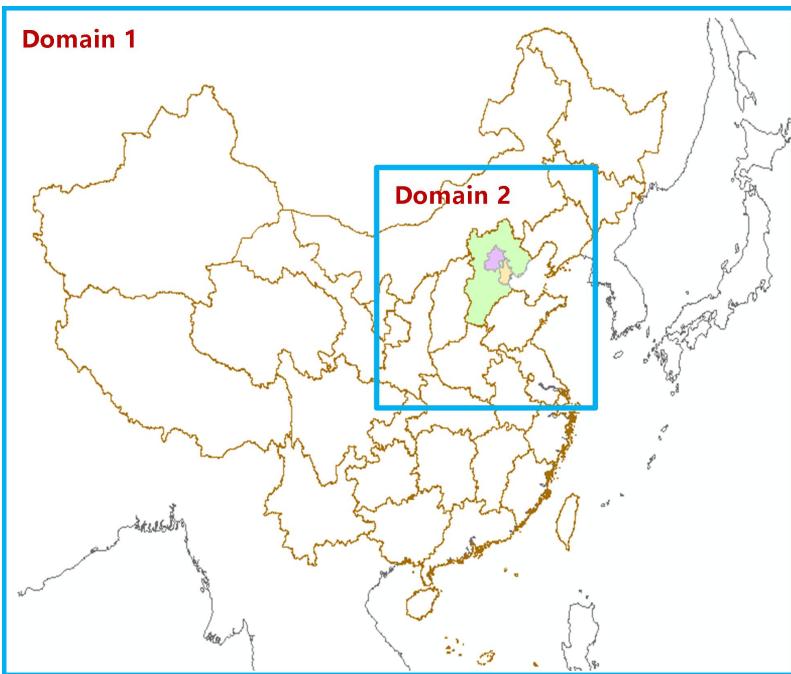
- In 2013: 1732.4 Gg
- In 2017: 1128.5 Gg
- Reduction: - 34.9 %

## □ Reduction of BC emissions in different sectors

- Power plant: + 0.6 %
- Industry combustion: - 0.7%
- Industry process: - 19.8%
- Domestic: - 6.3%
- Transportation: - 8.6%
- Open burning: - 0.2%



# WRF-CMAQ model configuration



## Domain

- 27 km X 27 km

## Modeling period

- Jan/Jul in 2013
- Jan/Jul in 2017

## Scenarios

- Sim\_no feedback (nf)
- Sim\_feedback (sf)
- Sim\_no BC emissions with feedback (noBC\_sf)
- Sim\_no BC emissions without feedback (noBC\_nf)

## WRF-CMAQ coupled model

- **WRF3.8**: NCEP/NCAR Reanalysis data with 2.5 degree spatial and 6-hour temporal resolution; NCEP ADP Operational Global Surface/ Upper Air Observations with 6 hour intervals, MODIS land-use type, RRTMg radiation scheme, ACM2 (Pleim) PBL, PX LSM.
- **CMAQ5.2**: CB06-AERO6 chemistry, inline photolysis.
- **Emission**: ABaCAS-EI (years of 2013 and 2017, Tsinghua University), other regions (IIASA)
- **Boundary Condition**: derived from hemispheric WRF-CMAQ simulations

# Model performance in simulating PM<sub>2.5</sub>

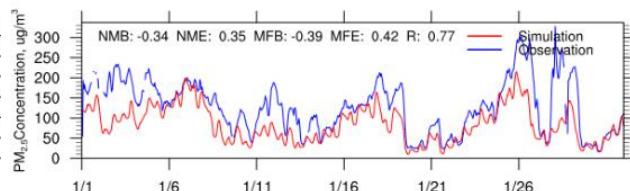
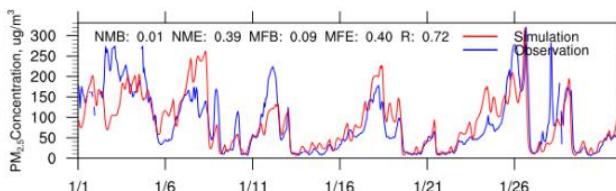
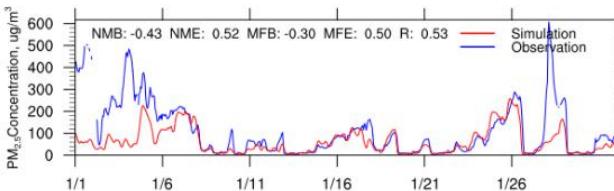
Jan, 2017

Beijing

Tianjin

Hebei

PM<sub>2.5</sub>

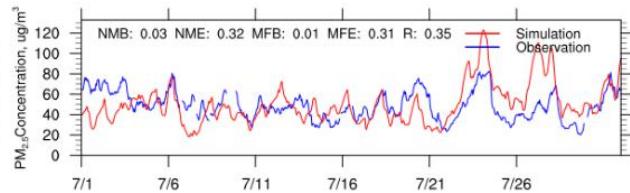
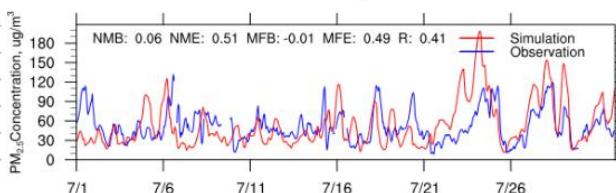
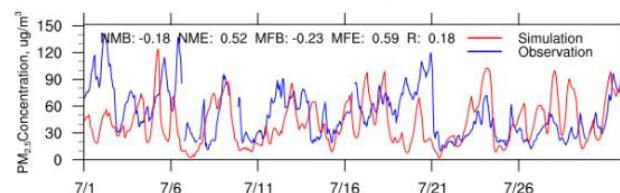


Jul, 2017

Beijing

Tianjin

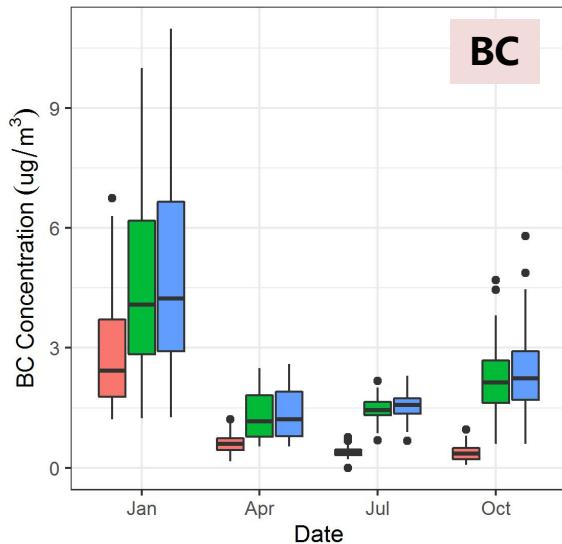
Hebei



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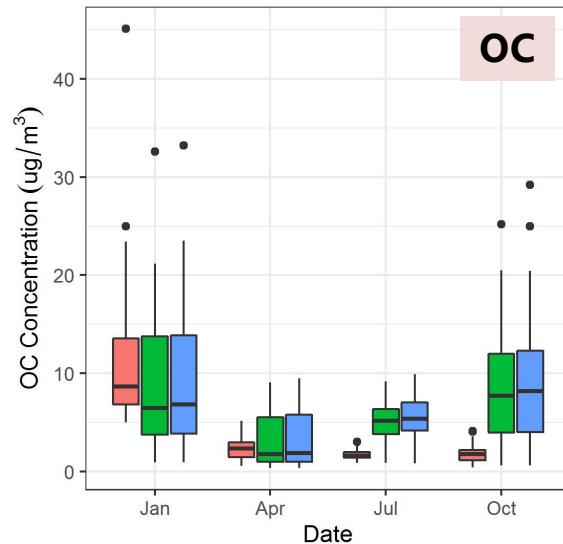
Beijing

BC



Beijing

OC



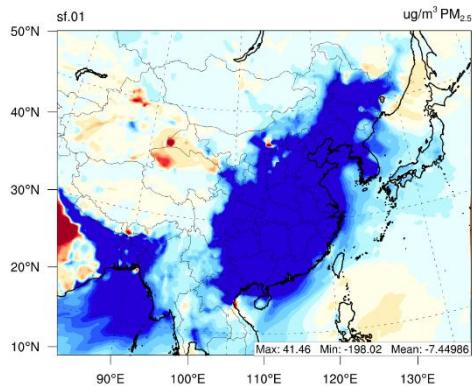
- obs (red)
- sim\_nf (green)
- sim\_sf (blue)

Model tends to overestimate BC and OC, and in Oct

# Simulated PM<sub>2.5</sub> & BC changes

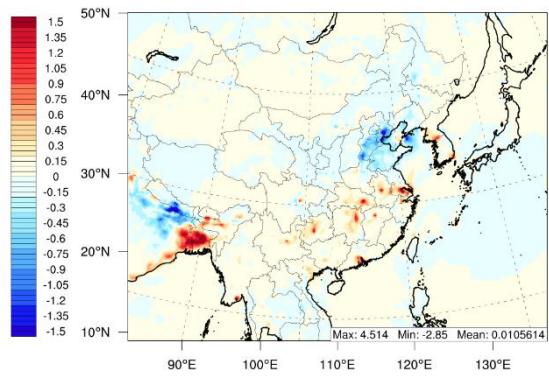
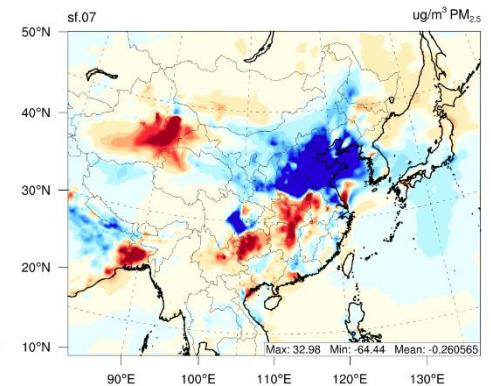
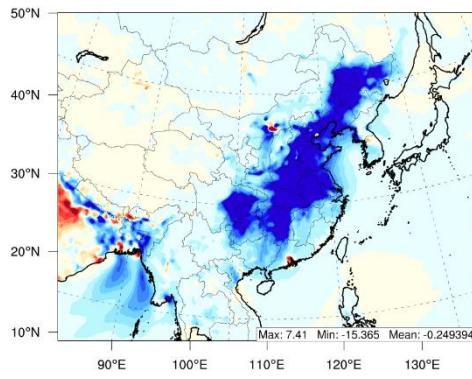
Jan

PM<sub>2.5</sub>

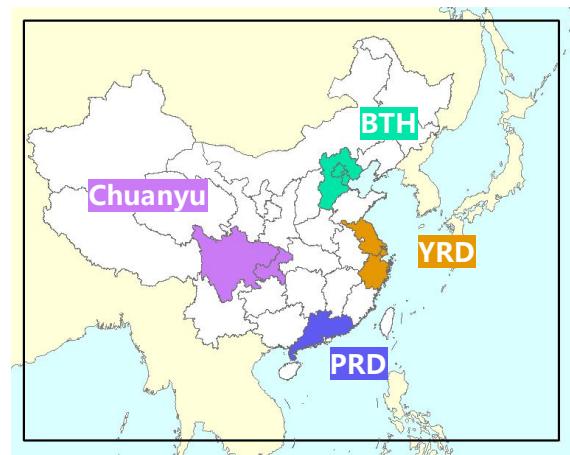


Jul

BC



- BTH: -54.9 ug/m<sup>3</sup>
- YRD: -29.6 ug/m<sup>3</sup>
- PRD: -6.4 ug/m<sup>3</sup>
- Chuanyu: -65.6 ug/m<sup>3</sup>

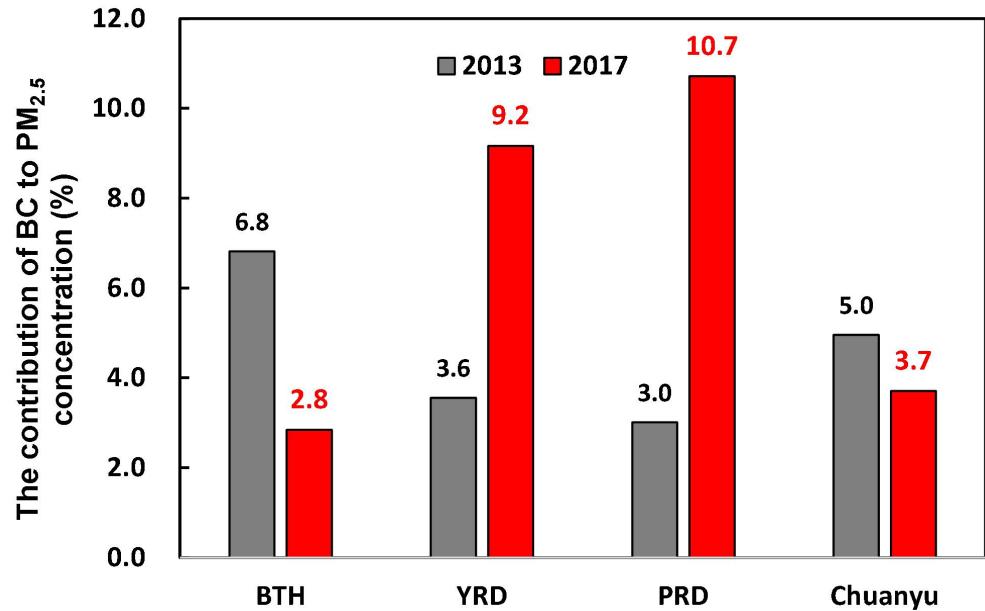


The emission controls lead to a substantial reduction in PM<sub>2.5</sub> concentrations, mostly in BTH and ChuanYu

# The proportion of BC in PM<sub>2.5</sub>

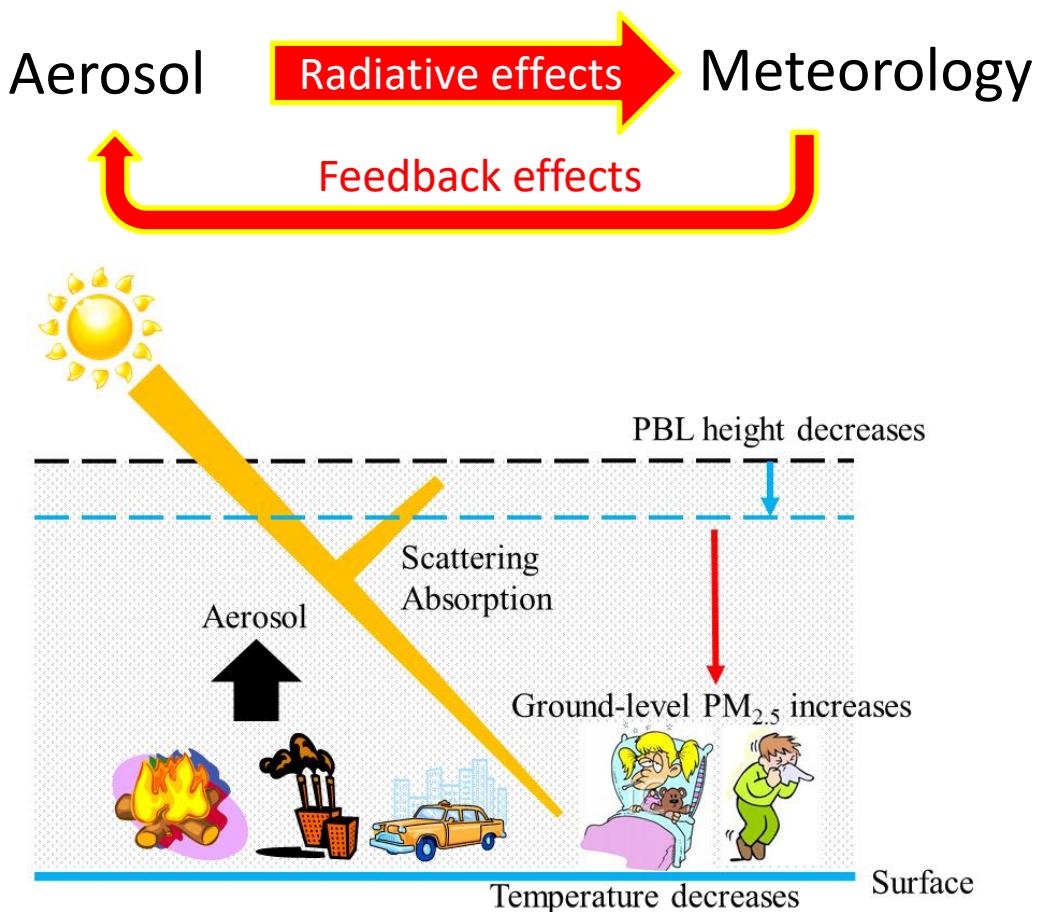
- The proportion of BC in total PM<sub>2.5</sub> concentration is **7.4%** **in China** during 2013-2017

- ✓ BC proportions are **larger** in YRD and PRD than that in BTH and ChuanYu
- ✓ From 2013 to 2017, BC proportions are **decreased** in BTH and ChuanYu but **increased** in YRD and PRD

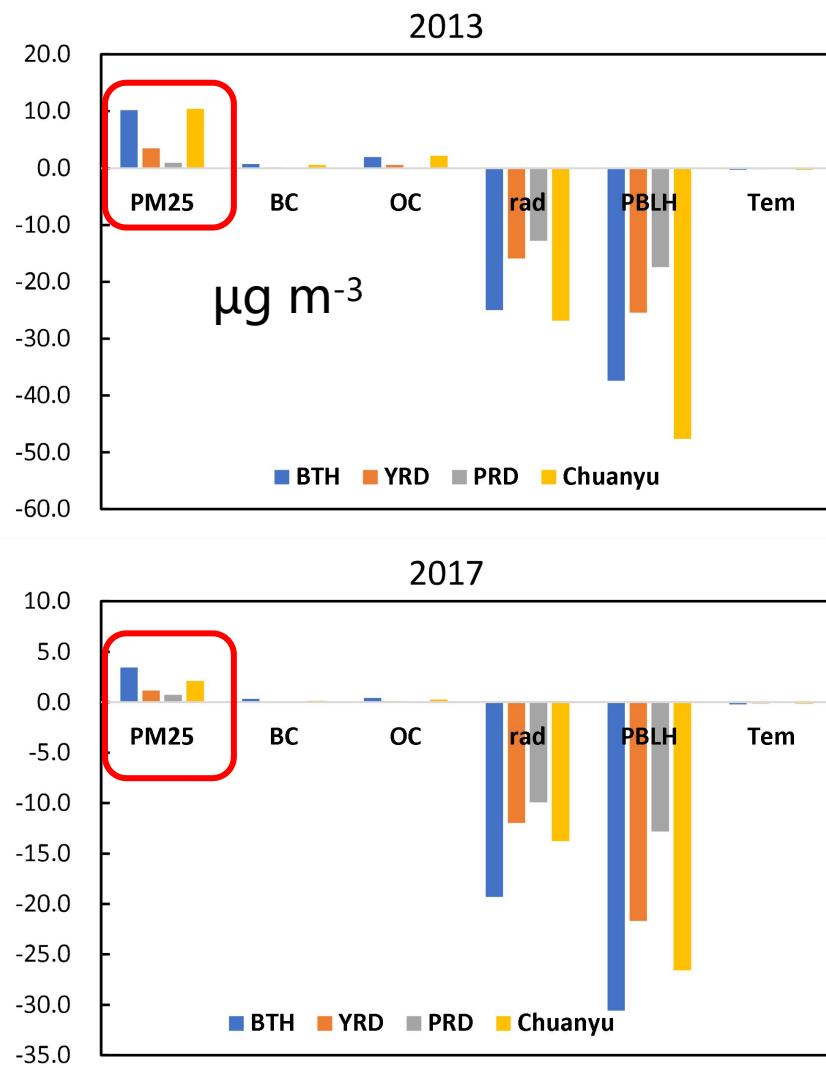


- ✓ BTH: Beijing-Tianjin-Hebei
- ✓ YRD: Yangtze-River-Delta
- ✓ PRD: Pearl -River-Delta
- ✓ Chuanyu: Sichuan and Chongqing

# Impacts of the aerosol direct effects (ADE)



ADE reduces surface radiation, PBL height and ground temperature, thus enhances PM<sub>2.5</sub> concentration

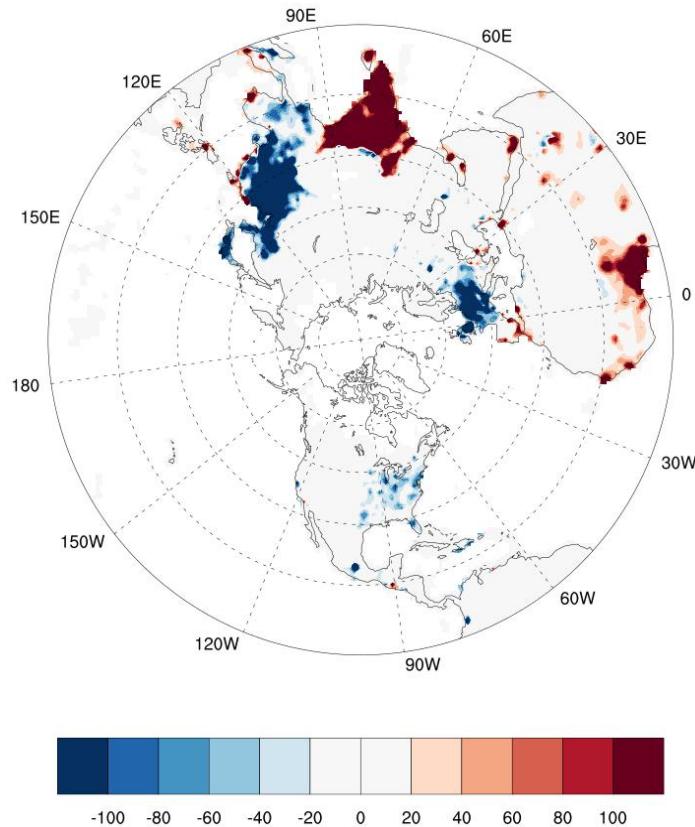


Extra benefits in reducing ADE

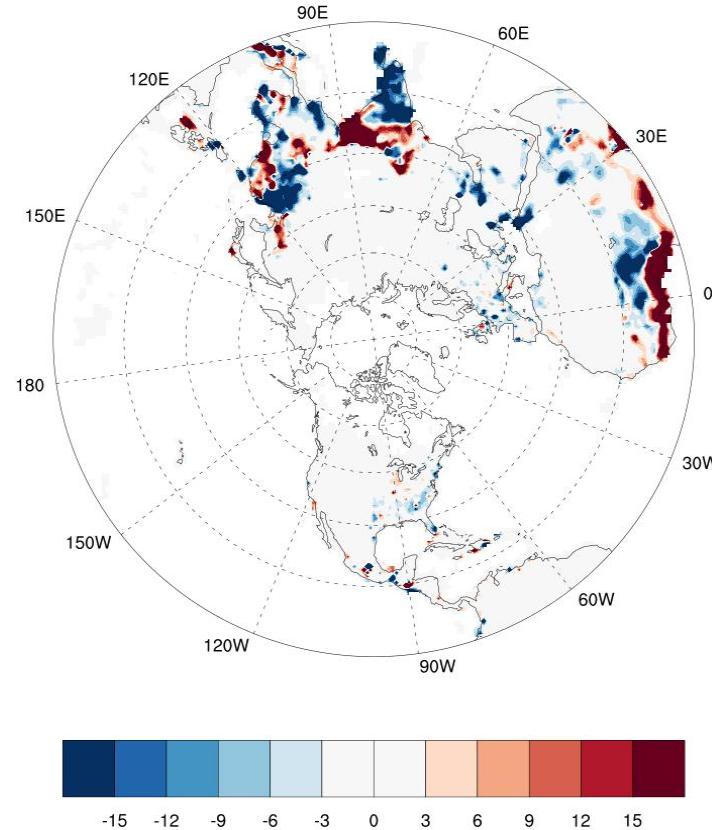
# Changed PM<sub>2.5</sub> mortality due to ADE

2017-2013

$\Delta$  PM<sub>2.5</sub> mortality



$\Delta$  PM<sub>2.5</sub> mortality due to ADE



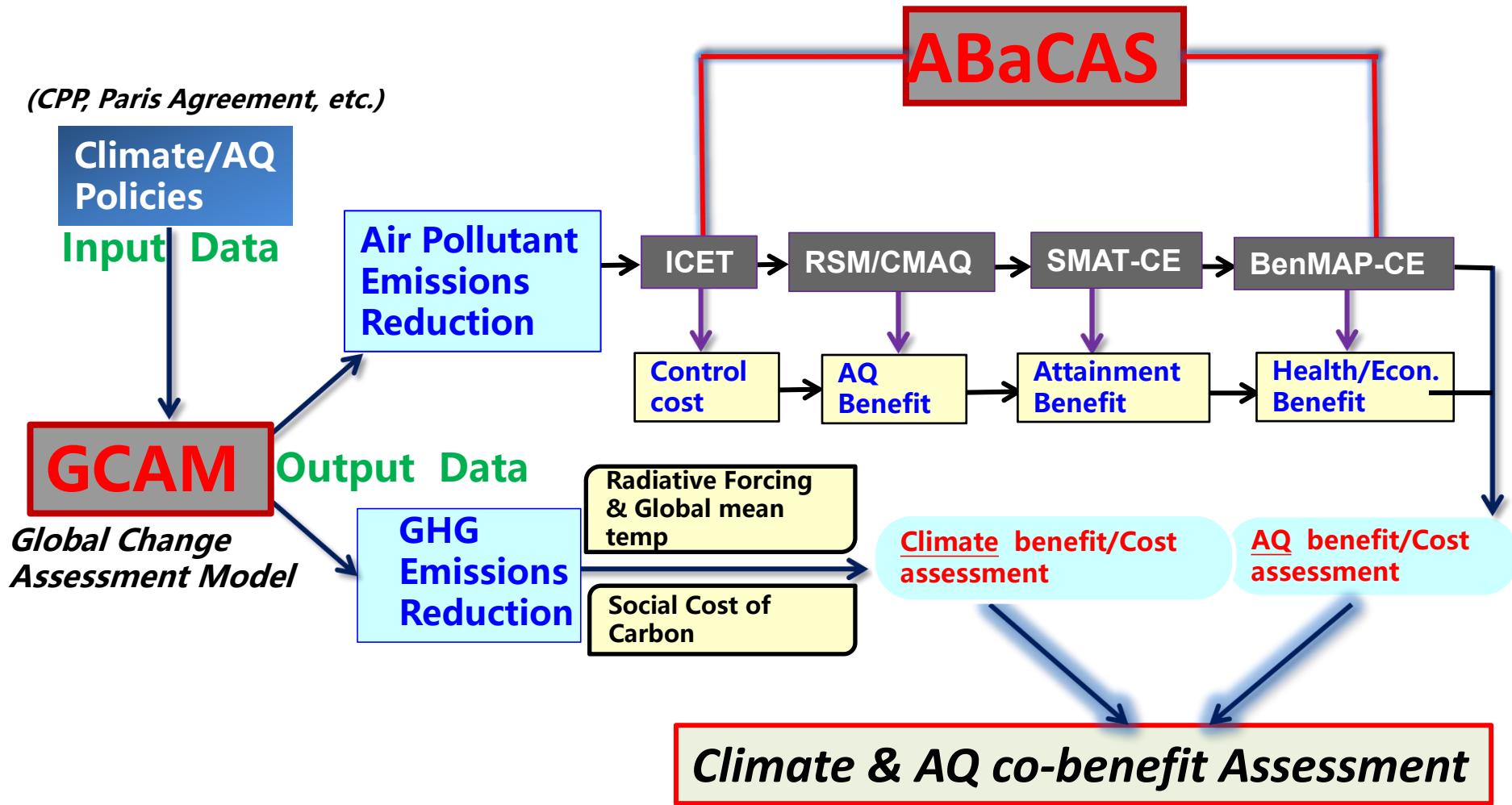
- ADE deaths in India increased from 77,866 to 93,575 while in China it reduced from 59,529 to 40,470 during 2013-2017

# Outline

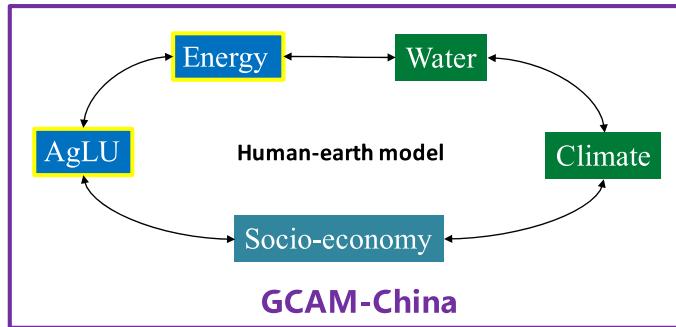
- Overview of ABaCAS-EI
- BC and its impacts during 2013-2017
- **Future emission prediction to 2035**

# Future projection with GCAM-ABaCAS

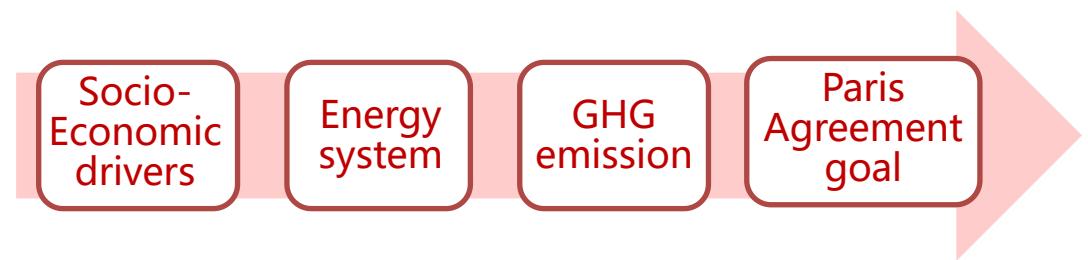
Integrating **dual goals** of climate and air pollution control



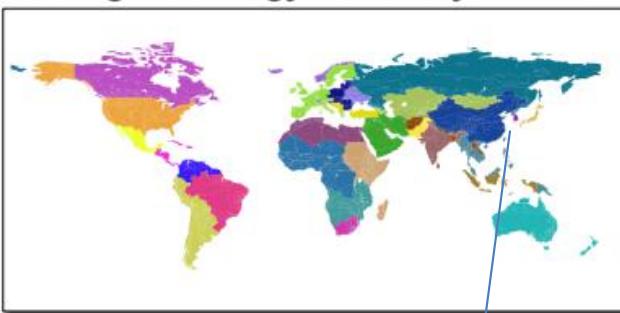
# Downscale GCAM to China 31 provinces



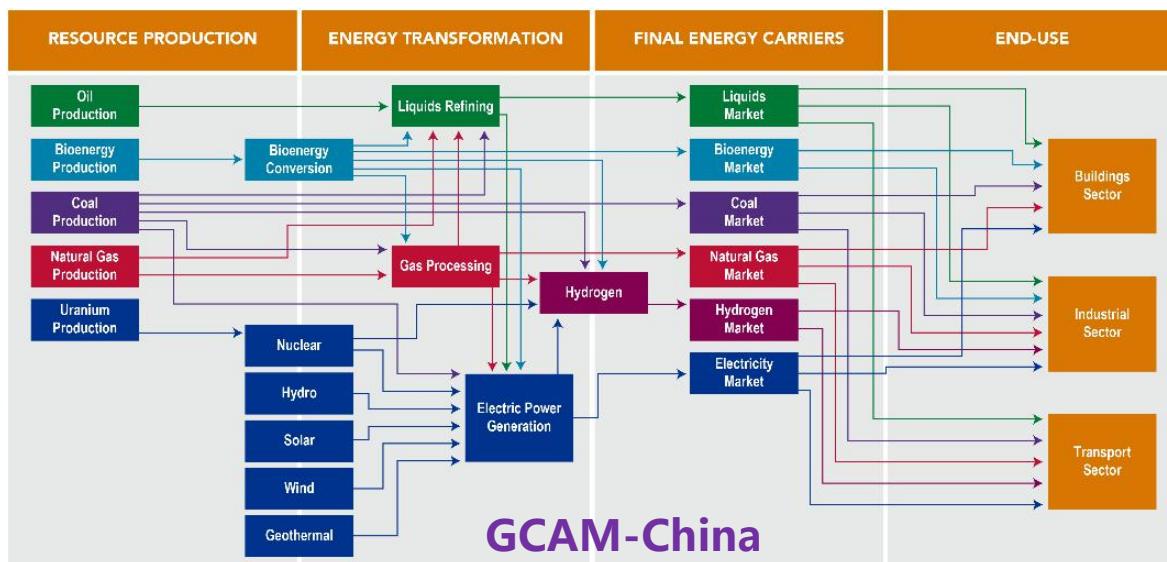
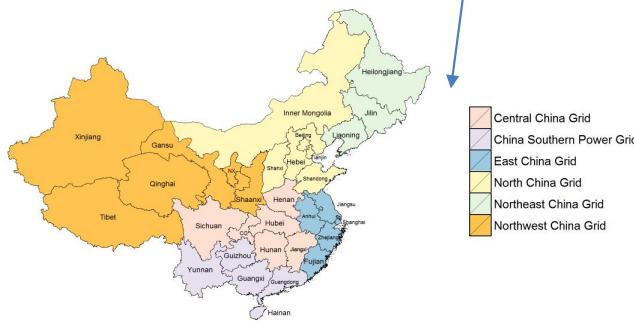
Source: PNNL, GCAM wiki



## 32 Region Energy/Economy Model



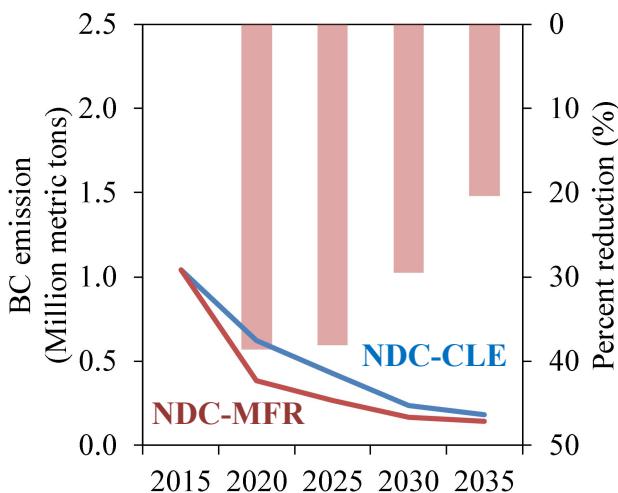
## GCAM-China: 31 provinces



# Co-Benefits of energy policy in reducing CO<sub>2</sub> / pollutants

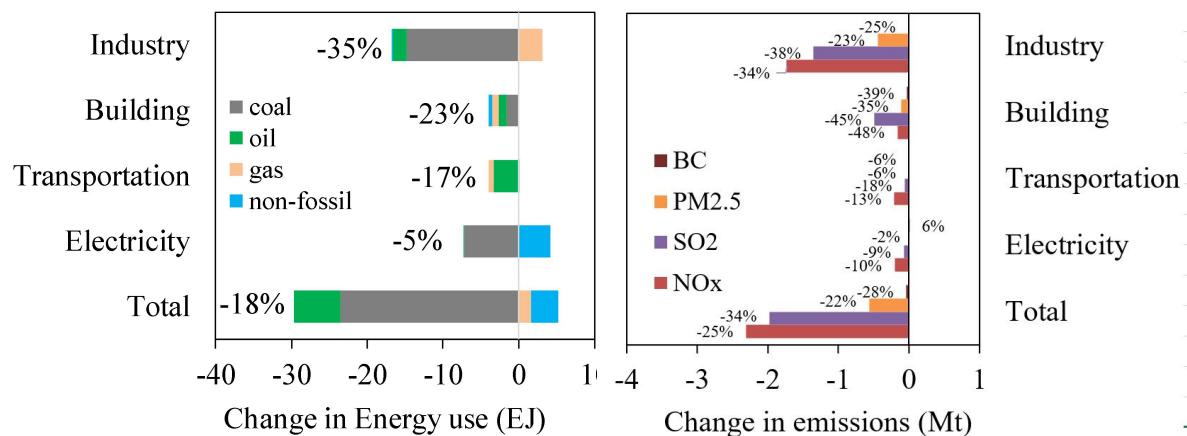
Pathway	Energy scenario	End-of-pipe control levels
(1) NDC-CLE	Baseline scenario which considers only CO <sub>2</sub> intensity reduction to meet the Paris Commitment	Current legislation (CLE)
(2) NDC-MFR	Same as energy scenario in NDC-CLE.	maximum-feasible-reduction (MFR)
(3) CBE-MFR	Co-benefit energy scenario with implementation of low carbon policies related to energy conservation (e.g., improvement of energy efficiency)	maximum-feasible-reduction (MFR)

Impacts of end-of-pipe controls on BC emissions



EOP is quite limited in future

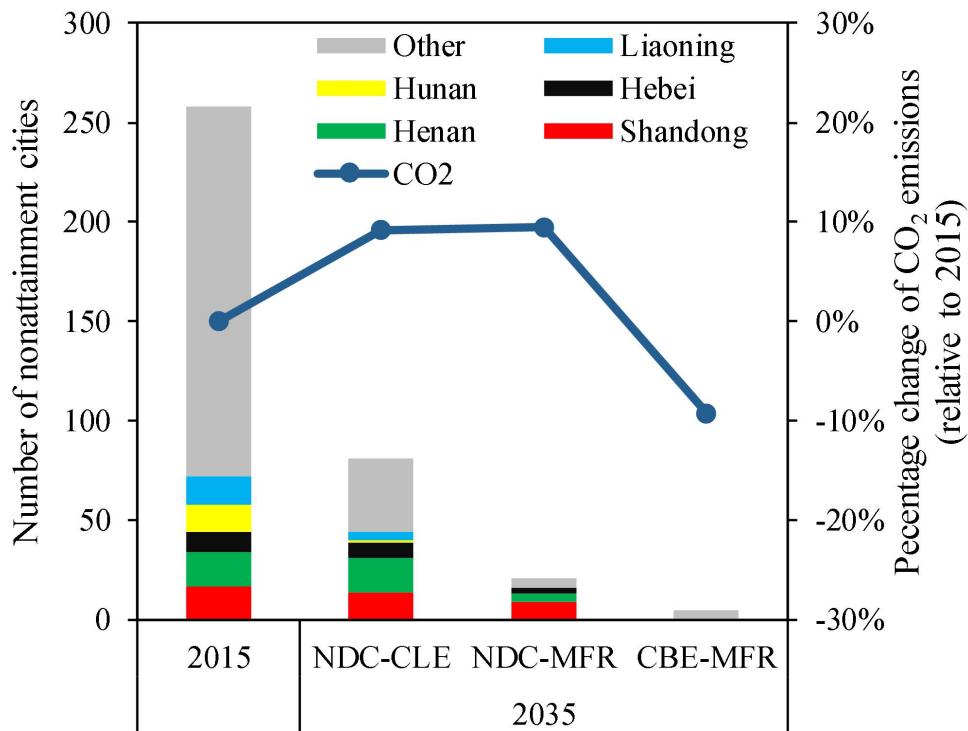
Changes in energy use and emissions in CBE scenario compared to the NDC scenario in 2035



Large co-benefits from energy policies

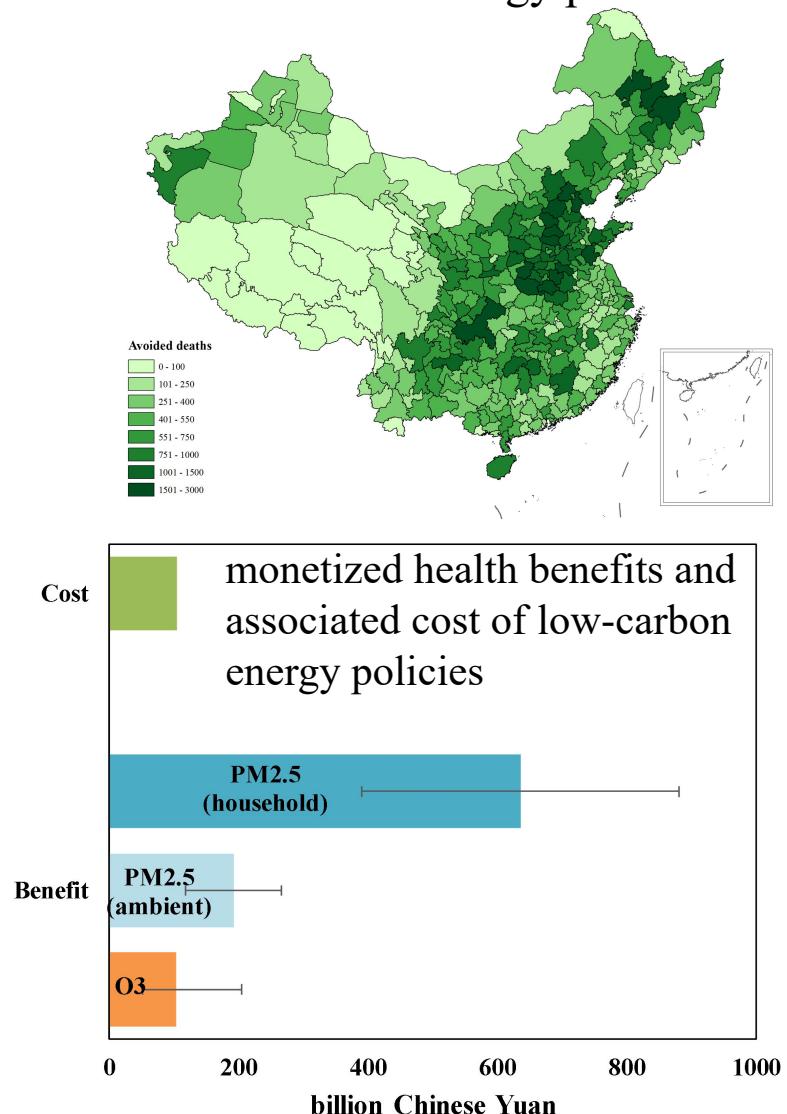
# Energy policy is crucial for dual attainment

- the NDC scenarios cannot ensure China to fully attain the ambient PM<sub>2.5</sub> standards even with the most stringent end-of-pipe controls



- Stronger low-carbon energy policies bring greater health benefits than the associated costs

Avoided PM<sub>2.5</sub>-related premature death due to low-carbon energy policies

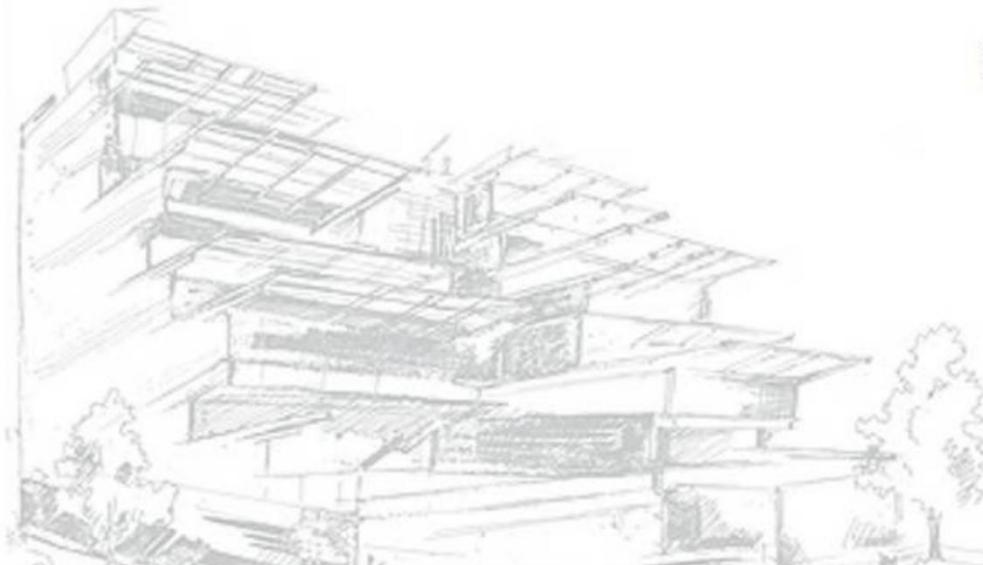


# Future Work

- Update ABaCAS-EI to 2018, 2019
- Validation of BC emissions with more observations
- Improve BC-aging process in CMAQ
- Evaluate the BC control benefits on human health, climate and ecosystem



*Thank you very much!*



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°CICERO

# Asian air pollution levels simulated with the CEDS and ECLIPSEv6 emission inventories

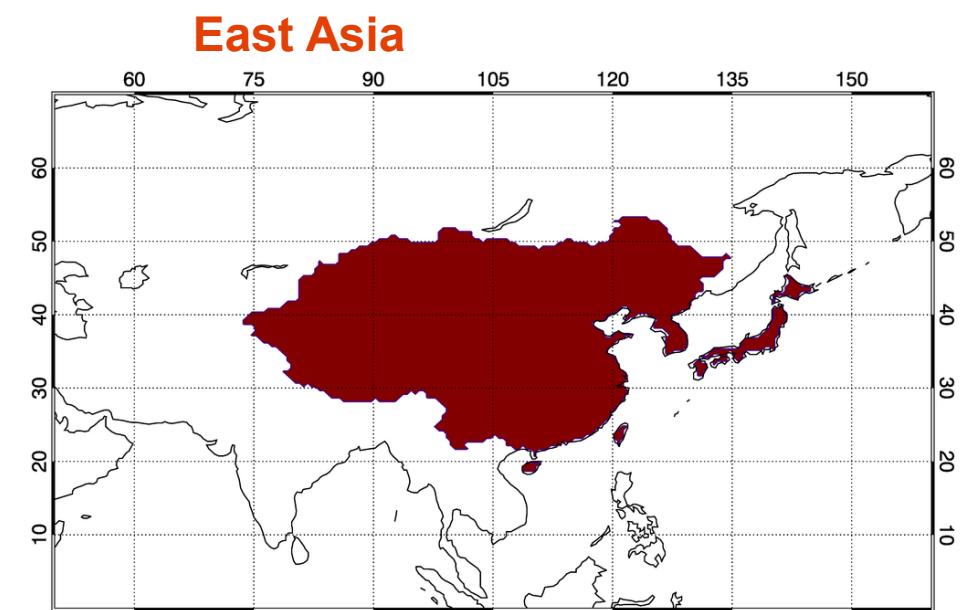
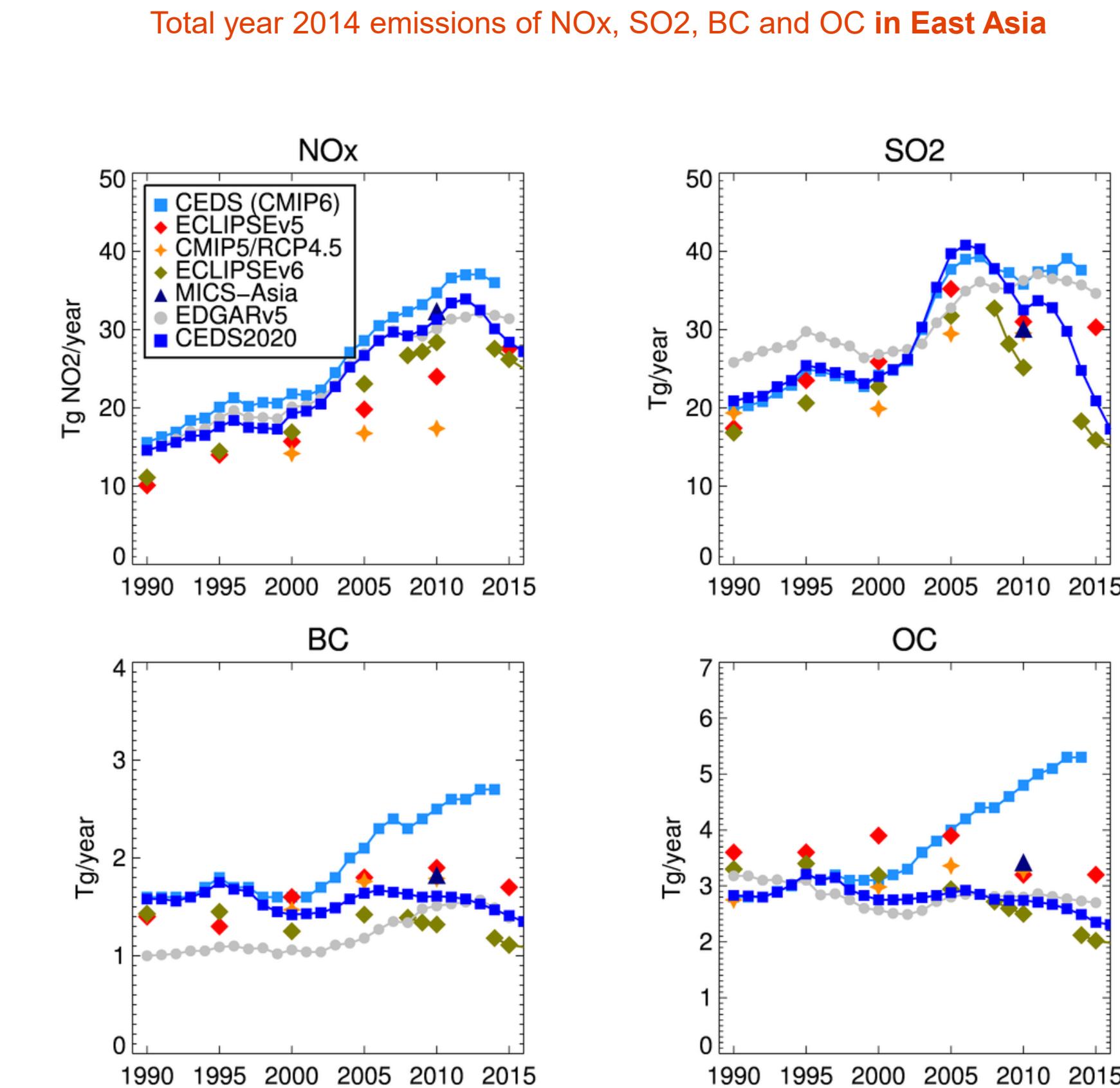
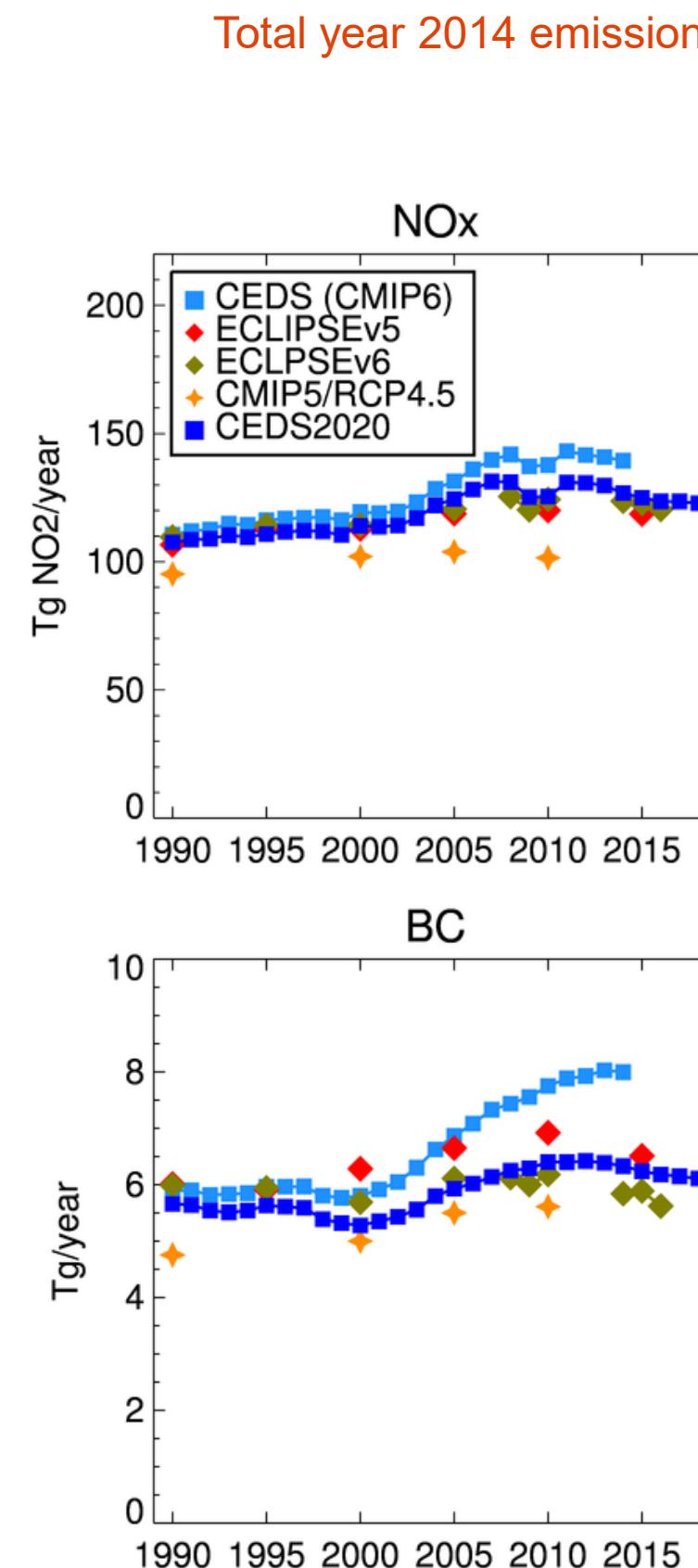
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Marianne T. Lund, senior researcher CICERO  
Project kick-off, 12/09/20

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# Significant differences between emission inventories

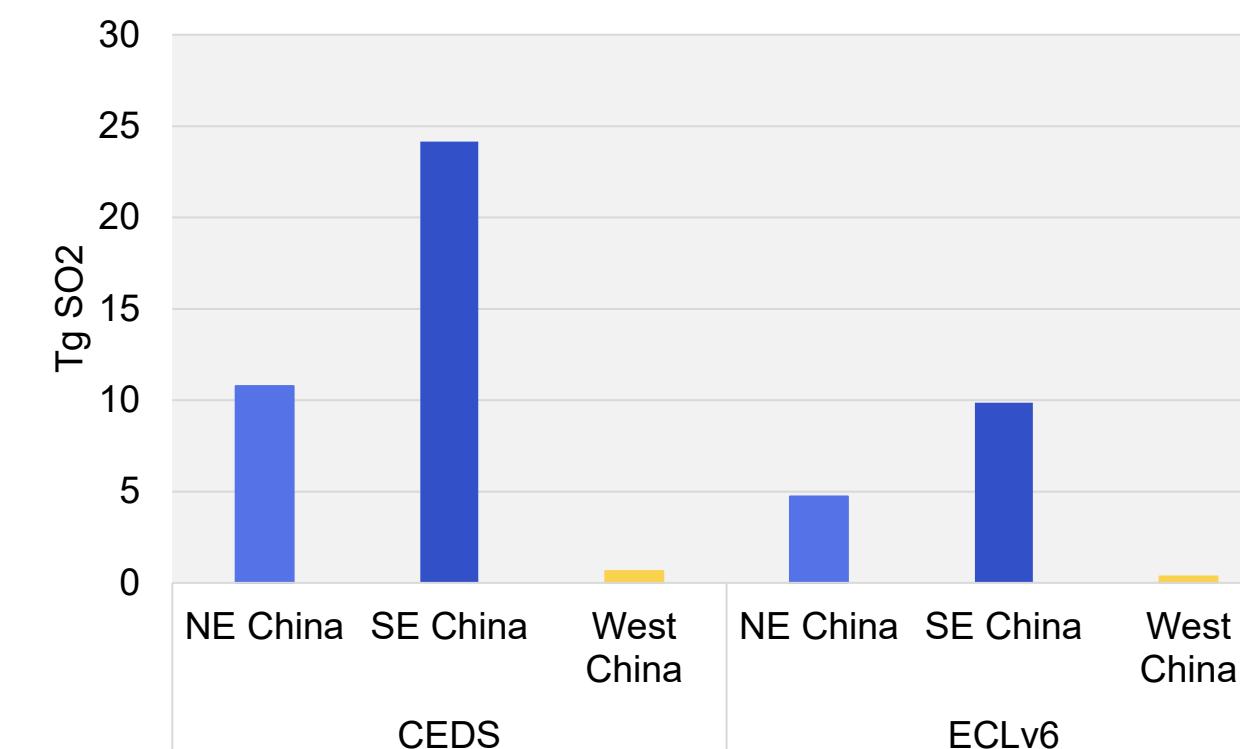
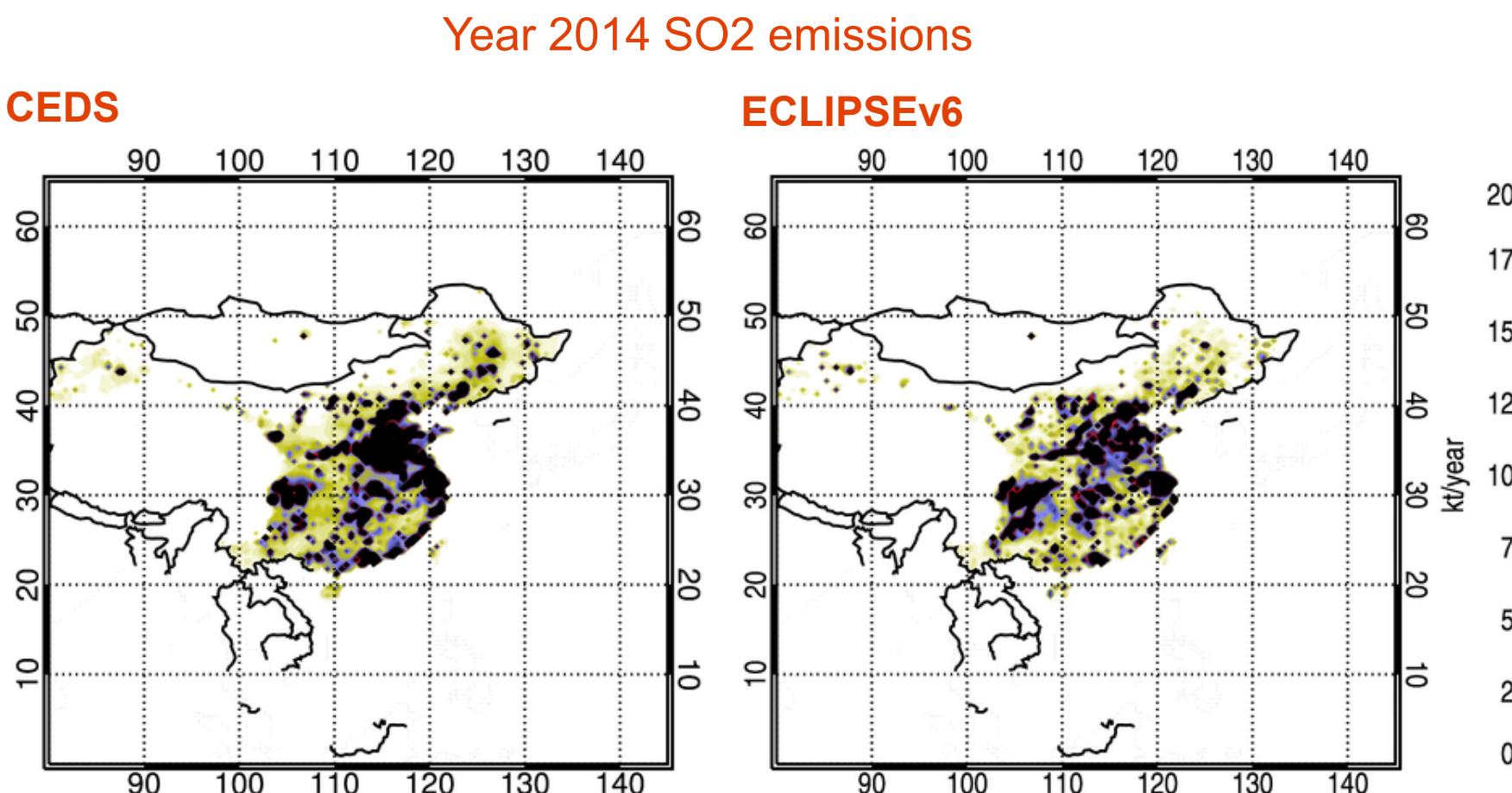
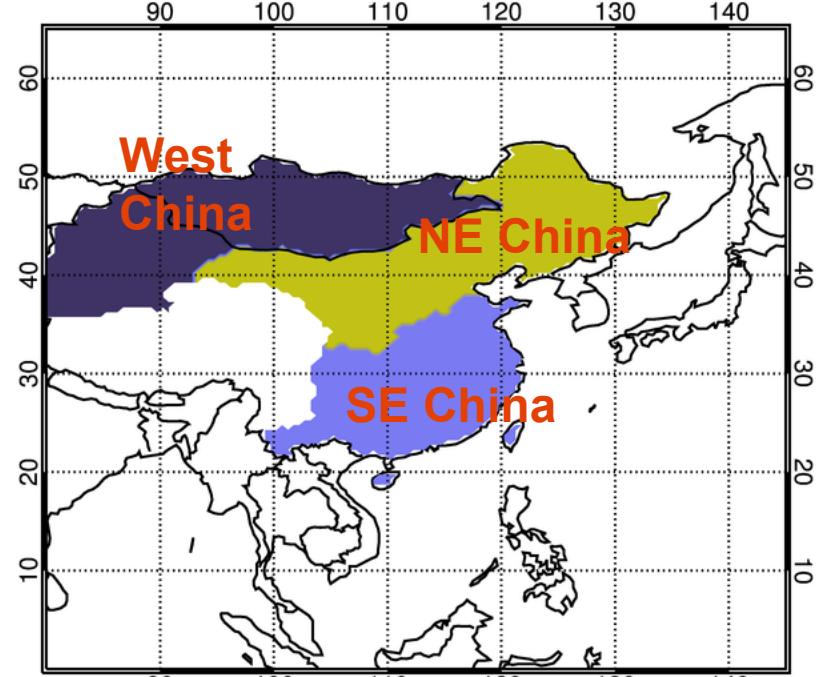
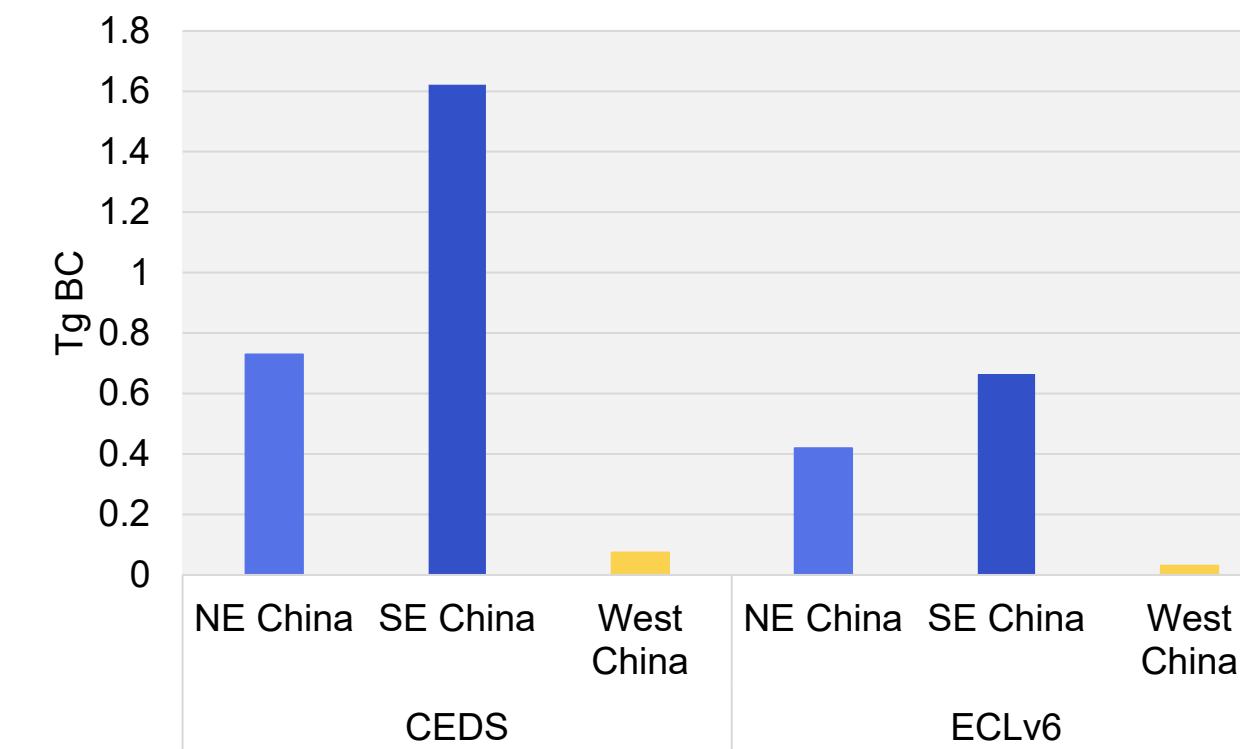
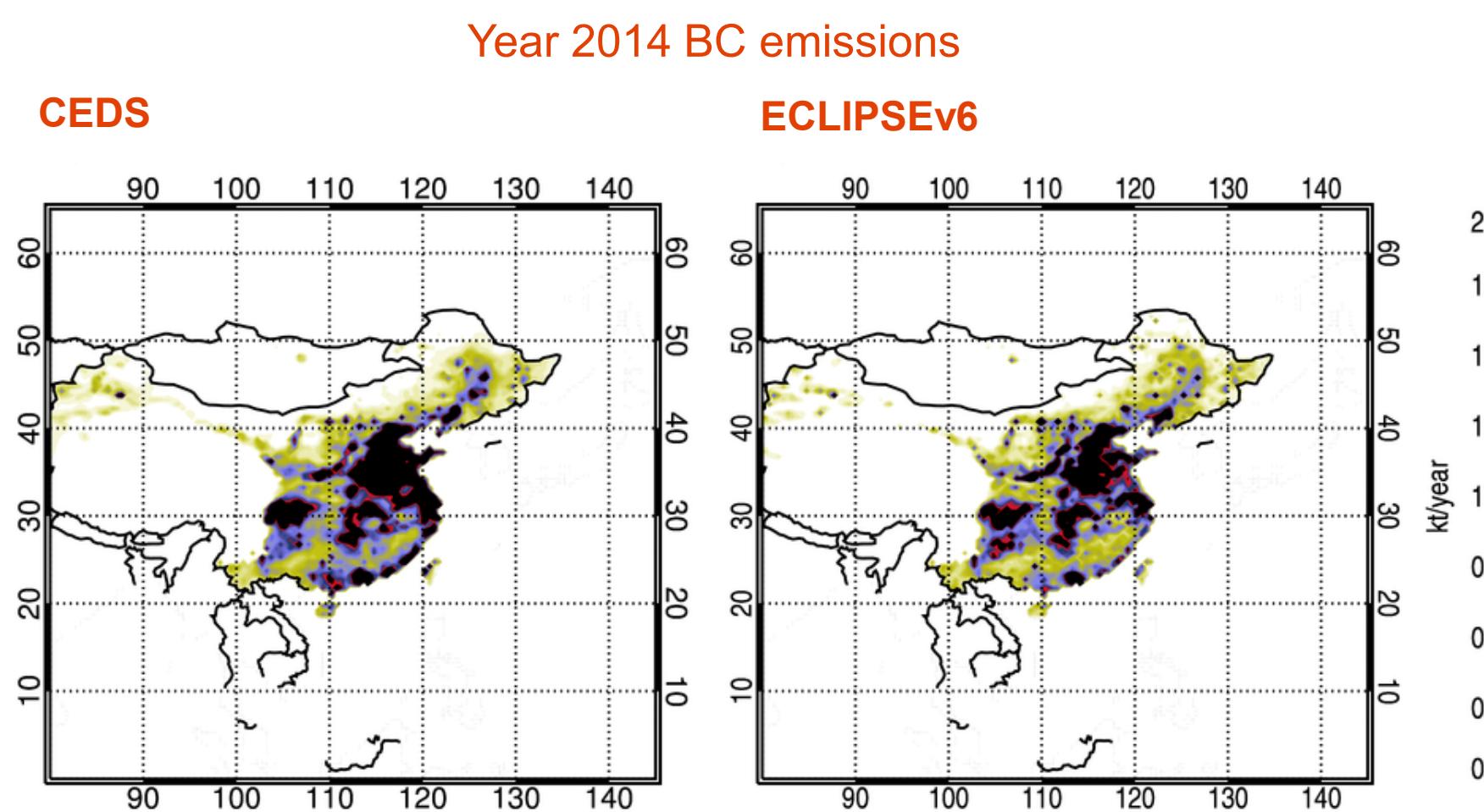
NB! Preliminary work



CEDS: Hosely et al. 2018; var Maarle et al. 2018. CMIP5/RCP45: Lamarque et al. 2020; Clarke et al. 2007. EDGARv5: Crippa et al. 2019.  
MICS-Asia: Li et al. 2017. ECLIPSEv5: Klimont et al. 2017. ECLIPSEv6: Zig Klimont, personal communication.  
[CEDS2020: A Community Emissions Data System \(CEDS\) for Historical Emissions | Joint Global Change Research Institute \(umd.edu\)](#)

## Significant differences between emission inventories – cont.

NB! Preliminary work



CEDS: Hosely et al. 2018; var Maarle et al. 2018. ECLIPSEv6: Zig Klimont, personal communication.

## How does this difference translate to model simulations of air pollution and its radiative forcing?

### Method:

Global chemical-transport model OsloCTM3 (<https://www.cicero.oslo.no/en/osloctm3>) with year 2014 meteorological data and emissions from:

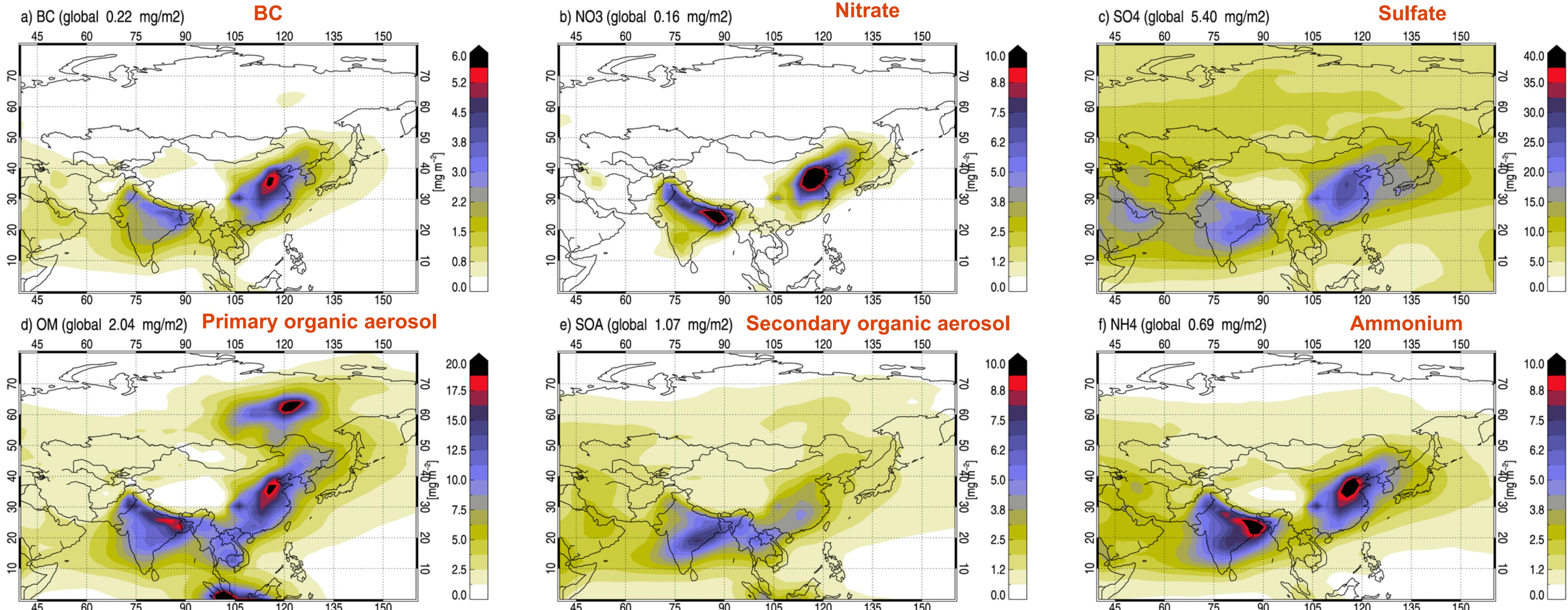
- 1) CEDS (Community Emission Data System) inventory
- 2) CEDS emissions in East Asia replaced with ECLIPSEv6

Species: BC, OC, SO<sub>2</sub>, NO<sub>x</sub>, CO, nmVOCs, NH<sub>3</sub>

NB! Preliminary work

## Baseline modeled particle concentrations

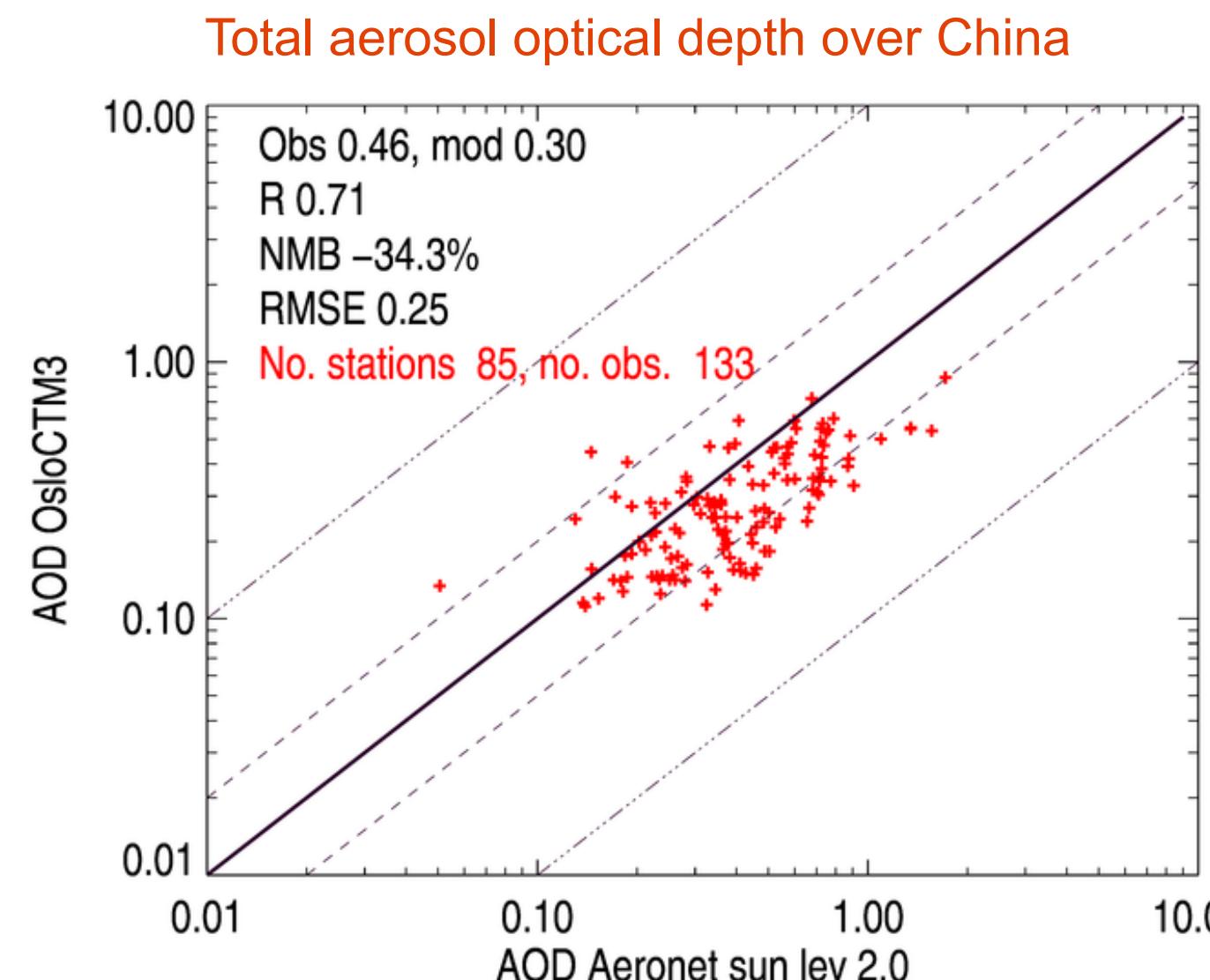
Baseline annual mean atmospheric burden of air pollutants



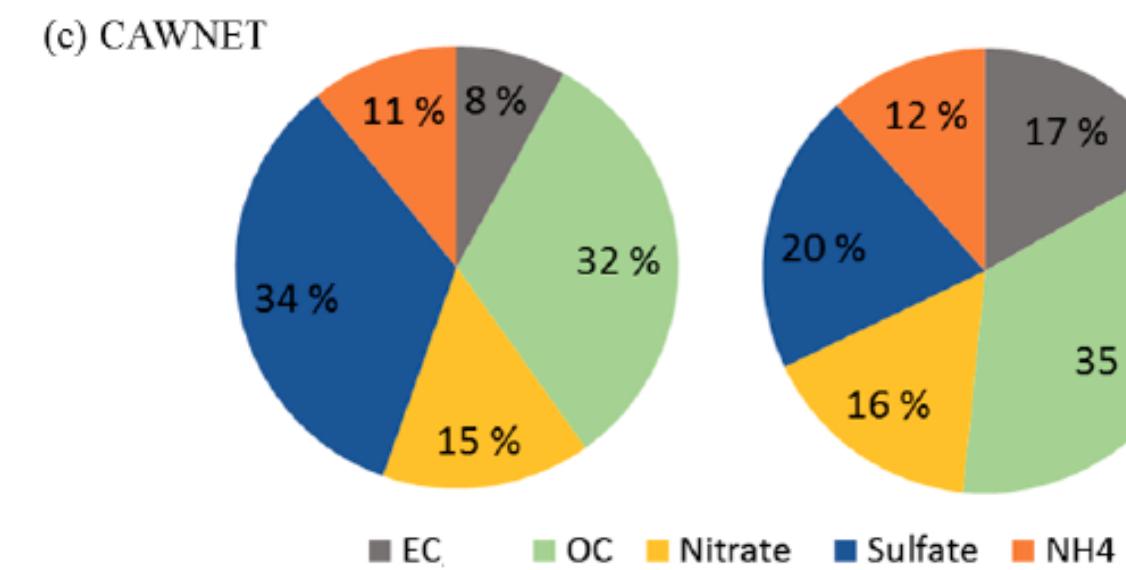
# Previous model–observation comparison shows quite good performance – implications for new results?

Concentrations and radiative forcing of anthropogenic aerosols from 1750 to 2014 simulated with the Oslo CTM3 and CEDS emission inventory

Marianne Tronstad Lund<sup>1</sup>, Gunnar Myhre<sup>1</sup>, Amund Søvde Haslerud<sup>1</sup>, Ragnhild Bieltvedt Skeie<sup>1</sup>, Jan Griesfeller<sup>2</sup>, Stephen Matthew Platt<sup>3</sup>, Rajesh Kumar<sup>4,5</sup>, Cathrine Lund Myhre<sup>3</sup>, and Michael Schulz<sup>2</sup>



Relative aerosol composition



**Figure 2.** Aerosol composition (fraction of total aerosol mass) derived from the IMPROVE, EMEP–ACTRIS, and CAWNET networks (left column) and corresponding Oslo CTM3 results (right column).

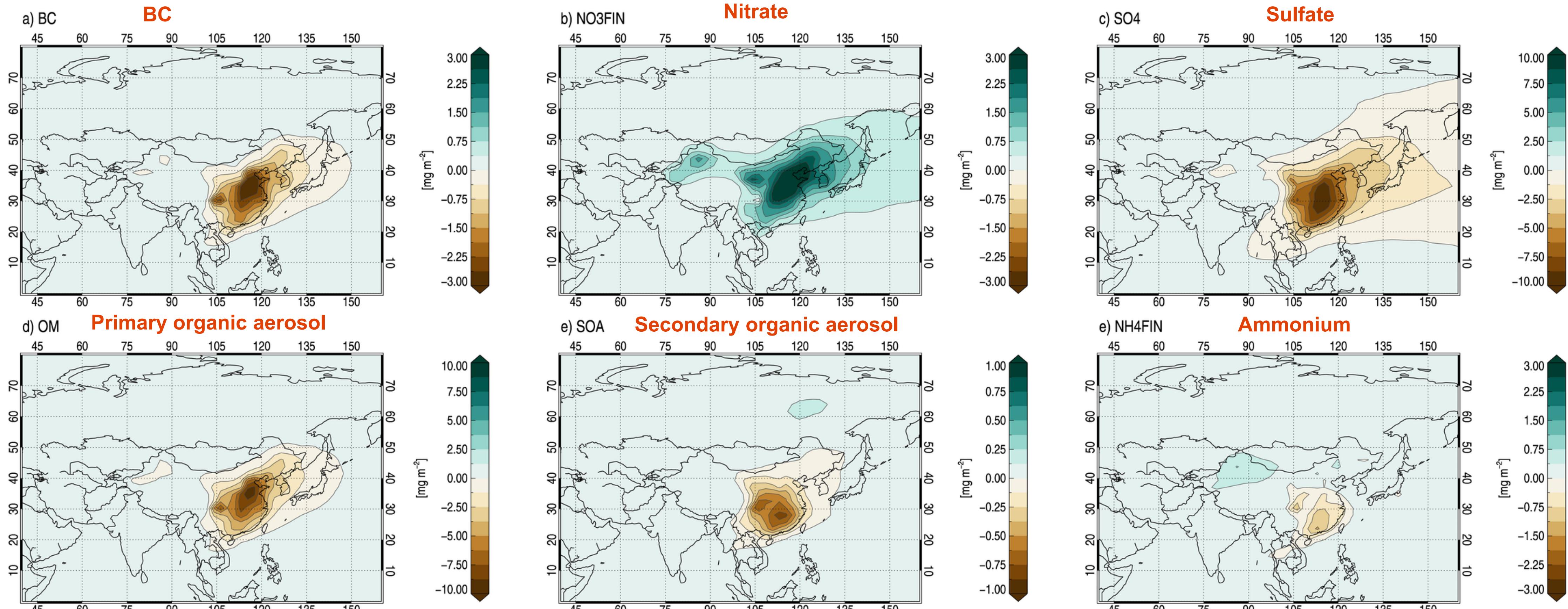
Surface measurements

*Table S2: Summary of bias, error and correlation of modeled against measured surface concentrations by region/network.*

China (CAWNET) (Zhang et al., 2012)		
EC	RMSE	3.1
	NMB	-18
	R	0.66
OC	RMSE	16
	NMB	-58
	R	0.49
Nitrate	RMSE	8.1
	NMB	-58
	R	0.74
Sulfate	RMSE	21
	NMB	-76
	R	0.89

## Results - particles

Difference in annual mean atmospheric burden of air pollutants between ECLIPSEv6 and CEDS simulation



## Results - particles

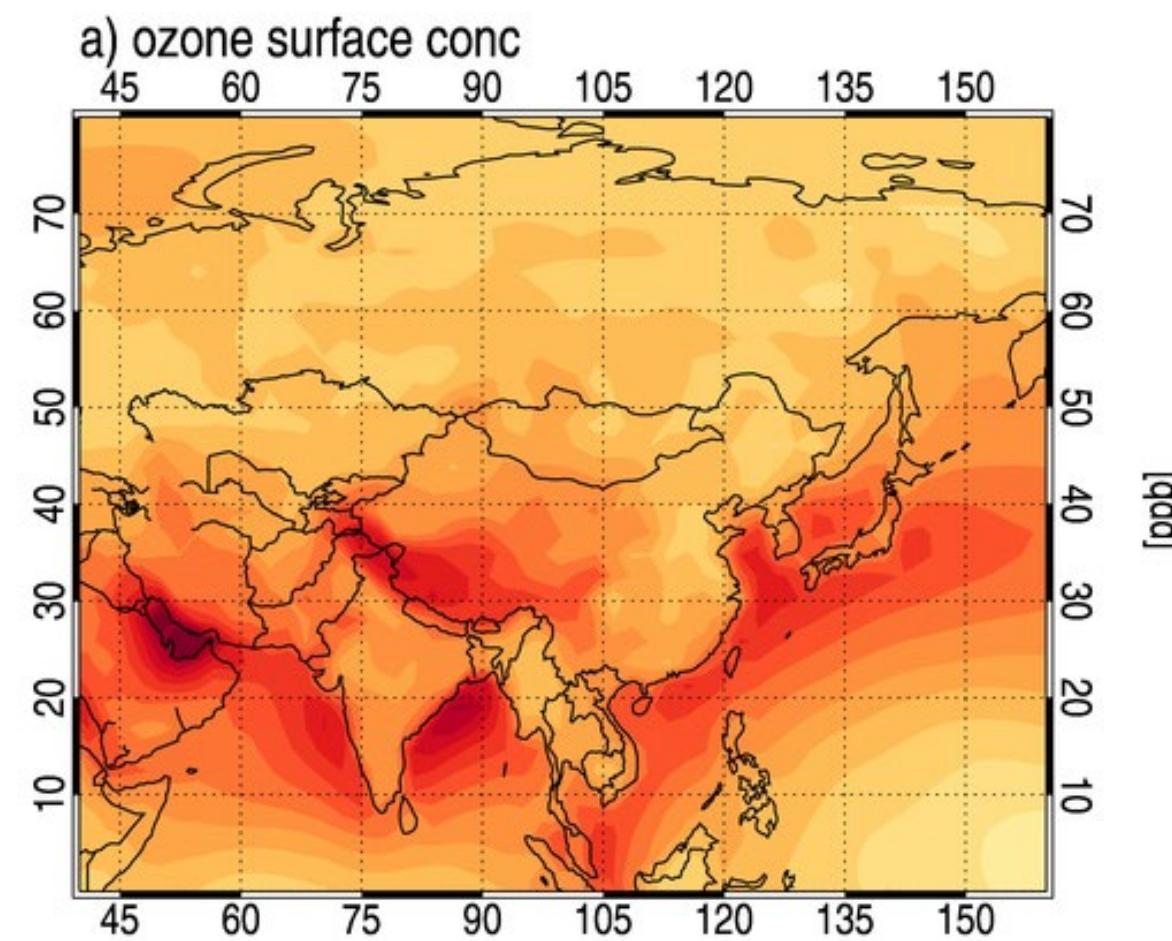
Ratio of average atmospheric air pollutants levels between ECLIPSEv6 and CEDS simulation

	<b>Global average</b>	
	Burden	Surface concentration
<b>BC</b>	0.89	0.81
<b>POA</b>	0.96	0.90
<b>SOA</b>	1.01	0.99
<b>SO4</b>	0.94	0.93
<b>NO3</b>	1.27	1.02
<b>NH4</b>	1.001	0.94

	<b>EAS average</b>	
	Burden	Surface concentration
<b>BC</b>	0.52	0.43
<b>POA</b>	0.71	0.54
<b>SOA</b>	0.93	0.86
<b>SO4</b>	0.76	0.67
<b>NO3</b>	1.47	1.03
<b>NH4</b>	0.97	0.78

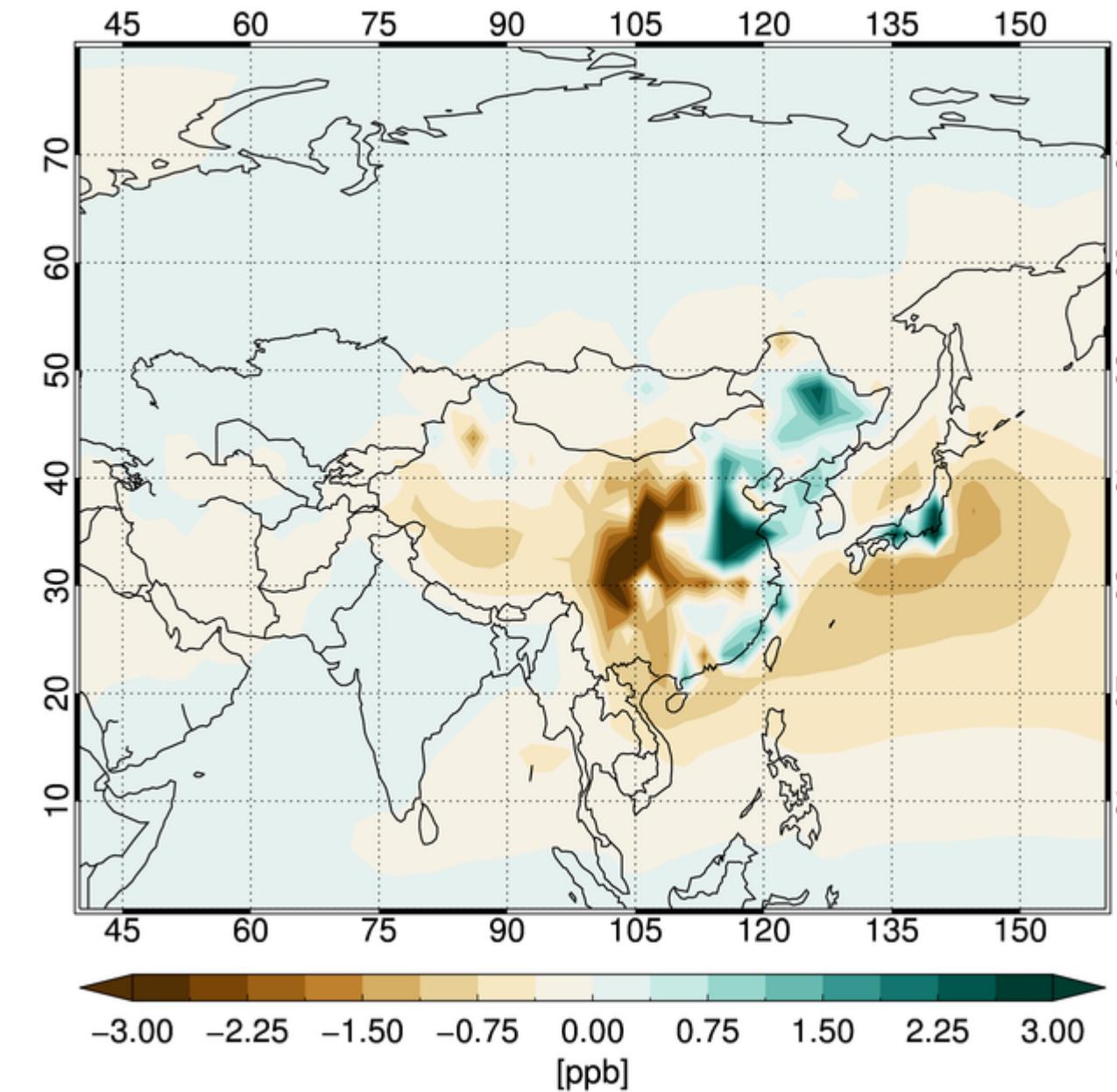
## Results - ozone

Baseline ozone surface concentration

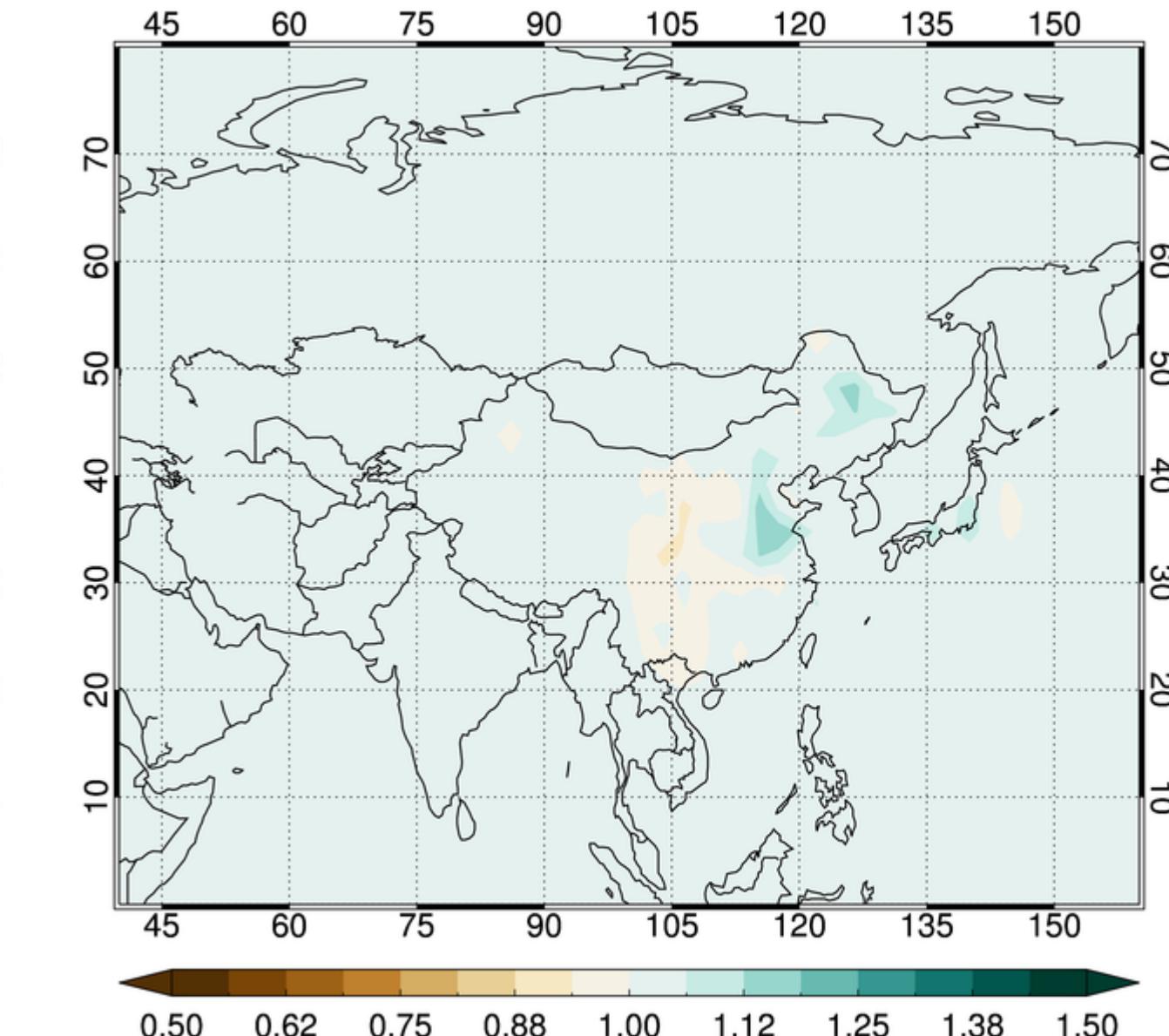


Difference in annual mean surface ozone between ECLIPSEv6 and CEDS simulation

a) Absolute



b) ratio



## Next steps:

What do these differences mean for:

- 1) Model performance compared to observations
- 2) Air quality/health impact assessment
- 3) Radiative forcing

Thanks!

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  -  [cicero.oslo.no](#)
  -  [cicerosenterforklimaforskning](#)
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# Health Impacts of Air Pollution in China

## Review and estimates using the ECLIPSE v6 scenarios

Shilpa Rao, Norwegian Institute of Public Health

**Kick-off meeting of the Chinese-Norwegian Project on  
Emission, Impact, and Control Policy for Black Carbon and Its Co-benefits in  
Northern China**

09.12.2020

# Main goals

- i) Review published epidemiological and toxicological studies in China and globally and evaluate the different main exposure sources, the association between exposure to PM2.5 and BC, and health effects.
- ii) Conduct a statistical analysis to describe the relationship between exposures and their impacts on health outcomes.
- iii) Perform a health risk assessment and disease burden analysis in China using the published dose-response of PM2.5 and BC.

*Output 4: Health Impacts of BC, Task 4.3, Chinese-Norwegian Project on Emission, Impact, and Control Policy for Black Carbon and Its Co-benefits in Northern China*

# Top 25 causes of DALYs in China, 1990–2017

Leading causes 1990

1 Lower respiratory infections
2 Neonatal disorders
3 Stroke
4 COPD
5 Congenital birth defects
6 Road injuries
7 Ischaemic heart disease
8 Drowning
9 Self-harm
10 Diarrhoeal diseases
11 Liver cancer
12 Stomach cancer
13 Tuberculosis
14 Lung cancer
15 Depressive disorders
16 Drug use disorders
17 Low back pain
18 Cirrhosis
19 Diabetes mellitus
20 Headache disorders
21 Neck pain
22 Age-related hearing loss
23 Chronic kidney disease
24 Other musculoskeletal
25 Hypertensive heart disease
26 Oesophageal cancer
27 Falls
28 Blindness
29 Alzheimer's disease

Leading causes 2017

1 Stroke
2 Ischaemic heart disease
3 COPD
4 Lung cancer
5 Road injuries
6 Neonatal disorders
7 Liver cancer
8 Diabetes mellitus
9 Neck pain
10 Depressive disorders
11 Age-related hearing loss
12 Stomach cancer
13 Low back pain
14 Alzheimer's disease
15 Other musculoskeletal
16 Headache disorders
17 Falls
18 Drug use disorders
19 Blindness
20 Congenital birth defects
21 Chronic kidney disease
22 Hypertensive heart disease
23 Cirrhosis
24 Oesophageal cancer
25 Lower respiratory infection
26 Self-harm
28 Drowning
34 Tuberculosis
37 Diarrhoeal diseases

Percentage change in  
number of all-age DALYs

Percentage change in  
all-age DALYs per 100 000  
population

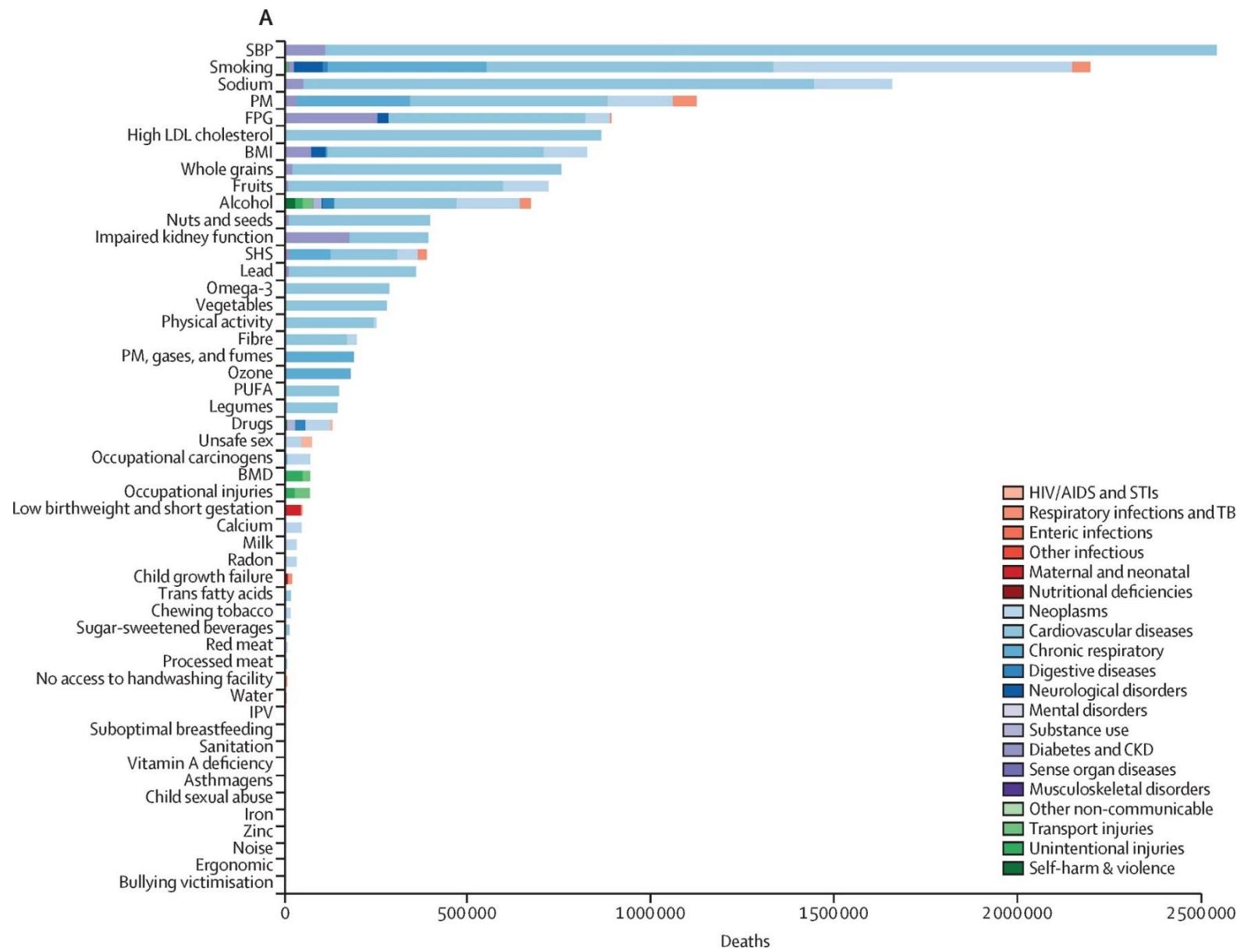
Percentage change in  
age-standardised DALYs  
per 100 000 population

46.8 (38.1 to 53.9)	24.4 (17 to 30.4)	-33.1 (-37.4 to -29.8)
125.3 (109.4 to 138.5)	90.9 (77.5 to 102.1)	4.6 (-3.3 to 10.7)
-24.2 (-28.9 to -12.9)	-35.8 (-39.7 to -26.2)	-66.4 (-68.4 to -61.2)
140.3 (117.2 to 157.7)	103.6 (84.1 to 118.3)	13.1 (2.3 to 21.2)
-3.8 (-13.9 to 5.2)	-18.5 (-27.1 to -10.9)	-25.0 (-32.5 to -18.8)
-64.8 (-70 to -58.8)	-70.2 (-74.6 to -65.1)	-60.8 (-66 to -55.3)
43.5 (31.3 to 60.3)	21.6 (11.3 to 35.9)	-28.3 (-34.4 to -19.9)
102.5 (93 to 112.3)	71.6 (63.5 to 79.9)	4.8 (-0.6 to 10)
81.1 (71.6 to 91.1)	53.4 (45.4 to 62)	2.6 (-1.3 to 6.6)
36.5 (29.3 to 43.9)	15.7 (9.6 to 21.9)	-12.5 (-14.7 to -10.3)
81.3 (77.7 to 84.7)	53.6 (50.6 to 56.5)	-2.6 (-4.1 to -1.3)
5.4 (-2.4 to 12.5)	-10.7 (-17.3 to -4.6)	-50.3 (-54 to -47)
23.2 (14.7 to 31.4)	4.4 (-2.8 to 11.3)	-23.2 (-26.9 to -19)
157.0 (138.4 to 170.3)	117.8 (102.1 to 129.1)	-7.5 (-13.8 to -3.1)
60.8 (50.6 to 72.1)	36.3 (27.7 to 45.8)	-1.2 (-5.4 to 2.1)
36.2 (31.8 to 41.5)	15.4 (11.7 to 19.9)	-0.2 (-2.5 to 2.2)
51.9 (8.4 to 74.1)	28.7 (-8.1 to 47.6)	3.8 (-25.6 to 18.6)
-5.0 (-12.8 to 2.8)	-19.5 (-26.1 to -12.9)	-21.2 (-28.1 to -14.9)
74.9 (70.9 to 79.2)	48.2 (44.8 to 51.8)	-7.3 (-9 to -5.9)
63.4 (-68.5 to -58.1)	-69.0 (-73.3 to -64.5)	-55.4 (-61 to -48.8)
15.5 (8 to 21.3)	-2.1 (-8.5 to 2.8)	-36.1 (-40.6 to -32.9)
18.3 (6.7 to 39.1)	0.3 (-9.6 to 17.9)	-48.6 (-53.8 to -39.4)
-12.5 (-23.8 to 24.6)	-25.9 (-35.4 to 5.6)	-53.9 (-59.9 to -34.9)
9.5 (0.7 to 17.8)	-7.2 (-14.6 to -0.1)	-50.1 (-54.1 to -46.4)
-88.6 (-89.8 to -86)	-90.3 (-91.3 to -88.2)	-88.6 (-89.9 to -86.4)

- Communicable, maternal, neonatal and nutritional
- Non-communicable
- Injuries





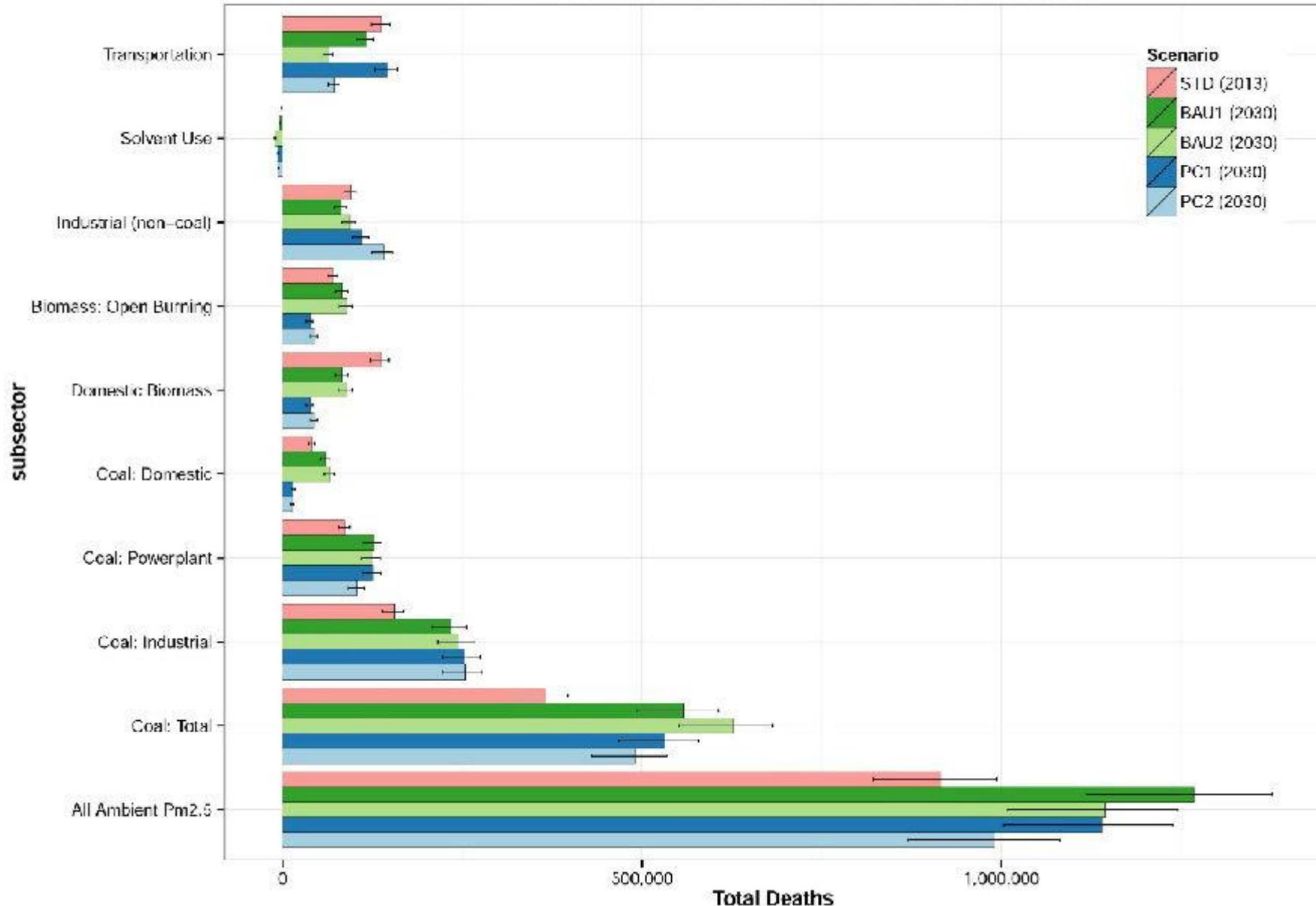


## Number of deaths and percentage of DALYs related to the leading level risk factors in China in 2017

	City	Study period	Study design	ER (%) of total non-accidental mortality (95%CI)	ER (%) of mortality due to CVD (95%CI)	ER (%) of mortality due to RD (95%CI)	
<b>PM10</b>							
<a href="#">Chen et al. (2010)</a>	Anshan	2004–2006	Case-crossover	0.24(-0.03.0.51)	0.67(0.29.1.04)	0.21(-0.82.1.24)	
<a href="#">Yang et al. (2013)</a>	Beijing	2009–2010	Time-series	0.25(0.17.0.33)	0.24(0.12.0.35)	0.29(0.03.0.54)	
<a href="#">Dong et al. (2013)</a>	Beijing	2007–2008	Case-crossover	—	0.36(-0.07.0.78)	—	
<a href="#">Zhang et al. (2012)</a>	Beijing	2008–2009	Time-series	0.15(0.05.0.24)	0.14(0.00.0.28)	0.29(0.02.0.58)	
<a href="#">Xue et al. (2012)</a>	Beijing	2005–2009	Time-series	0.13(0.08.0.17)	0.14(0.00.0.27)	0.12(0.06.0.19)	
<a href="#">Zhang et al. (2012)</a>	Beijing	2004–2008	Time-series	—	0.20(0.01.0.39)	—	
<a href="#">Zhang et al. (2011)</a>	Beijing	2003–2008	Time-series	—	0.16(0.14.0.18)	0.1(0.06.0.15)	
<a href="#">Yang and Pan (2008)</a>	Beijing		2003	Time-series	—	0.40(0.20.0.60)	
<a href="#">Yu et al. (2012)</a>	Guangzhou	2006–2009	Time-series	1.26(0.86.1.66)	1.79(1.11.2.47)	0.93(0.03.1.83)	
<a href="#">Huang et al. 2012</a>	Guangzhou	2004–2008	Time-series	0.94(0.79.1.09)	1.23(0.98.1.48)	0.97(0.62.1.32)	
<a href="#">Ren et al. (2007)</a>	Hangzhou	2002–2004	Case-crossover	—	0.61(0.28.0.94)	—	
<a href="#">Wong CMWong et al. (2012)</a>	Hong Kong	1985–1995	Time-series	0.09(-0.12.0.30)	-0.26(-0.67.0.18)	0.02(-0.33.0.36)	
<a href="#">Wong et al. (2010)</a>	Hong Kong	1996–2002	Time-series	0.53(0.26.0.81)	0.61(0.11.1.10)	0.83(0.23.1.44)	
<a href="#">Wong et al. 2002</a>	Hong Kong	1995–1998	Time-series	—	0.30(-0.20.0.80)	0.80(0.10.1.40)	
<a href="#">Tsai et al. (2003)</a>	Kaohsiung	1994–2000	Case-crossover	0.00(-0.81.0.82)	-0.44(-2.16.1.32)	0.34(-2.76.3.56)	
<a href="#">Zhang et al. (2011)</a>	Lanzhou	2004–2007	Time-series	0.69(0.28.1.10)	—	—	
<a href="#">Chen et al. (2013)</a>	Shanghai	2001–2008	Time-series	0.15(0.07.0.23)	—	—	
<a href="#">Wong et al. (2010)</a>	Shanghai	2001–2004	Time-series	0.26(0.14.0.37)	0.27(0.10.0.44)	0.27(-0.01.0.56)	
<a href="#">Huang et al. (2009)</a>	Shanghai	2004–2005	Time-series	0.14(0.02.0.26)	0.24(0.08.0.40)	0.22(-0.08.0.52)	
<a href="#">Song et al. (2008)</a>	Shanghai		2002	Time-series	0.57(0.18.0.96)	1.08(0.33.1.83)	1.23(0.40.2.06)
<a href="#">Jia et al. (2004)</a>	Shanghai	2000–2002	Case-crossover	0.70(0.30.1.10)	0.70(0.20.1.20)	—	
<a href="#">Kan and Chen (2003)</a>	Shanghai	2000–2001	Time-series	0.30(0.10.0.50)	0.30(0.00.0.60)	0.50(-0.1.1.10)	
<a href="#">Yang et al. (2010)</a>	Suzhou	2002–2007	Time-series	—	-1.38(-1.69,-1.07)	—	
<a href="#">Tsai et al. (2010)</a>	Taichung	1993–2006	Time-series	0.39(0.19.0.58)	—	—	
<a href="#">Yang et al. 2004</a>	Taipei	1994–1999	Case-crossover	-0.16(-0.93.0.63)	-0.38(-1.88.1.10)	-0.45(-3.09.2.30)	
<a href="#">Zhang et al. (2008)</a>	Taiyuan		2004	Time-series	—	4.80(0.20.9.60)	
<a href="#">Wang et al. (2013)</a>	Tianjin	2006–2010	Time-series	0.01(-0.40.0.42)	1.02(0.48.1.56)	—	
<a href="#">Li et al., 2013)</a>	Tianjin	2006–2009	Time-series	0.42(0.26.0.58)	0.41(0.21.0.62)	0.76(0.29.1.23)	
<a href="#">Zhang et al. (2010)</a>	Tianjin	2005–2007	Time-series	0.45(0.21.0.69)	0.60(0.29.0.91)	0.82(0.04.1.61)	
<a href="#">Liu et al. 2012)</a>	Wuhan	2005–2006	Case-crossover	—	—	0.50(0.40.0.60)	
<a href="#">Wong et al. (2010)</a>	Wuhan	2001–2004	Time-series	0.43(0.24.0.62)	0.57(0.31.0.84)	0.87(0.34.1.41)	
Combined estimate				0.36(0.26.0.46)R	0.36(0.24.0.49)R	0.42(0.28.0.55)R	
<b>PM2.5</b>							
[288]	Beijing	2007–2008	Case-crossover	—	0.78(0.07.1.49)	—	
<a href="#">Li Pli et al. 2013)</a>	Beijing	2004–2009	Time-series	—	—	0.69(0.54.0.85)	
<a href="#">Venners et al., (2003)</a>	Chongqing		1995	Time-series	0.00(-0.72.0.68)	—	
<a href="#">Yang et al. (2012)</a>	Guangzhou	2007–2008	Case-crossover	0.90(0.55.1.26)	1.22(0.63.1.68)	0.97(0.16.0.79)	
<a href="#">Geng et al. (2013)</a>	Shanghai	2007–2008	Time-series	0.57(0.12.1.01)	0.78(0.10.1.43)	0.07(-1.29.1.38)	
<a href="#">Chen et al. (2013)</a>	Shanghai	2001–2008	Time-series	0.17(0.02.0.35)	—	—	
<a href="#">Huang et al. (2009)</a>	Shanghai	2004–2005	Time-series	0.30(0.06.0.54)	0.39(0.12.0.66)	0.71(0.05.1.37)	
<a href="#">Song et al. 2008)</a>	Shanghai		2002	Time-series	0.85(0.32.1.39)	1.54(0.37.2.72)	2.02(1.14.2.91)
<a href="#">Ma et al. (2011)</a>	Shenyang	2006–2008	Case-crossover	0.49(0.19.0.79)	0.53(0.09.0.97)	0.97(0.01.1.94)	
<a href="#">Huang et al. (2012)</a>	Xi'an	2004–2008	Time-series	0.20(0.07.0.33)	0.27(0.08.0.46)	0.19(-0.20.0.59)	
Combined estimate				0.40(0.22.0.59)R	0.63(0.35.0.91)R	0.75(0.39.1.11)R	

## Meta review of mortality related risk factors in different locations in China

## Deaths Attributable to Air PM: China



# Review from the Health Impacts of BC

- BC is a universal indicator of a variable mixture of particulate material from a large variety of combustion sources and it is always associated with other substances from combustion sources, such as organic compounds.
- Recent studies increasingly indicate a wide range of outcomes associated with long-term exposure to black carbon including cardiovascular and respiratory outcomes; allergic respiratory diseases, diabetes, obesity; and cognition among others
- Due to significant uncertainties in the health effects of BC, WHO, 2012 recommended that PM2.5 should continue to be used as the primary metric in quantifying human exposure to PM and the health effects of such exposure, and for predicting the benefits of exposure reduction measure.
- Jensen et al (2015) suggest that BC is a valuable additional air quality indicator to evaluate the health risks of air quality dominated by primary combustion particles and is associated with a higher health risk as compared to PM2.5

# Review of studies on BC related health impacts in China

- **Baumgartner et al. 2014** suggest that BC from combustion emissions is more strongly associated with blood pressure than PM mass, and that BC's health effects may be larger among women living near a highway in rural China and with greater exposure to motor vehicle emissions.
- **Zhao et al. 2014 : Personal Black Carbon Exposure Influences Ambulatory Blood Pressure**
- **Chen et. Al. 2020, Characteristics and toxicological effects of commuter exposure to black carbon and metal components of fine particles (PM<sub>2.5</sub>) in Hong Kong:** importance of commuter exposures and their toxic effects, urging effective mitigating strategies to protect human health.
- **Li R, et al. 2019:** BC derived from three main emission sources (residential coal combustion, biomass burning, and diesel engine exhaust) in China was subjected to physiochemical characterization and its oxidative potential (OP) was tested. Coal consumption in China contributes to the highest amount of BC mass emission, but result indicates that diesel exhaust BC has the greatest OP. An emission inventory based on health impacts is urgently needed to control air pollution sources in the future.
- **Zhou et al. 2020 panel study** among elderly adults aged 60–69 years old in Jinan, China. Strong correlations were found between personal and ambient BC concentrations. Aside from ambient concentration, meteorological conditions, education level, individual activities such as using air purifiers were significant determinants of personal exposure to BC among elderly adults in urban area.

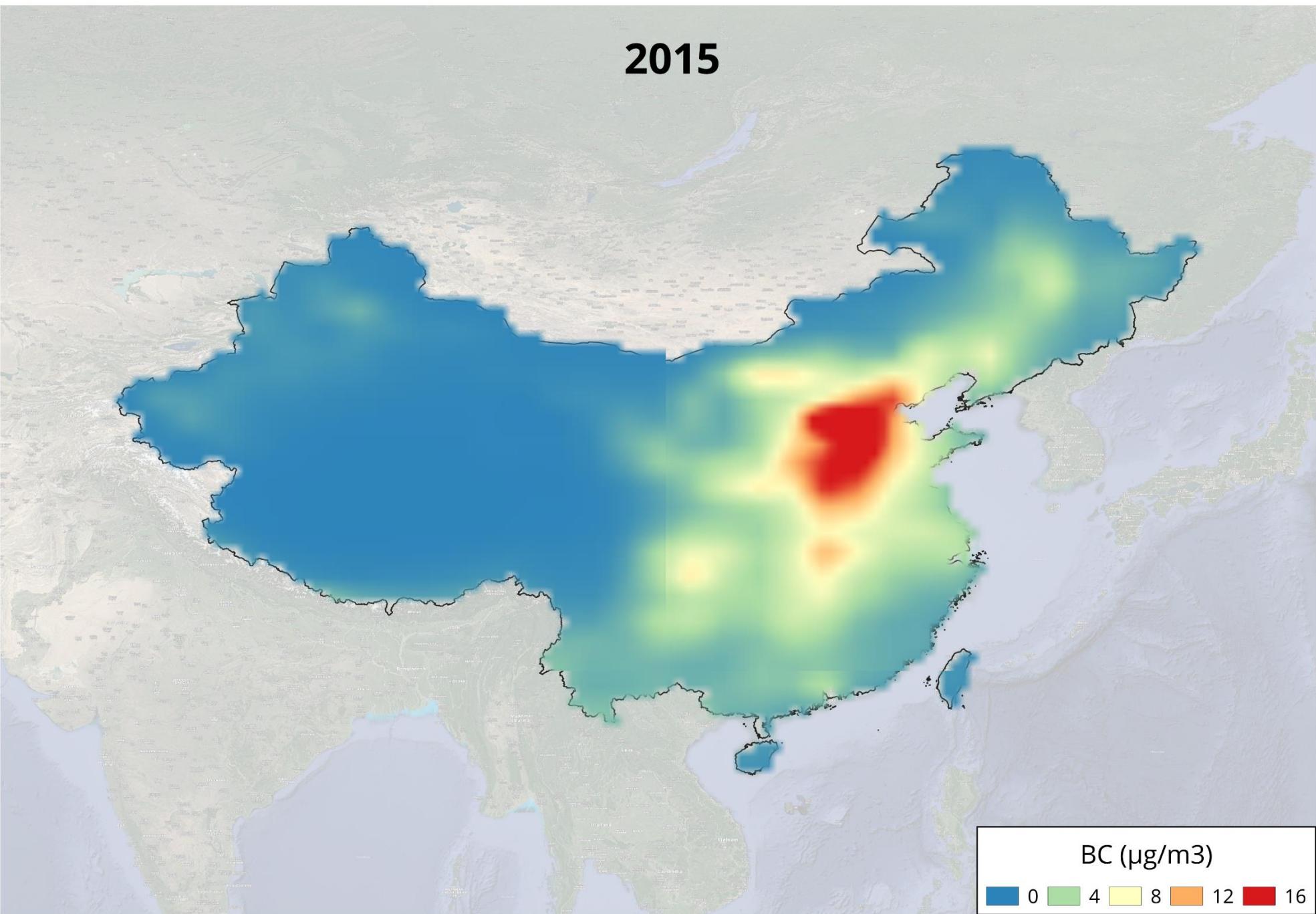
# Published articles on the health impacts of pollution policies in China

- **Wang et al., 2019** investigated premature deaths associated with PM<sub>2.5</sub> across China on the basis of air quality scenarios proposed by the expert group involved in the formulation of the 13th Five-Year Plan for Eco-Environmental Protection
- **Yue et al. 2020, Stronger policy required to substantially reduce deaths from PM<sub>2.5</sub> pollution in China** Chinese government implemented the Air Pollution Prevention and Control Action Plan (APPCAP) from 2013 to 2017
- **Maji , 2020** Substantial changes in PM<sub>2.5</sub> pollution and corresponding premature deaths across China during 2015–2019 (Total PM<sub>2.5</sub>-related nonaccidental deaths decreased by 383 thousand to 1755 thousand (28%)

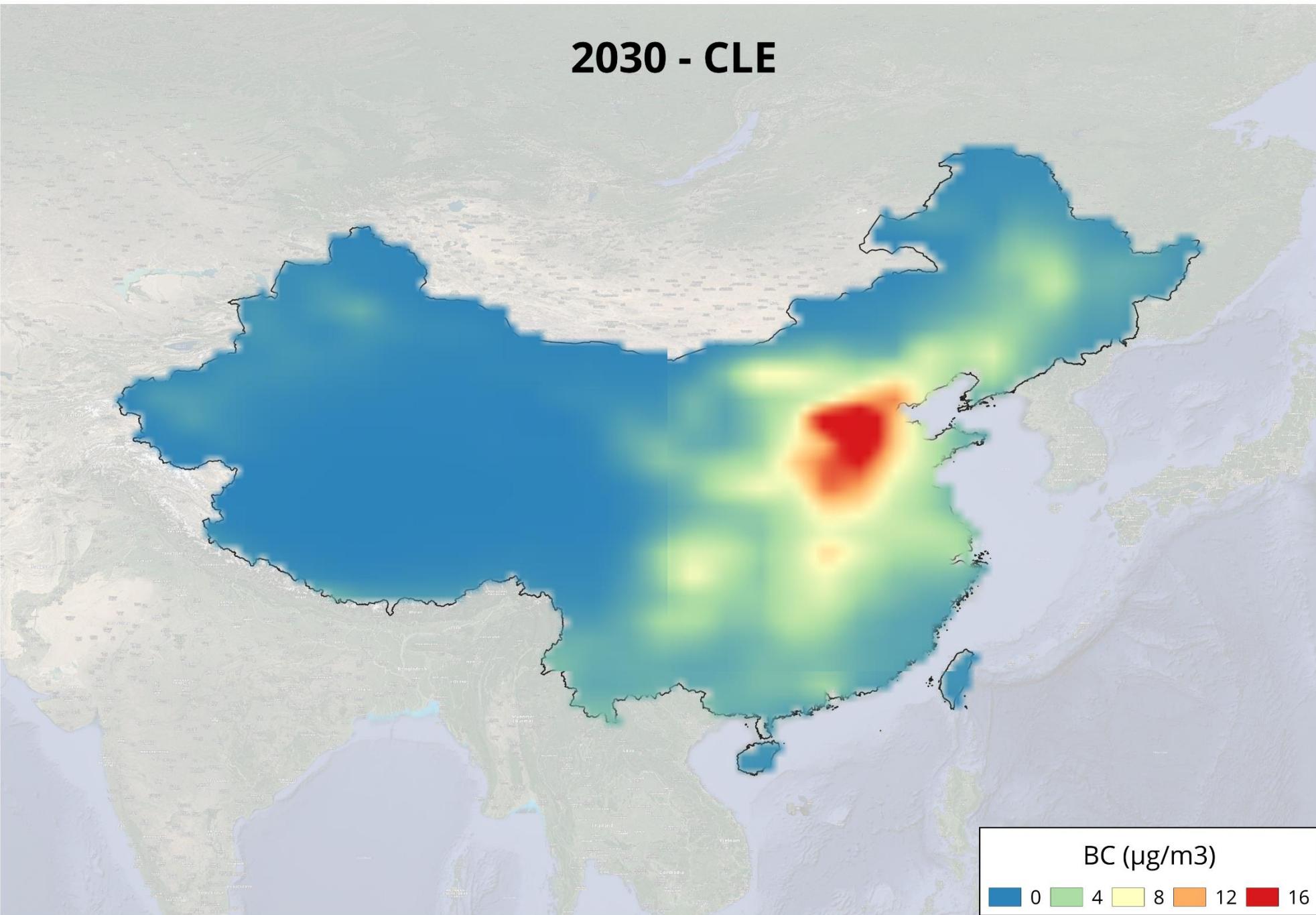
# Estimation Global Mortality burdens in the ECLIPSE scenarios in the AMAP SLCP group

- In order to examine the impacts of PM2.5 and BC on health, we use a set of emission scenarios developed by Klimont et al. (GAINS model) that include energy projections from the International Energy Agency (IEA)
- Air pollution control policies:
  - **CLE** – current legislation: efficient implementation of air pollution policies (including emission standards) committed before 2018
  - **MFR** – maximum technically feasible reduction: implementation of best available technologies defined in the GAINS model.
- PM2.5 and BC concentrations are simulated by multiple atmospheric models (relatively coarse resolution of air quality)
- Population scenarios are based on the Shared SocioEconomic Pathways of the Integrated Panel on Climate Change
- Risk functions for PM2.5 and BC are derived from the literature and based on the Global Burden of Disease (GBD) and other sources

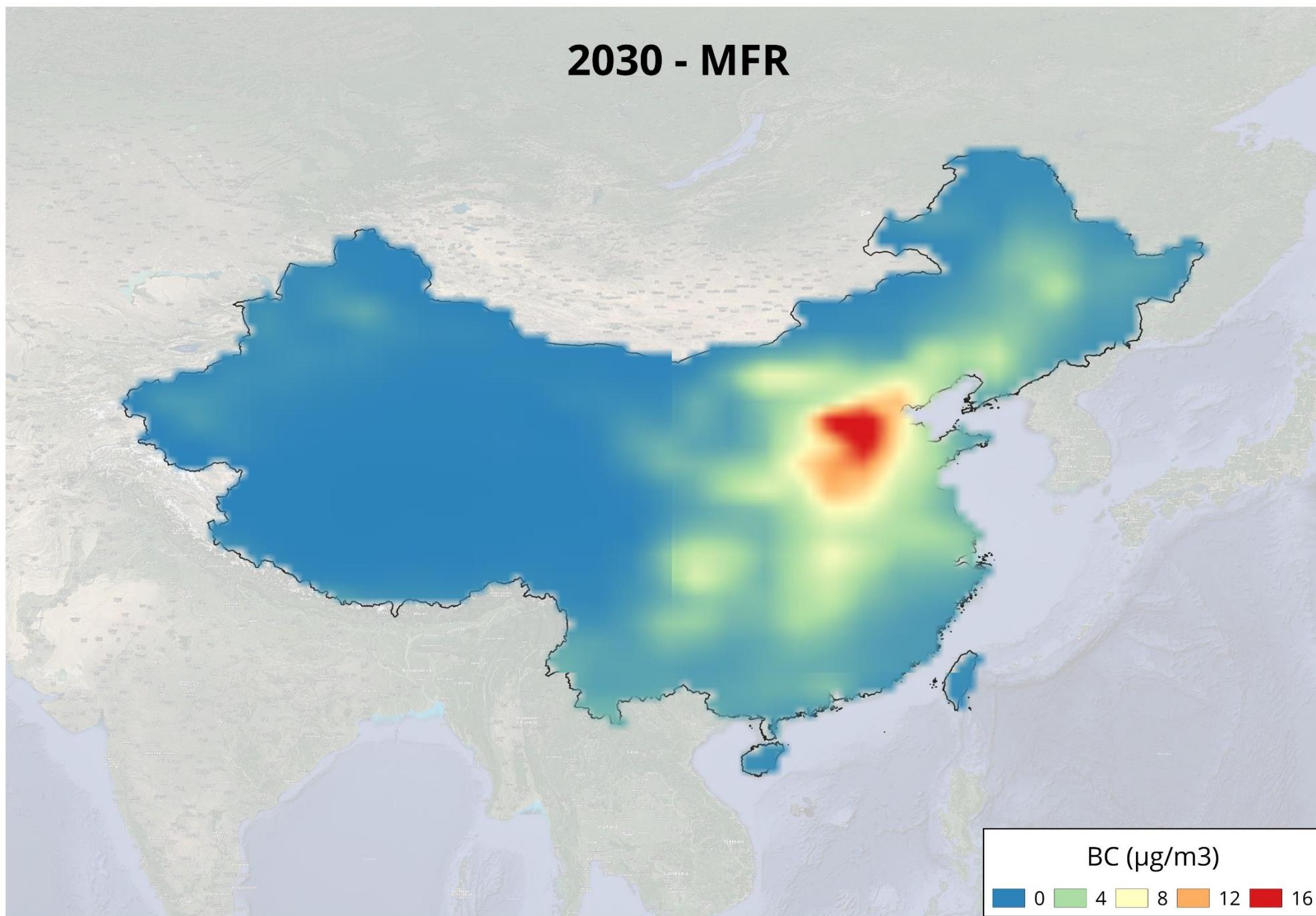
2015



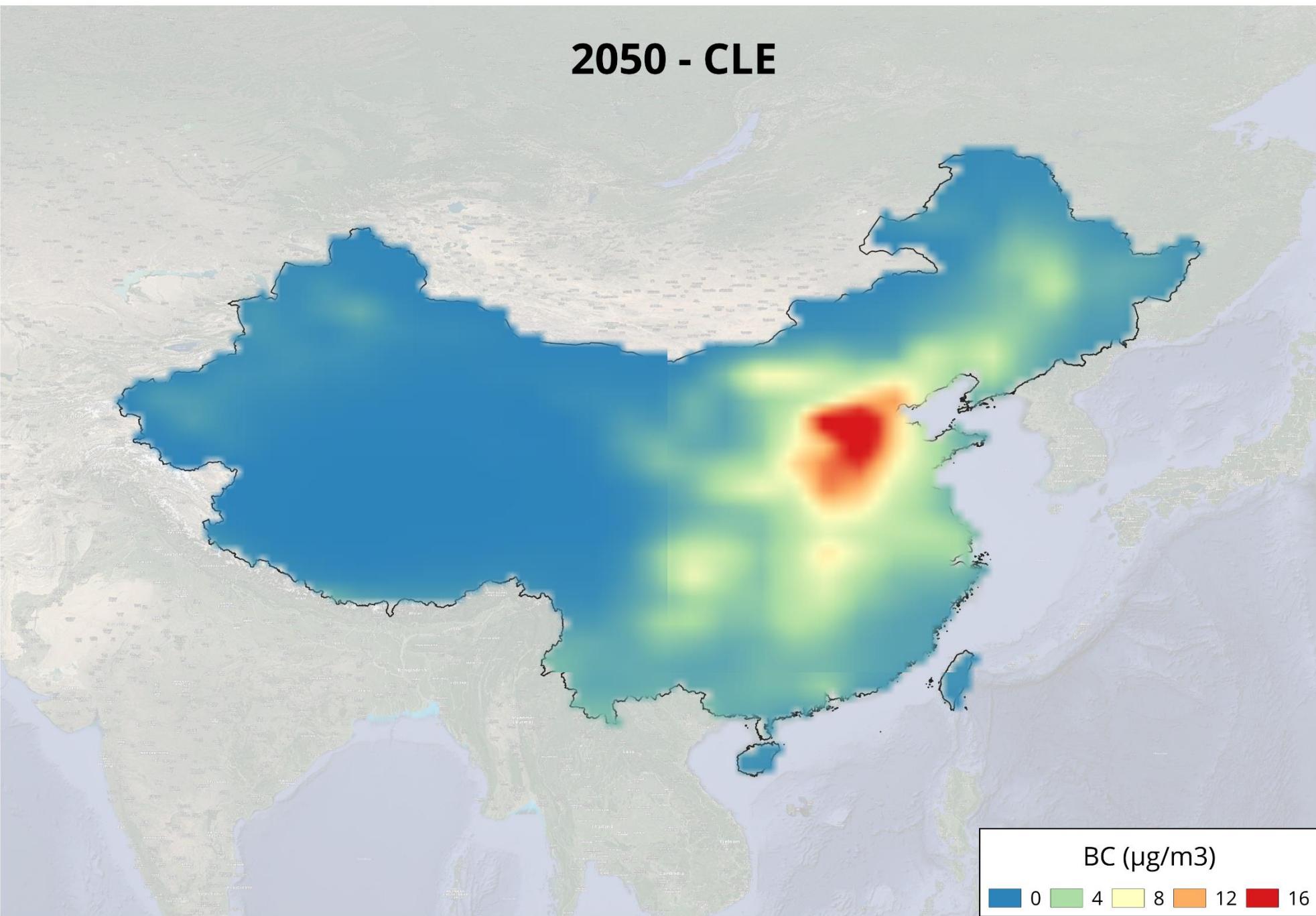
## 2030 - CLE



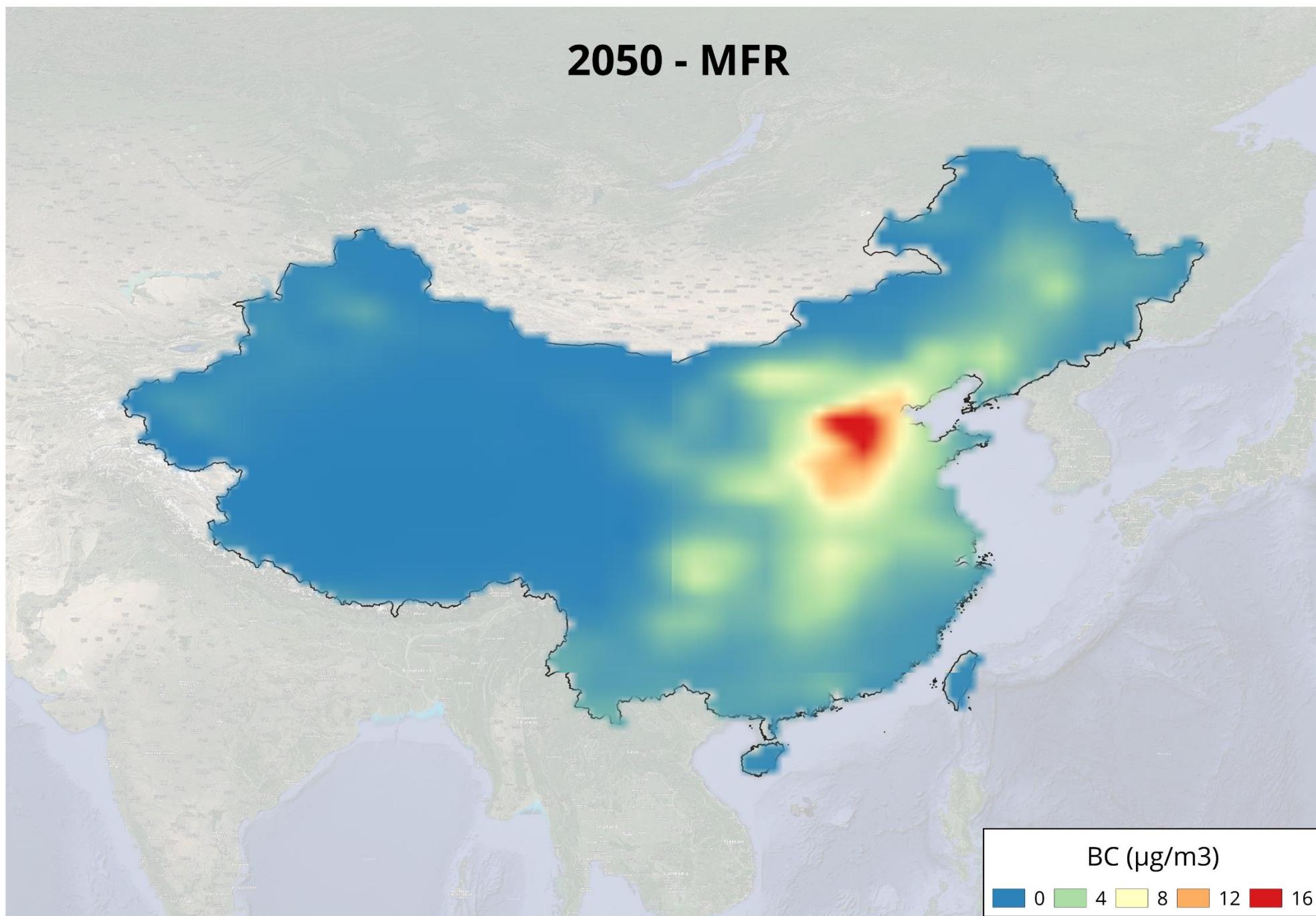
# 2030 - MFR



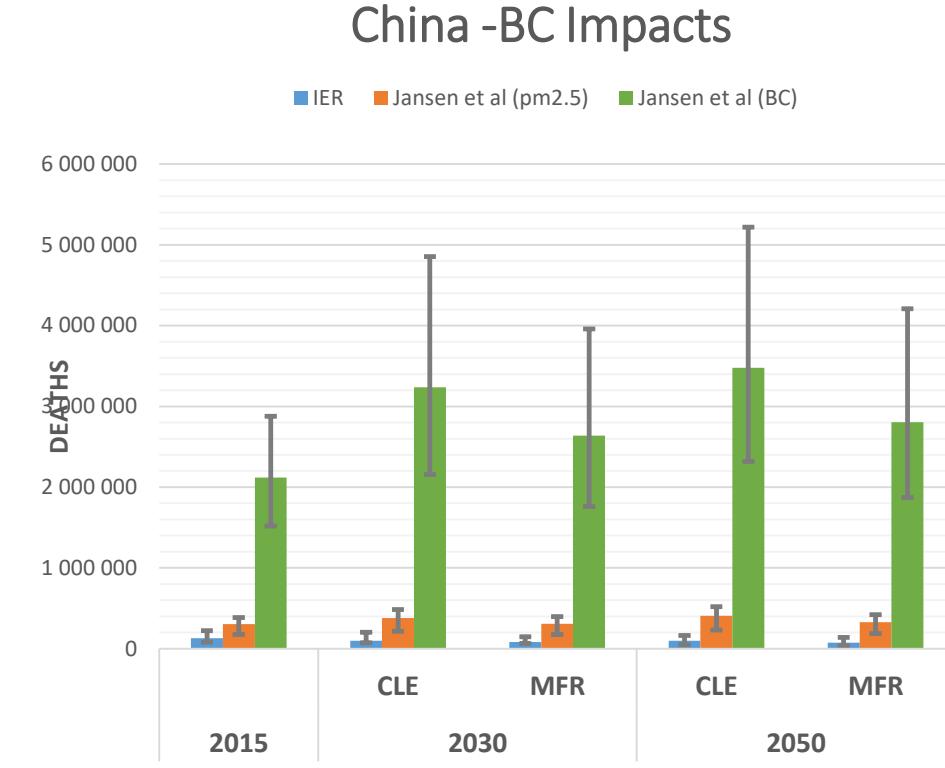
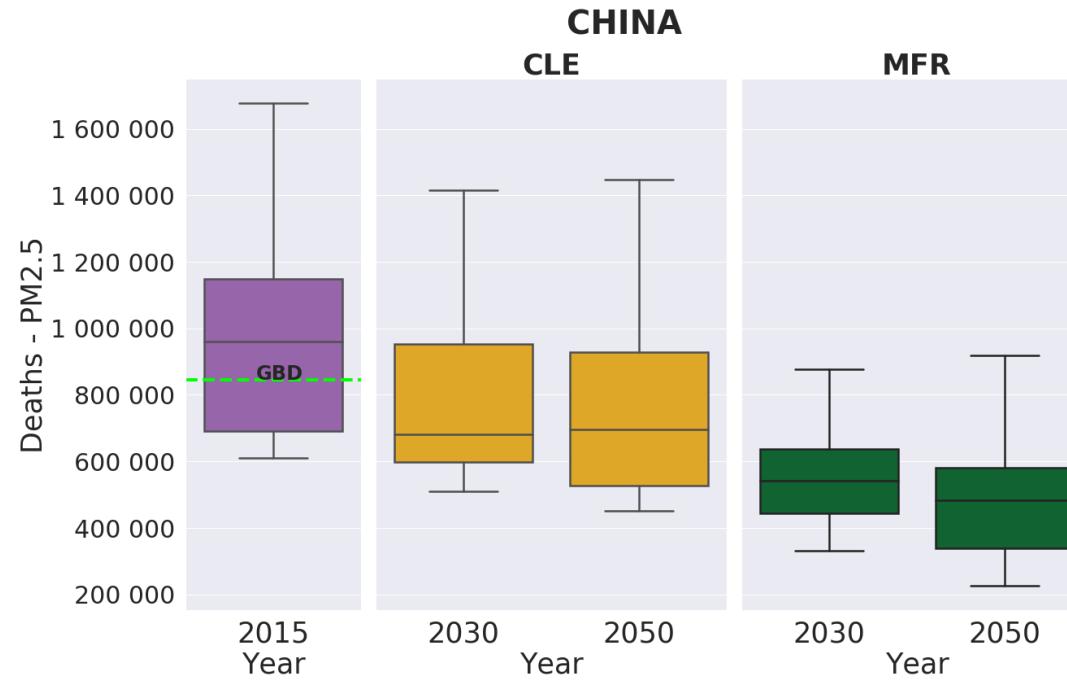
## 2050 - CLE



## 2050 - MFR



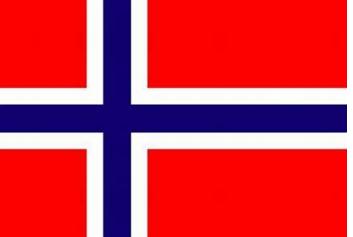
# PM2.5 related mortality in China in the AMAP ECLIPSE scenarios



- Current policies do not account clearly for the differences in health risks from different sources and do not account for all possible health outcomes.
- Health benefits induced by air quality improvements could be offset by the effect of the population increasing in size and ageing.

# Summary

- Current air pollution legislations in China are effective in reducing PM<sub>2.5</sub> emissions over the next few decades and result in corresponding reductions in related mortality (30-50%).
- Large uncertainties exist in estimates of BC related risk functions and mortality.
- Current policies do not account clearly for the differences in health risks from different sources and do not account for all possible health outcomes.
- Health benefits induced by air quality improvements could be offset by the effect of the population increasing in size and ageing
- Uncertainties associated with mitigating BC from different sources and its contribution to health effects must be accounted for while estimating inventories and planning mitigation policies.



中国 - 挪威合作中国北方地区黑碳排放、影响、控制  
对策及其协同效应研究项目启动会  
Chinese-Norwegian Project on Emission, Impact, and Control  
Policy for Black Carbon and its Co-benefits in Northern China Kick-  
off Meeting

从《UNEP短寿命气候污染物中国报告》谈起  
**On the *UNEP-China short-lived climate pollutants*  
(SLCPs) Report**

支国瑞  
ZHI Guorui

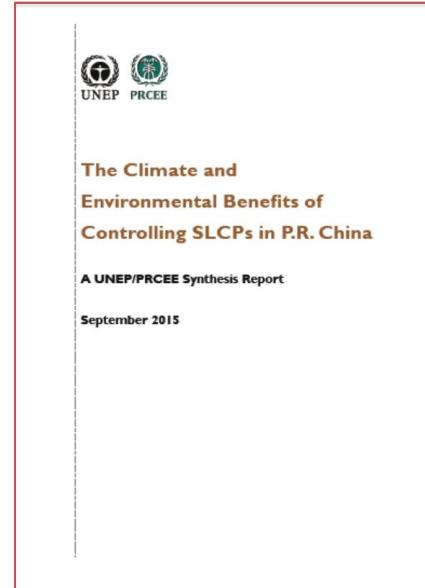
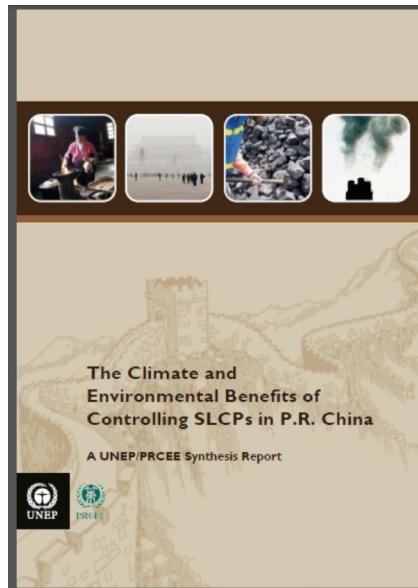
中国环境科学研究院  
Chinese Research Academy of Environmental Sciences (CRAES)

Beijing, China 2020.12.09

2013年，在中国环境保护部的支持下，联合国环境规划署和环保部环境与经济政策研究中心开展了“短寿命气候污染物”的相关合作。《中国控制短寿命气候污染物的环境和气候效益》报告是联合国环境规划署首次与中国开展“短寿命气候污染物”方面的政策研究合作成

In 2013, UNEP started the collaboration with Policy Research Center for Environment and Economy (PRCEE) of Ministry of Environmental Protection (MEP) on Short-Lived Climate Pollutants (SLCPs) with the support of MEP.

This is the first time that UNEP collaborated with China on SLCPs policy study with the report on “The Climate and Environmental Benefits of Controlling SLCPs in China”.



# Outline 报告提纲

- 为什么是中国

Why chose China for SLCPs assessment

- 《UNEP-中国SLCPs报告》简介

Brief introduction of the *UNEP-China SLCPs Report*

- 中挪双边黑碳项目：做出新贡献

China-Norwegian bilateral BC project: new contribution

# Why chose China for SLCPs assessment为什么是中国



(WMO GHG Bulletin 2010 温室气体公报2010)

CO<sub>2</sub> 是长寿命气体，非常稳定，即使停止排放，在大气中的历史累积依然会带来气温继续上升

The warming effect of CO<sub>2</sub> will continue to a long time due to CO<sub>2</sub>'s long lifetime even no CO<sub>2</sub> is newly released into atmosphere

# 为什么是中国 Why chose China for SLCPs assessment



Nobel Laureate 贝  
尔奖获得者  
Maria Molina

(WMO GHG Bulletin 2010 温室气体公报2010)

建议针对短寿命的气候开展快速行动防止危险气候变化

Propose rapid action on short-lived climate pollutants(SLCPs)  
to prevent dangerous climate change

甲烷 (Methane)

对流层臭氧 ( $O_3$ )

黑碳 (BC)

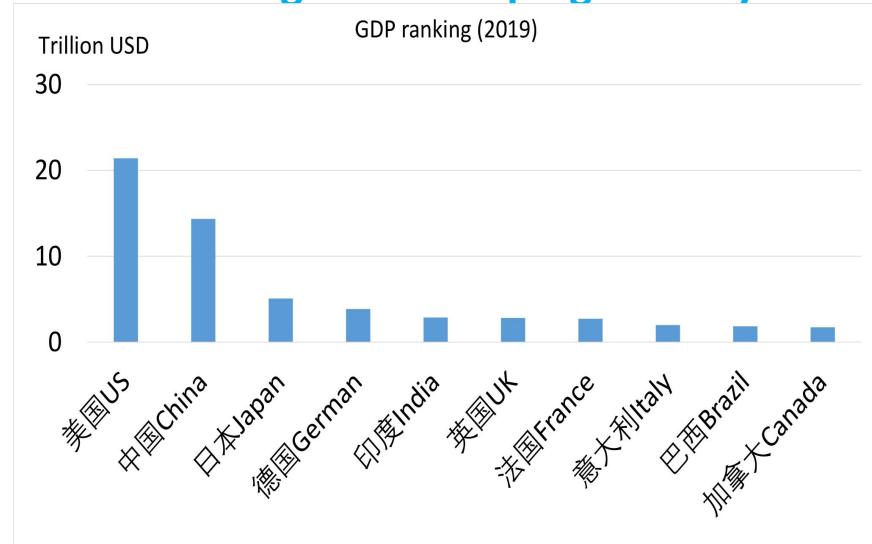
氢氟碳化物 (HFCs)

# 为什么是中国 Why chose China for SLCPs assessment



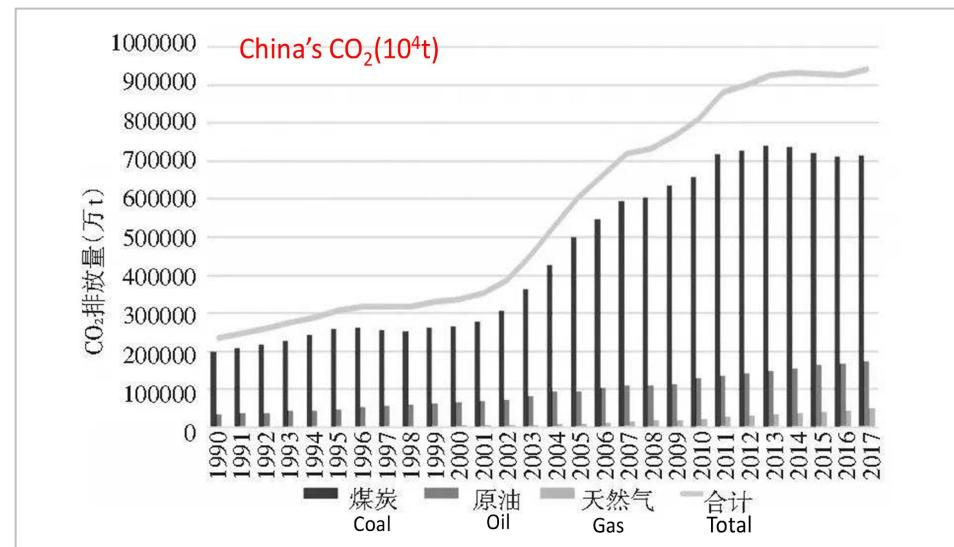
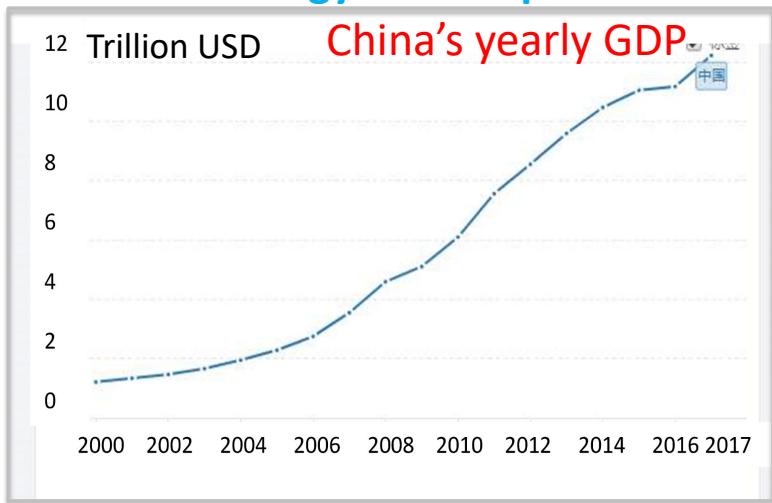
中国是最大的发展中国家

China is the largest developing country



中国的GDP快速增长，能源消耗也在增长

China's GDP and energy consumption on rise

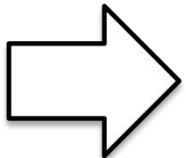
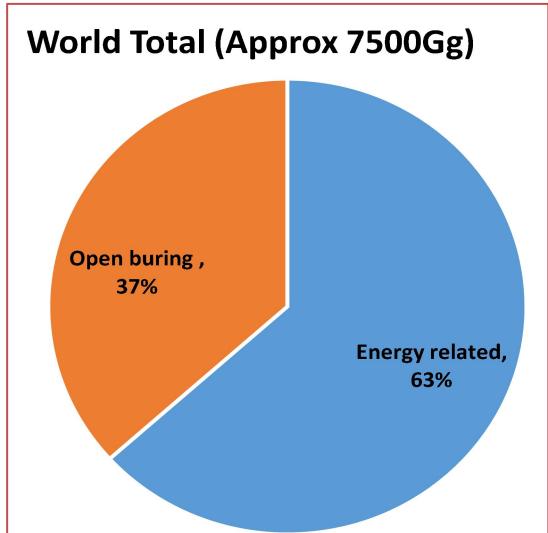


- ◆ 2015年11月20日的巴黎气候大会，习近平主席在“国家自主贡献”中提出将于2030年左右使二氧化碳排放达到峰值并争取尽早实现
- ◆ In November 20, 2015, President Xi Jinping described China's Intended Nationally Determined Contributions. China pledges to peak CO<sub>2</sub> emissions by around 2030 and strive to achieve it as early as possible.

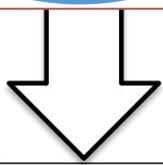
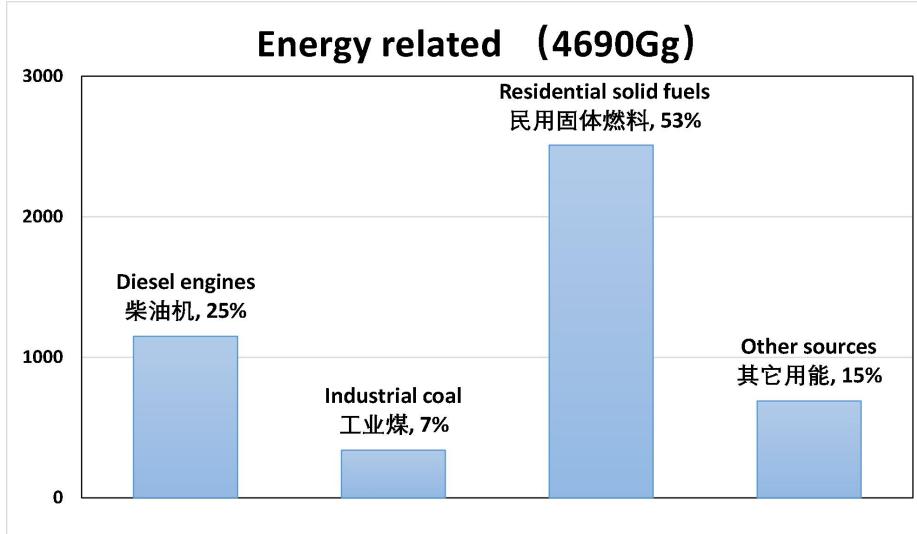
# 为什么是中国 Why chose China for SLCPs assessment



(Bond et al., 2013)

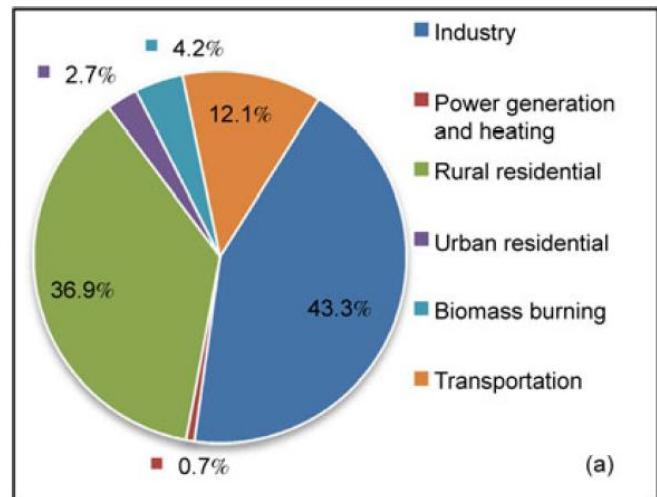


(GAINS, Bond et al., 2013)



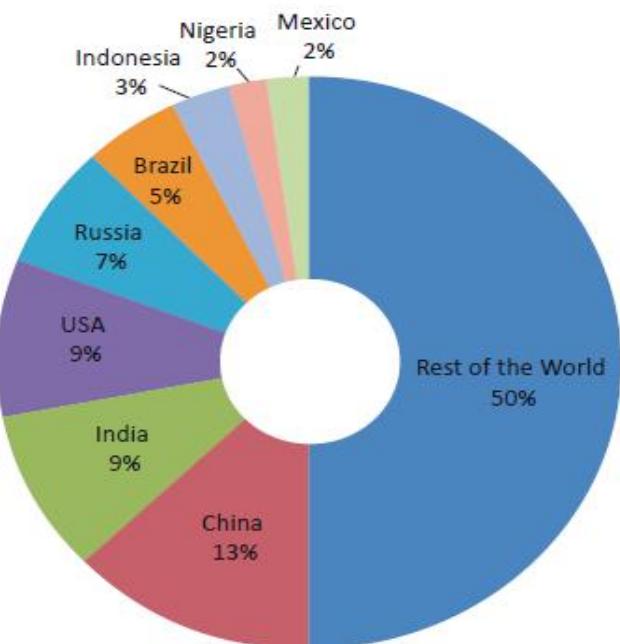
China (Approx. 1500Gg)

Year	Industry	Power	Residential	Biomass burning	Transportation	Total
2008	695.0	11.6	636.0	67.7	194.6	1604.9
2000	88.9	6.8	780.7	112.4	59.8	1048.6
2000	99	18	938		38	1093
2005	610	10	700		190	1510
2006	575	36	1002		198	1811
2007	529	13	651	104	145	1399
2007	646	50.7	988	77.7	188	1957
2008	510	19	888	110	259	1787

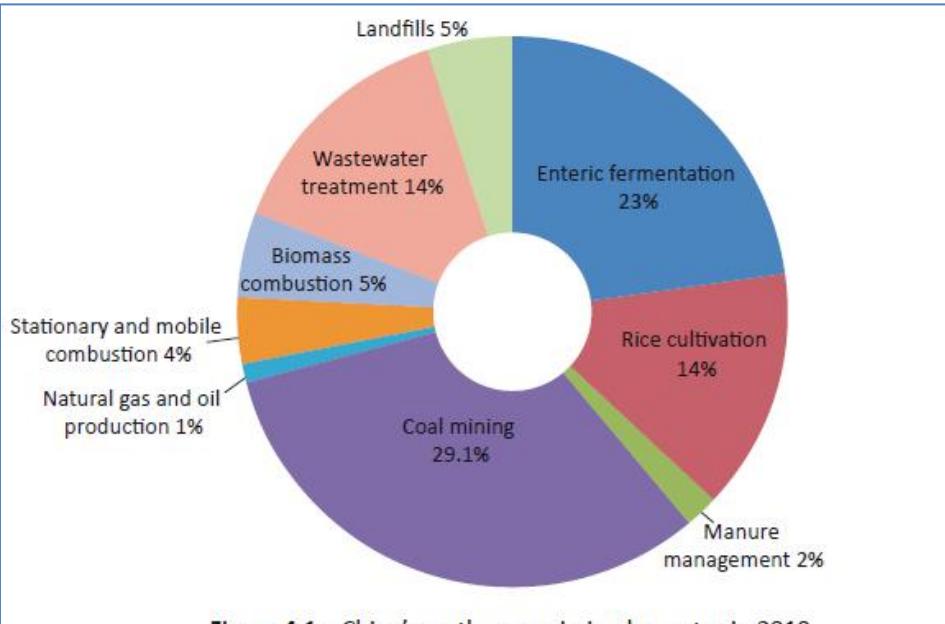


(Zhang Nan et al., 2013)

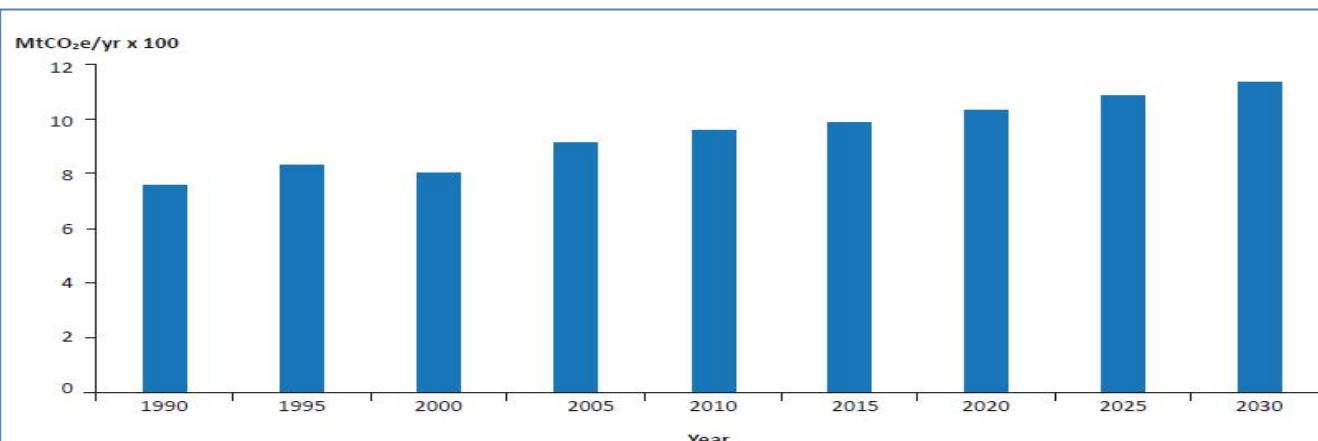
# 为什么是中国 Why chose China for SLCPs assessment



**Figure 4.1a. Global methane emission in 2010**  
Source: Brink et al. (2013)



**Figure 4.1c. China's methane emission by sector in 2010**  
Source: Brink et al. (2013)



**Figure 4.2. Trend and Projection in China's total methane emission, 1990 to 2030**  
Source: USEPA (2012)

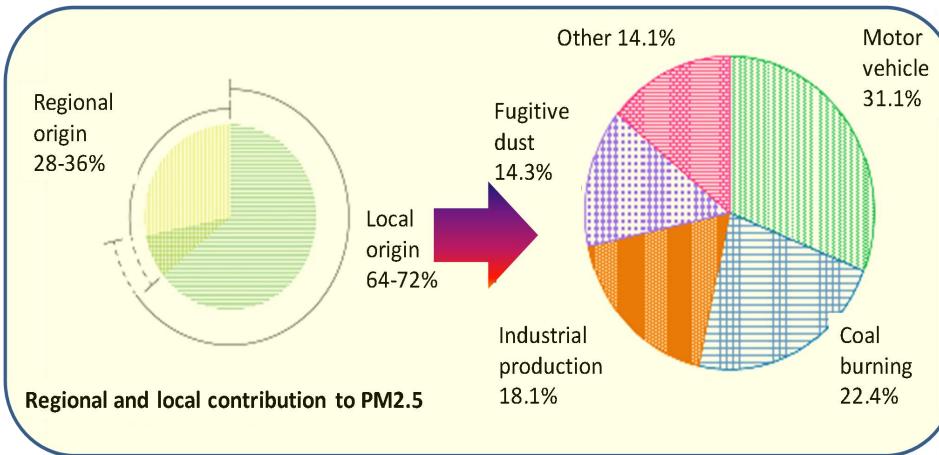
# 为什么是中国 Why chose China for SLCPs assessment



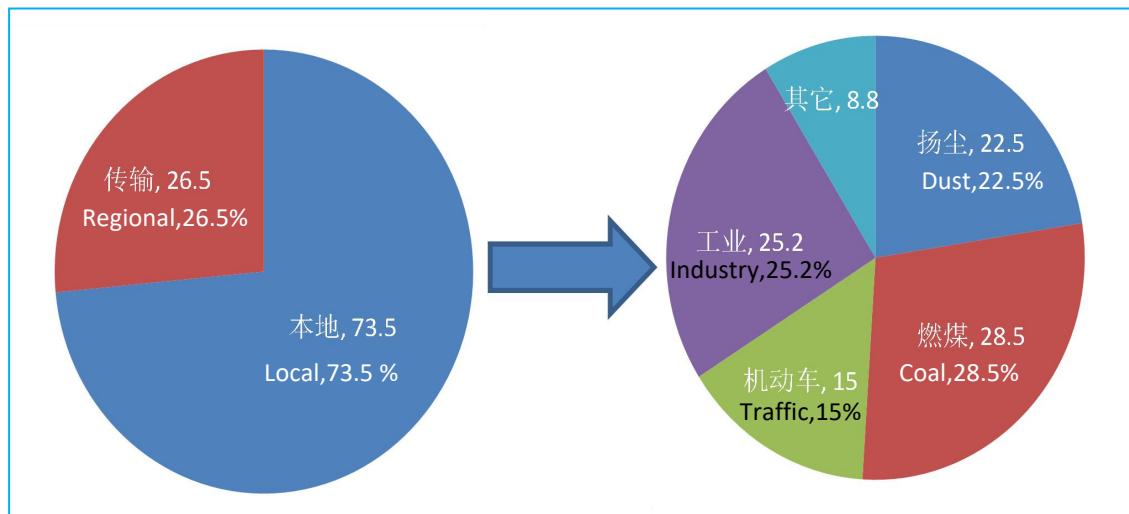
黑碳控制与中国的大气空气污染控制的手段相一致

Win-win measures in China

北京 Beijing



石家庄 Shijiazhuang



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China-Norwegian bilateral BC project: new contribution

# 《UNEP-中国SLCPs报告》简介 Brief introduction



2013.6.19 首次会议，初步交流

First meeting, information exchange

2013.6.19 分配撰写任务

Division of Chapters

2014.2.18 汇总和内审

Compilation and Internal check

2014.2.18 国际专家外审

Global Expert review

2014.7.21 作者会议

Authors' Meeting for response

2014.12.1 发布会取消

Canceled Launch Ceremony

2015.9.18 结题和发布

Project completion and report launch



# 《UNEP-中国SLCPs报告》简介 Brief introduction



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张玉璇（清华大学）

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# 《UNEP-中国SLCPs报告》简介 Brief introduction



32位评审专家：全球范围Globally

32 reviewers: Globally

Hajime Akimoto（空气污染研究亚洲中心）、Joseph Alcamo（德国卡塞尔大学）、Markus Amann（国际应用系统分析研究所）、Nathan Borgford-Parnell（治理与可持续发展研究所）、陈迎（中国社会科学院）、Frank Dentener（欧盟委员会联合研究中心）、Jeroen Dijkman（世界粮农组织）、Bert Fabian（联合国环境规划署）、冯相昭（环境保护部环境与经济政策研究中心）、蒋南青（联合国环境规划署）、Johan C. I. Kyulenstierna（斯德哥尔摩环境研究所/纽约大学）、李风亭（联合国环境规划署）、Mushtaq Ahmed Memon（联合国环境规划署）、Iyngararasan Mylvaganam（联合国环境规划署）、Martina Otto（联合国环境规划署）、Veerabhadran Ramanathan（加利福尼亚大学/圣地亚哥-泰瑞大学）、张世钢（联合国环境规划署）、Drew Shindell（杜克大学）、Leena Srivastava（泰瑞大学）、孙晓璞（治理与可持续发展研究所）、Katsunori Suzuki（日本金泽大学）、Sara Terry（美国环境保护署）、Merlyn VanVoore（联合国环境规划署）、Michael P. Walsh（国际顾问公司）、万薇（亚洲清洁空气）、Martin Williams（伦敦国王学院）、Kaveh Zahedi（联合国环境规划署）、张建平（国家发展和改革委员会宏观经济研究院国际经济研究所）、张金华（联合国环境规划署）、张世秋（北京大学）、周亚敏（中国社会科学院）、Cristina Zucca（联合国环境规划署）。

# 《UNEP-中国SLCPs报告》简介 Brief introduction



## 第一章 前言 Chapter 1 Introduction

论述了为什么开展评估，强调了通过减排短寿命污染物能够实现的各种收益。这些收益对中国的发展很重要。目前，中国的相关政策尚未加以整合，未来需要进一步推进一体化管控，在相关领域实现更大的可持续发展收益，在国家、区域和全球层面实现多方共赢。

*This chapter discusses why we carries out an assessment, highlights the opportunity for achieving benefits from mitigating SLCPs. Whilst these benefits have been shown to be substantial in China, work on SLCPs has not featured in an integrated manner on the policy agenda in China; yet such an approach could yield substantial sustainable development benefits at the national, regional, and global levels.*

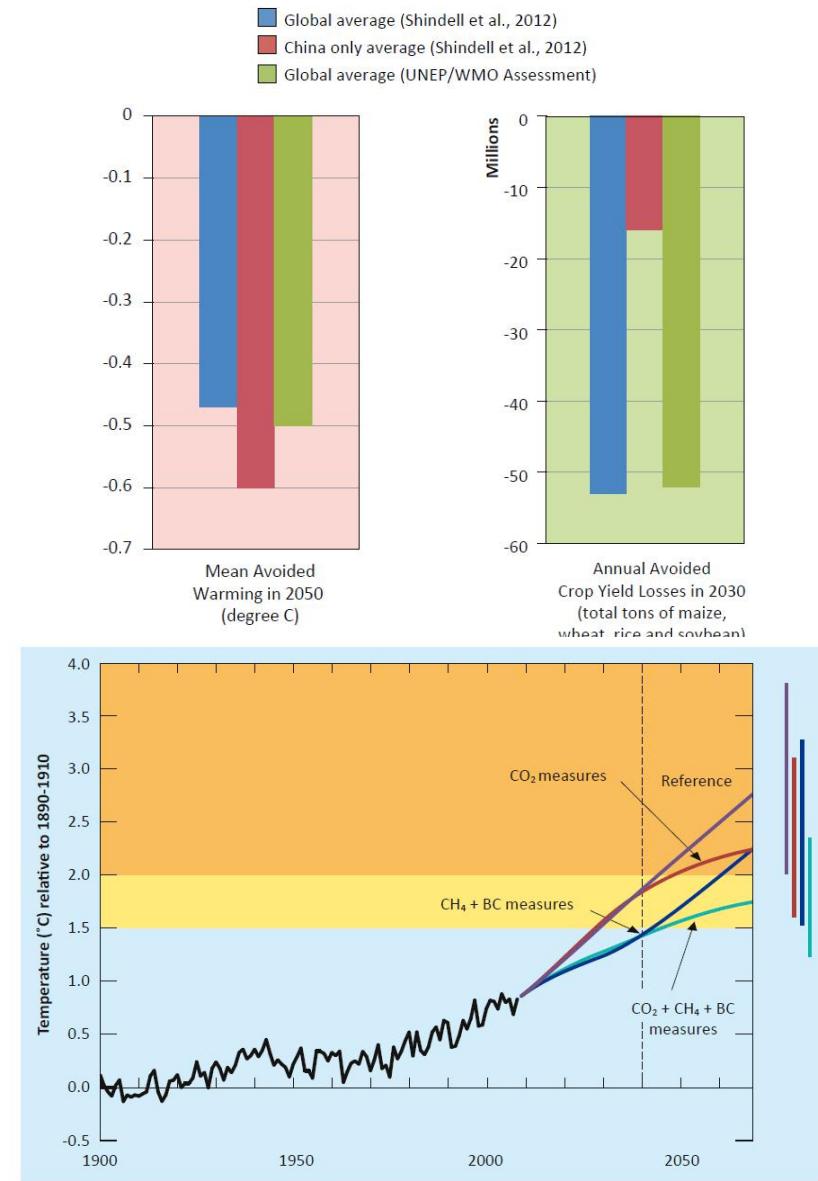


Figure 1.2. Observed deviation of temperature to 2009 and projections under various scenarios

Source: UNEP/WMO, 2011

# 《UNEP-中国SLCPs报告》简介 Brief introduction

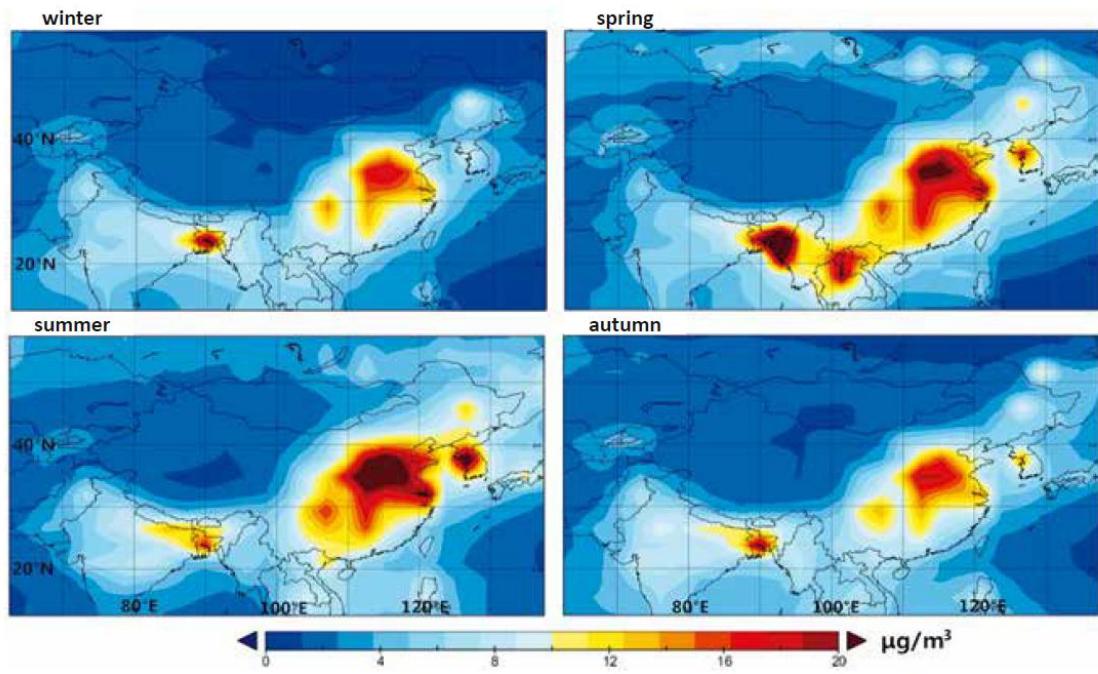
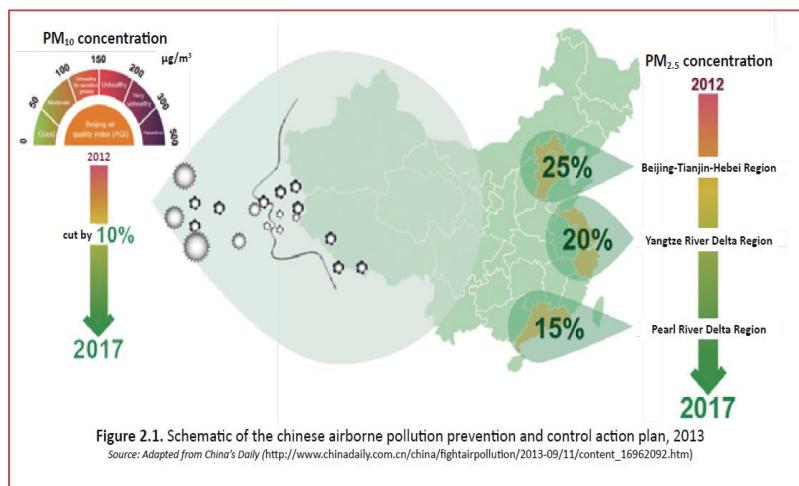


## 第二章 中国空气污染和短寿命气候污染物现状

### Chapter 2 Air pollution and SLCPs in China

强调短寿命气候污染物和空气污染的关系，并将特别关注中国情况。

This chapter highlights the relationship between SLCPs and Air pollution, esp. in the Chinese context.



# 《UNEP-中国SLCPs报告》简介 Brief introduction



## 第三章 黑碳及其影响 Chapter 3 Black carbon and its impacts

本章深入分析中国黑碳排放源、排放趋势和排放预测，并讨论其相关影响

*Chapter 3 looks more closely at black carbon, highlighting its emission sources, trends and projections in China, as well as its impacts.*

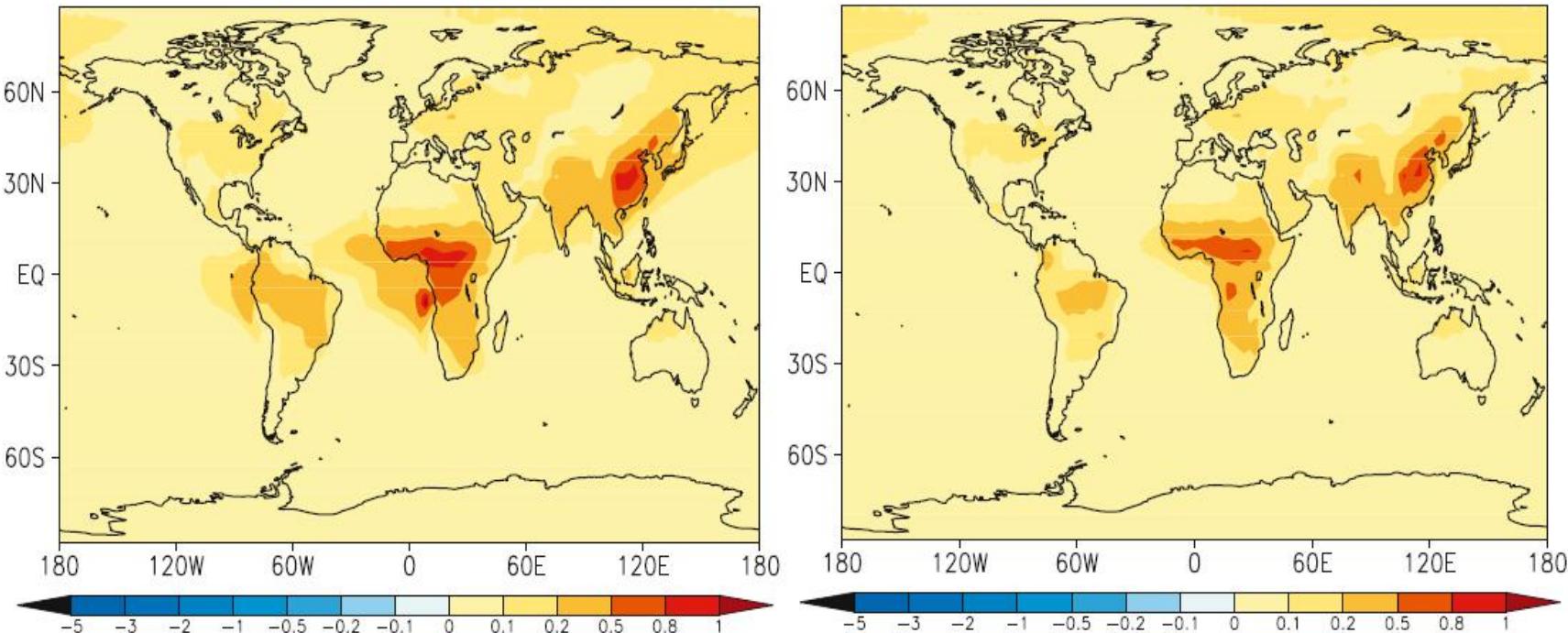


Figure 3.2. Annual mean distributions of the simulated direct radiative forcing ( $\text{W}/\text{m}^2$ ) due to black carbon under all sky (left) and clear sky (right) conditions

Source: Zhang et al. 2012.

# 《UNEP-中国SLCPs报告》简介 Brief introduction



## 第四章甲烷及其影响 Chapter 4 Methane and its impacts

本章深入分析中国甲烷的排放源、排放趋势和排放预测，并讨论其相关影响

*Chapter 4 looks more closely at methane, highlighting its emission sources, trends and projections in China, as well as its impacts.*

Table 4.1. The Emission Inventory of Agricultural Activities in China, 2005

Sectors	CO <sub>2</sub> equivalent (eq. Million tons CO <sub>2</sub> )	Methane (10 <sup>4</sup> tons CH <sub>4</sub> )	Nitrous oxide (10 <sup>4</sup> tons N <sub>2</sub> O)
Rice cultivation	166	792.6	
Agricultural soil	208		67.2
Enteric fermentation	302	1437.9	
Animal manure management	143	286.4	26.6
Total	819	2516.6	93.8

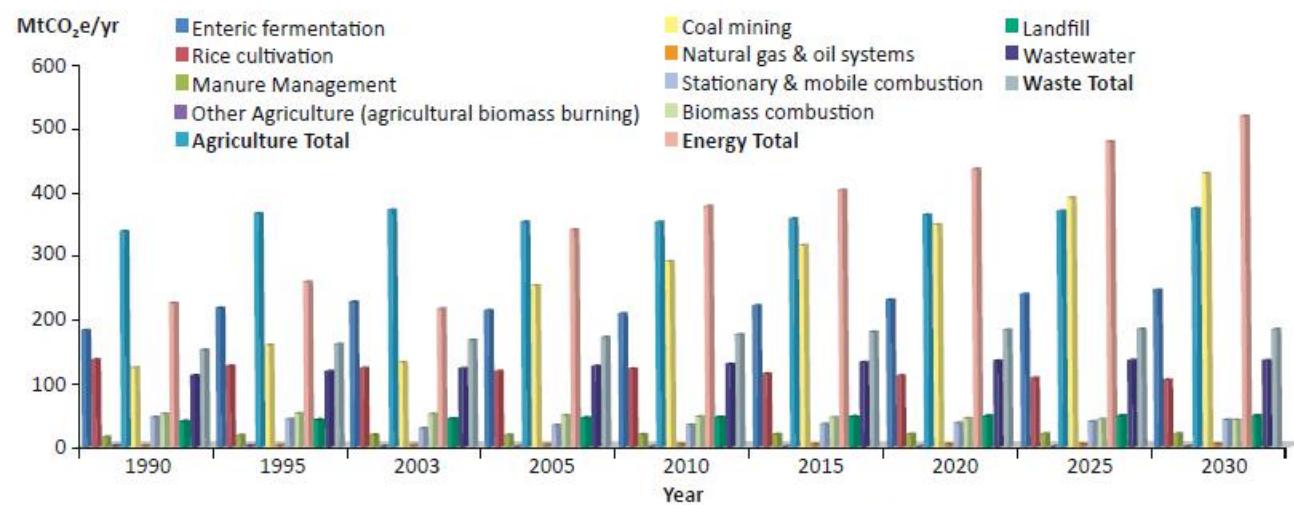


Figure 4.3. Sectoral breakdown of trend and projection of China's methane emission, 1990 to 2030

Source: USEPA (2012)

# 《UNEP-中国SLCPs报告》简介 Brief introduction



## 第五章 减排措施 Chapter 5 Emission reduction measures

本章讨论黑碳和甲烷减排的各项措施，回顾当前中国的各项减排努力，以及未来政策和行动的推进方向。

This chapter *discusses the various mitigation measures for black carbon and methane, reviewing current mitigation efforts in China and highlighting what more could be done.*

Table 5.1. UNEP/WMO (2011) measures for black carbon emission reduction

Measure <sup>1</sup>	Sector
<b>BC measures (affecting BC and other co-emitted compounds)</b>	
Diesel particle filters for road and off-road vehicles	Transport
Elimination of high-emitting vehicles in road and off-road transport	
Replacing coal by coal briquettes in cooking and heating stoves	
Pellet stoves and boilers, using fuel made from recycled wood waste or sawdust, to replace current wood-burning technologies in the residential sector in industrialized countries	Residential
Introduction of clean-burning biomass stoves for cooking and heating in developing countries <sup>2,3</sup>	
Substitution of clean-burning cookstoves using modern fuels for traditional biomass cookstoves in developing countries <sup>2,3</sup>	
Replacing traditional brick kilns with vertical shaft kilns and Hoffman kilns	
Replacing traditional coke ovens with modern recovery ovens, including the improvement of end-of-pipe abatement measures in developing countries	Industry
Ban of open field burning of agricultural waste <sup>2</sup>	Agriculture

# 《UNEP-中国SLCPs报告》简介 Brief introduction



## 第五章 减排措施 Chapter 5 Emission reduction measures



Simple stove



Improved stove

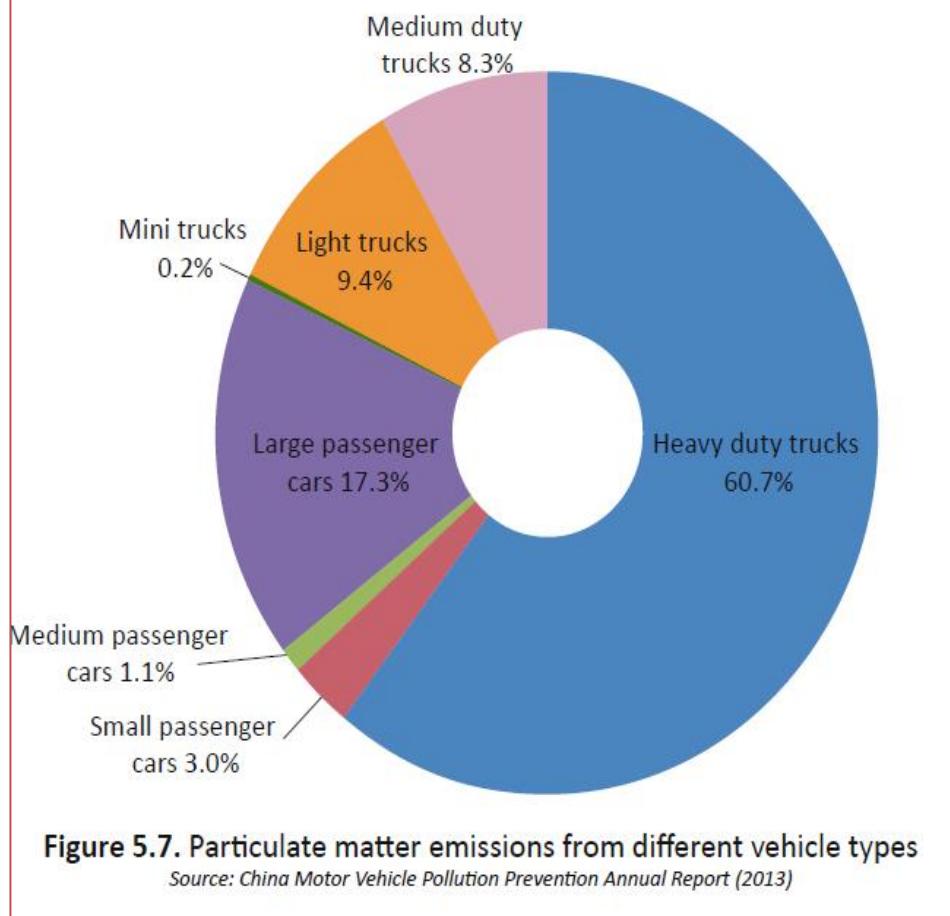


Coal chunk



Coal briquette

Figure 5.4 Coals and stoves used by Zhi et al. (2009)  
photo credit: Guorui Zhi



# 《UNEP-中国SLCPs报告》简介 Brief introduction



## 第五章 减排措施 Chapter 5 Emission reduction measures

Table 5.3. UNEP/WMO (2011) measures for methane emission reduction

Measure <sup>1</sup>	Sector
<b>Methane measures</b>	
Extended pre-mine degasification and recovery and oxidation of CH <sub>4</sub> from ventilation air from coal mines	Extraction and transport of fossil fuel
Extended recovery and utilization, rather than venting, of associated gas and improved control of unintended fugitive emissions from the production of oil and natural gas	
Reduced gas leakage from long-distance transmission pipelines	
Separation and treatment of biodegradable municipal waste through recycling, composting and anaerobic digestion as well as landfill gas collection with combustion/utilization	Waste management
Upgrading primary wastewater treatment to secondary/tertiary treatment with gas recovery and overflow control	
Control of CH <sub>4</sub> emissions from livestock, mainly through farm-scale anaerobic digestion of manure from cattle and pigs	Industry
Intermittent aeration of continuously flooded rice paddies	

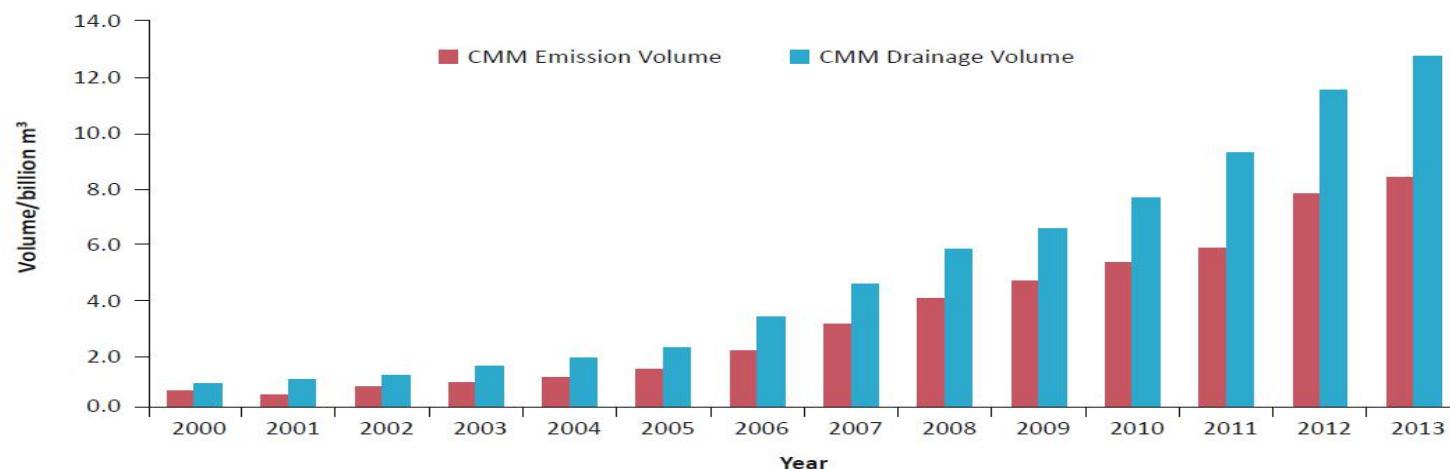


Figure 5.9. Direct emission (unutilized) and drainage volume of CMM in China, 2000-2013

Source: China Coal Information Institute

# 《UNEP-中国SLCPs报告》简介 Brief introduction



## 第六章 政策建议 Chapter 6 Implications for policy

### 1 未来行动选择 Options for future action

A 居民取暖和烹饪 Domestic heating and cooking

B 工业部门 Industry

C 交通部门 Transport

D 露天焚烧 Open burning

E 农业部门 Agriculture

F 煤矿瓦斯排放 Coal mine emissions

G 废弃物管理 Waste management

### 2 推动转型 Delivering Change

A 强化政策制定过程中的数据基础 Strengthen the information base for policy formulation

B 建立一套SLCPs的管理和计划体系 Develop a regulatory and planning systems for SLCPs

C 加强国际交流与合作 Strengthen international communication and cooperation

### 3 下一步行动 Next steps

强调应树立协同控制的理念，并落实于行动中 Highlights the co-control mechanism for air pollutants and GHGs and put this conception into practice

# Outline 报告提纲

- 为什么是中国

Why chose China for SLCPs assessment

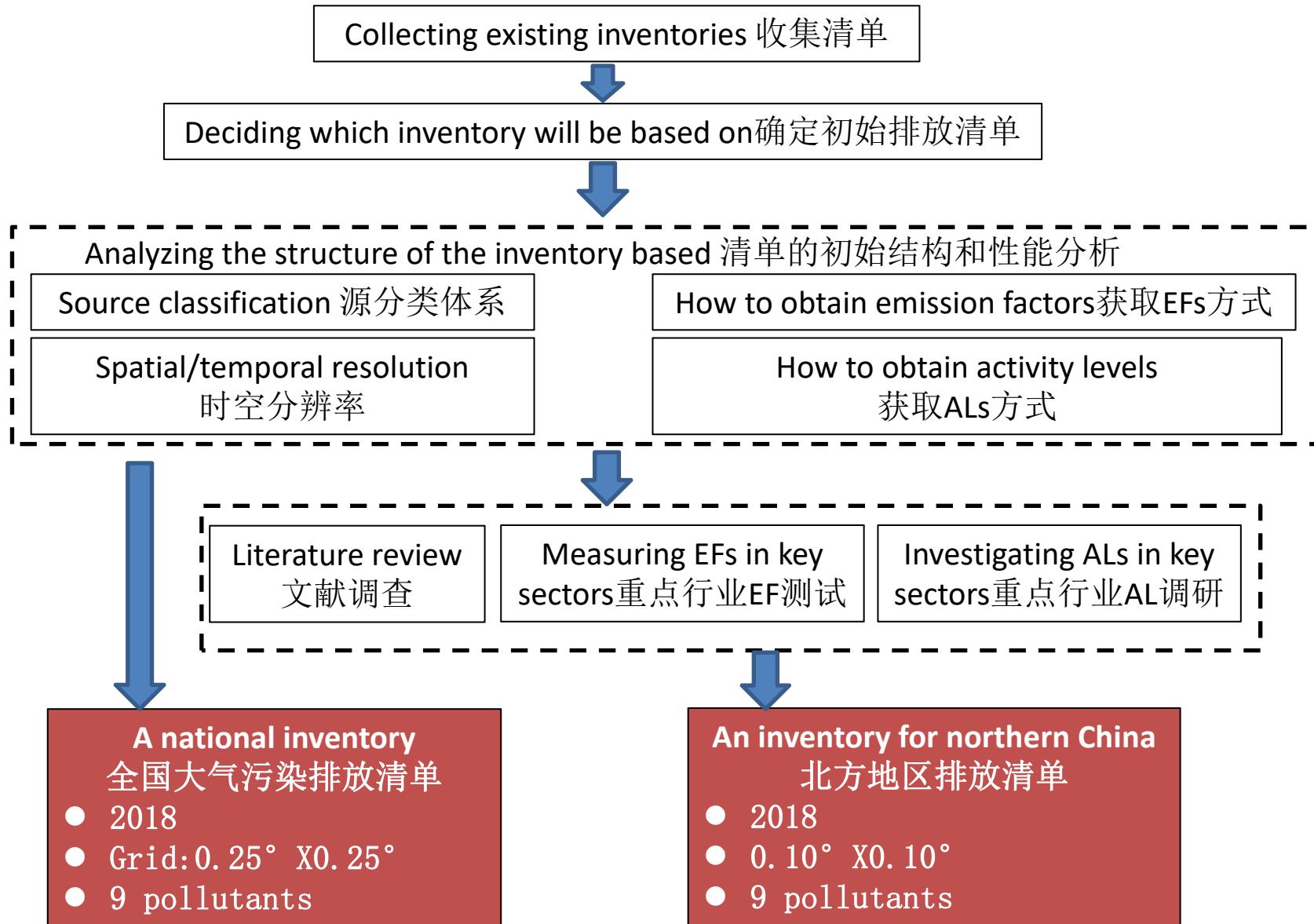
- 《UNEP-中国SLCPs报告》简介

Brief introduction of the *UNEP-China SLCPs Report*

- 中挪双边黑碳项目：做出新贡献

China-Norwegian bilateral project on BC: new contribution

## 当前进展：方法框架 Methodological framework Designed



## A) 排放因子测试实验：

在河北省唐山市进行不同煤种的排放放因子测试工作

Emission factor measurement: In-door measurement of emission factors of different coals

多指标测试平台: An In-door test system



十余种煤: Various coals



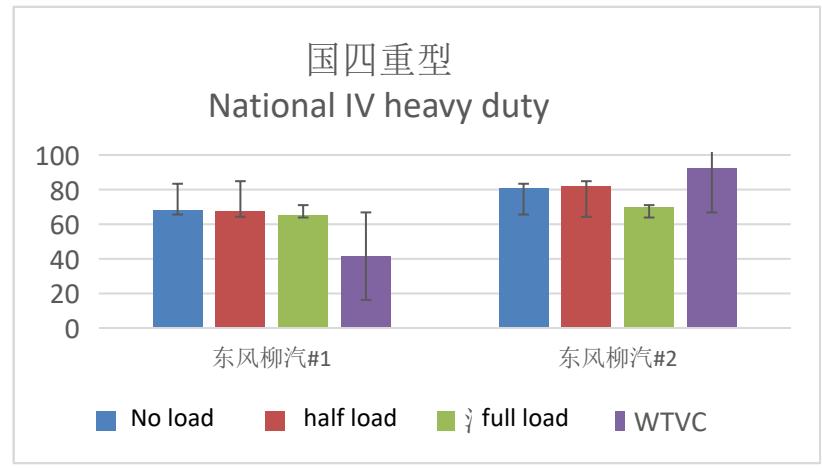
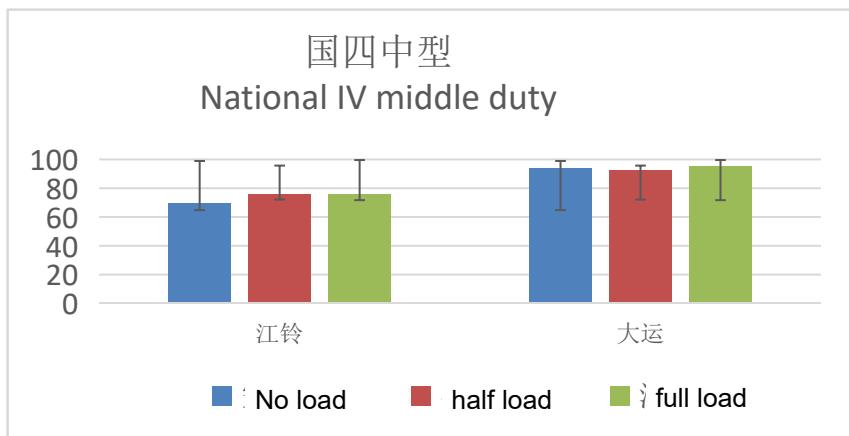
正在对测试过程的各种信息进行处理，最终计算结果将用于校正文献排放因子  
Data of measurements are under processing and the calculated results will help to improve the emission factors extracted from literature

# 黑碳项目：做出新贡献BC project: new contribution



## A)排放因子测试实验:

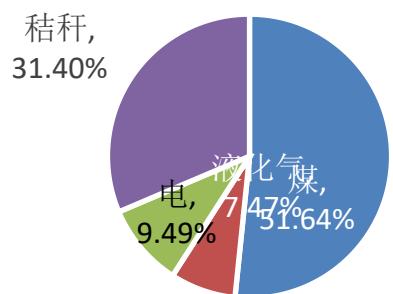
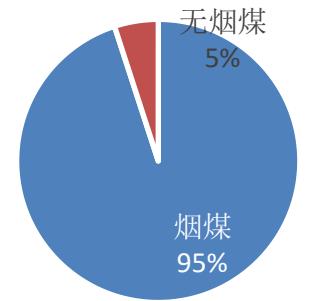
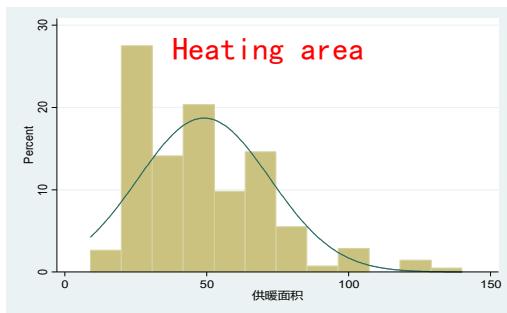
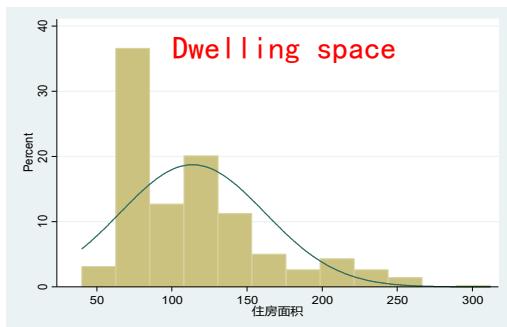
### Emission factor measurement: National IV Emission Level Heavy Vehicles



# 黑碳项目：做出新贡献BC project: new contribution

## B) 活动水平调研 The investigation of activity level organized

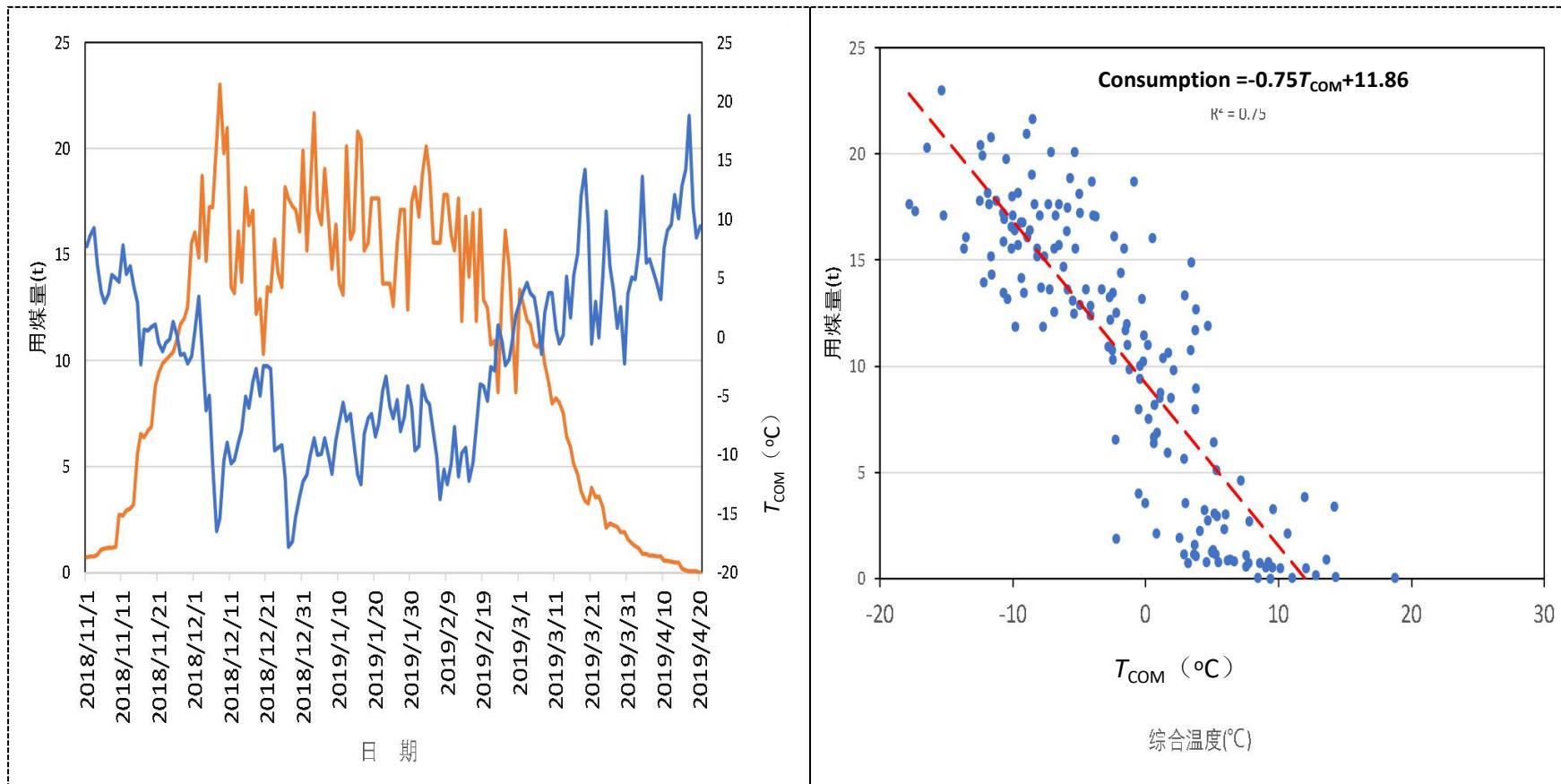
第一种方式：入户调查 First manner: into household questionnaires



# 黑碳项目：做出新贡献BC project: new contribution

## B) 活动水平调研 The investigation of activity level organized

第二种方式：创立算法 Second manner: Establish an algorithm

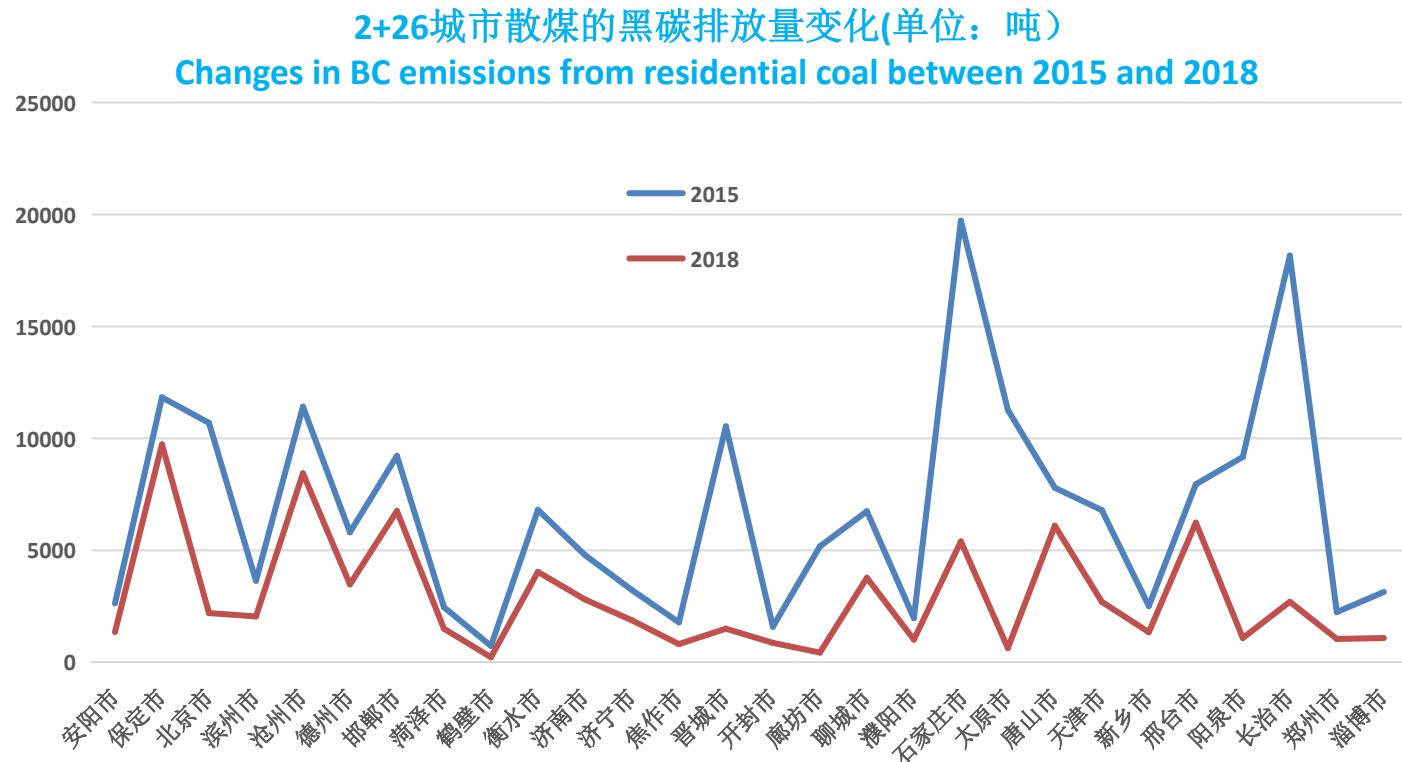


# 黑碳项目：做出新贡献BC project: new contribution



京津冀及周边散煤黑碳排放在快速降低中

Beijing-Tianjin-Hebei cluster is undertaking a rapid decline in BC emissions due to the promotion of clean energy for coal



谢 谢！

Thank You !



# 中国柴油机/车排放污染管控措施

王燕军

中国环境科学研究院

2020年12月4日

# 目 录

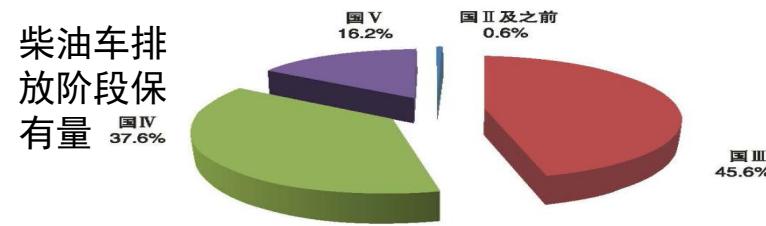
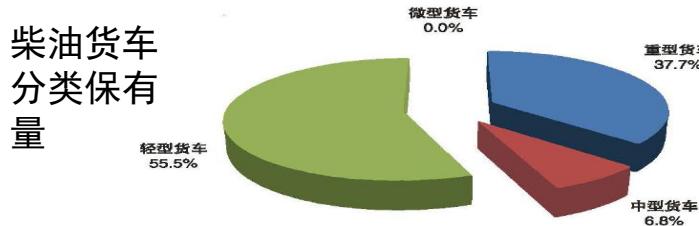
- 01 柴油机/车排放状况**
- 02 柴油机/车污染防治法律、制度**
- 03 柴油货车污染治理攻坚行动计划**
- 04 工作进展**
- 05 下一步工作设想**



# 中国柴油机/车排放状况

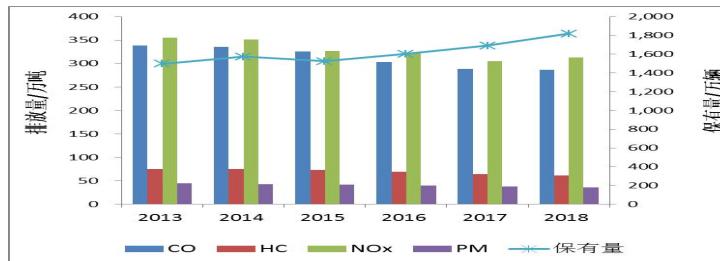
# 我国柴油车排放状况

- 到2018年底，我国机动车保有量为3.27亿吨，汽车保有量2.4亿辆；柴油车2103万辆，柴油货车1818万辆，占总柴油车的86.4%，占总汽车保有量占比7.9%，2013-2018年柴油货车年均增长率4%左右。

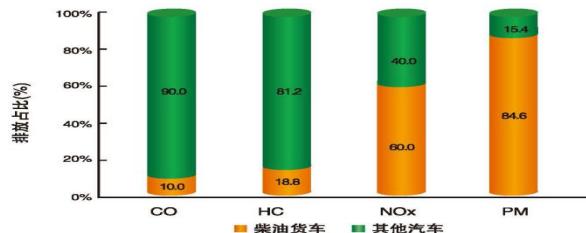


- 2018年柴油货车NOx313.4万吨、PM35.7万吨，分别占汽车排放量的60%和84.6%。长途货车等营运车辆由于行驶里程数高，维护保养不正规，运行环境恶劣，部分车主劣质油品的使用，造成的污染排放问题十分突出。

不同排放阶段柴油车污染物分担率



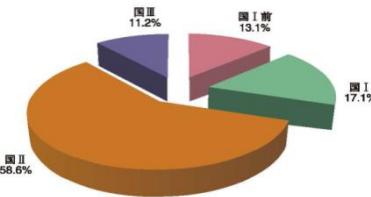
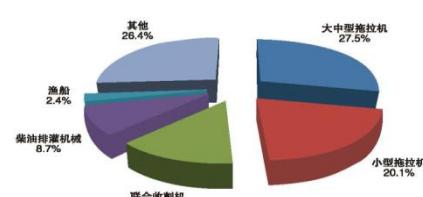
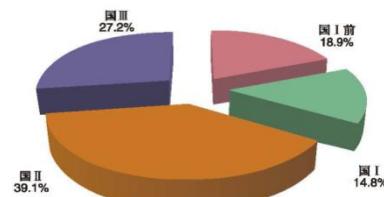
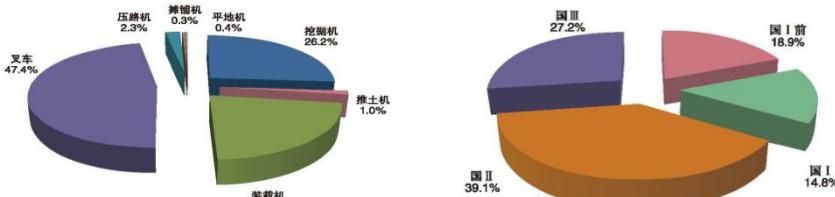
柴油货车占汽车总排放量分担率



# 我国非道路柴油机污染问题-1

## 非道路移动源保有量或活动水平状况

年份	工程机械保有量	农业机械保有量***	农业机械柴油总动力	机动渔船保有量	船舶保有量	铁路机车拥有量	飞机起降架次
	万台	万台	万千瓦	万艘	万艘	万台	万架次
2011	525.5	4983.7	78536.3	301.60	17.9	2.0	598.0
2012	584.8	5112.8	82365.0	348.80	17.9	2.0	660.3
2013	636.5	5099.1	84541.0	374.03	17.3	2.1	731.5
2014	677.6	5158.2	86717.0	399.26	17.2	2.1	793.3
2015	690.8	5205.1	89783.8	416.34	16.6	2.1	856.6
2016	700.0	3960.0	75220.0	433.28	16.0	2.1	923.8
2017	720.0	4020.0	76776.3	460.0	14.5	2.1	1024.9
2018	760	4025	78168.9	490	13.7	2.1	1108.8

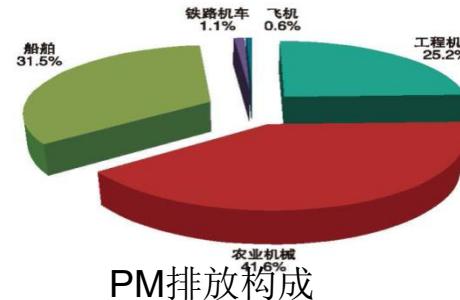
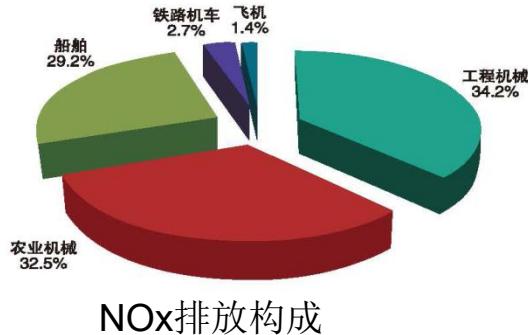


分类、分排放阶段工程机械

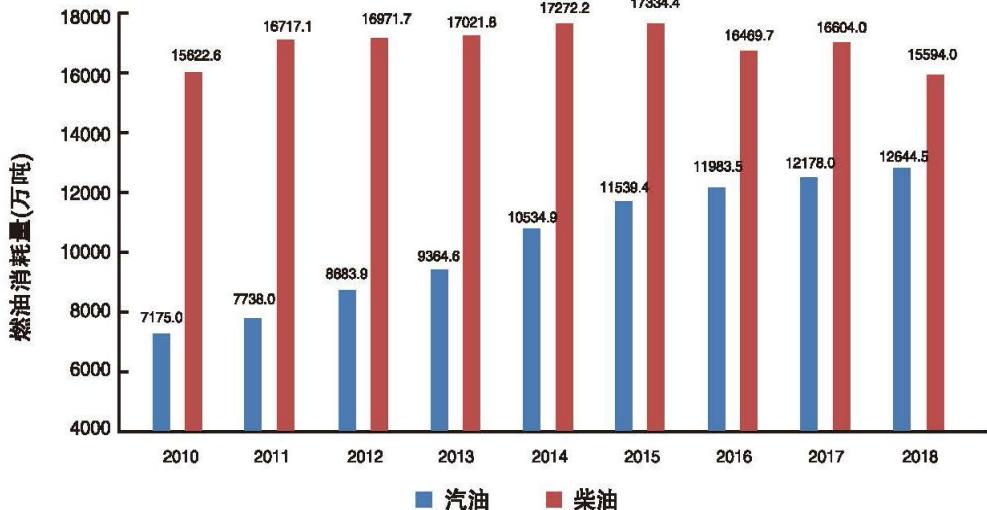
分类、分排放阶段农业机械

# 我国非道路柴油机污染问题-2

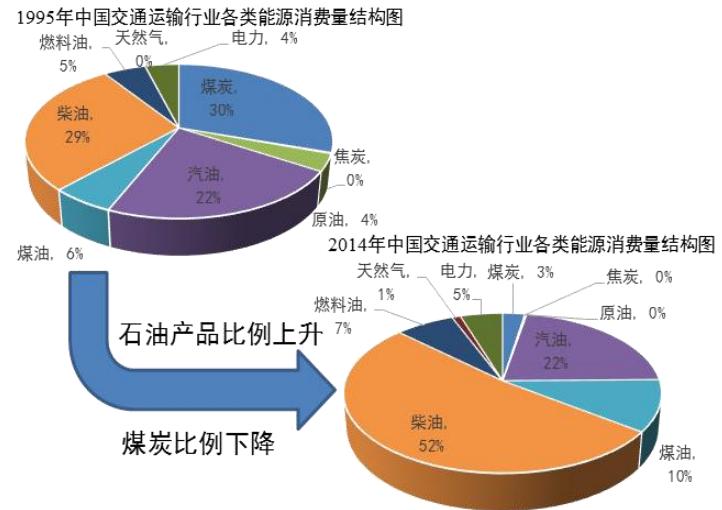
- 2018年，非道路移动源排放二氧化硫 (SO<sub>2</sub>) 59.5万吨，碳氢化合物 (HC) 76.2万吨，氮氧化物 (NO<sub>x</sub>) 562.1万吨，颗粒物 (PM) 44.5万吨；
- 非道路移动机械污染物排放主要贡献者为农业机械和工程机械，冒黑烟的问题突出；
- 船舶燃料（渣油、重油等）含硫量是车用油的100-3500倍，长三角、珠三角及沿海沿江地区船舶港口排放已成为大气污染的重要来源之一，但管控措施还较为薄弱。



# 燃油消耗历年变化情况



2010-2018成品汽柴油消费量



1995年和2014年交通运输行业能源利用变化

- ▶ 柴油消费量保持在1.5-1.6亿吨左右；柴油车、非道路移动机械用柴油机各占1/3、1/3。



# 柴油机/车污染防治 法律、制度

# 大气法-柴油机排放监管制度

## 达标排放

### 第51条

机动车船、非道路移动机械不得超过标准排放大气污染物。

禁止生产、进口或者销售大气污染物排放超过标准的机动车船、非道路移动机械。



中华人民共和国  
大气污染防治法

## 信息公开

### 第52条

机动车、非道路移动机械生产企业应当对新生产的机动车和非道路移动机械进行排放检验经检验合格的，方可出厂销售。检验信息应当向社会公开。

省级以上人民政府环境保护主管部门可以通过现场检查、抽样检测等方式，加强对新生产、销售机动车和非道路移动机械大气污染物排放状况的监督检查。工业、质量监督、工商行政管理等有关部门予以配合。

## 监督检查

### 第56条

环境保护主管部门应当会同交通运输、住房城乡建设、农业行政、水行政等有关部门对非道路移动机械的大气污染物排放状况进行监督检查，排放不合格的，不得使用。

## 污染控制装置

### 第59条

在用重型柴油车、非道路移动机械未安装污染控制装置或污染控制装置不符合要求，不能达标排放的，应当加装或更换符合要求的污染控制装置。

## 划定限排区

### 第61条

城市人民政府可以根据大气环境质量状况，划定并公布禁止使用高排放非道路移动机械的区域。

## 处罚

### 第109条

违反本法规定，生产超过污染物排放标准的机动车、非道路移动机械的，由省级以上人民政府环境保护主管部门责令改正，没收违法所得，并处货值金额一倍以上三倍以下的罚款，没收销毁无法达到污染物排放标准的机动车、非道路移动机械；拒不改正的，责令停产整治，并由国务院机动车生产主管部门责令停止生产该车型。

# 新生产柴油车环保达标监管



中华人民共和国国家标准  
GB 17691-2018  
重型柴油车污染物排放限值及测量方法  
(中国第六阶段)

Limits and measurement methods for emissions from diesel fuelled heavy-duty vehicles (CHINA VI)  
(发布稿)

2018-06-22 发布 2019-07-01 实施

生态环境部  
发布  
国家市场监督管理总局



中华人民共和国环境保护部  
Ministry of Environmental Protection of the People's Republic of China

准 则 号:	000014072-2018-00783	分 类:	环境管理类/大气/环境管理
发 布 单 位:	开环规〔2018〕1号	生 产 日 期:	2018年07月01日
名 称:	关于开环机动车和非道路移动机械环保信息公开工作的公告	主 题 词:	

## 关于开展机动车和非道路移动机械环保信息公开工作的公告

国环规大气〔2018〕1号

为贯彻落实《大气污染防治法》，加快推进机动车和非道路移动机械环境管理的系统化、科学化、法治化、精细化和信息化，根据国务院关于行政权力衔接、放管结合、优化服务、便民惠民的决策部署要求，我部决定依法开展新生产机动车和非道路移动机械环保信息公开工作。现有关要求公告如下：

### 一、信息公开主体

按照《大气污染防治法》规定，机动车和非道路移动机械生产、进口企业，应当向社会公开其生产、进口机动车和非道路移动机械的环保信息，包括排气检验信息和污染控制技术信息，并对信息公开的真实性、准确性、及时性、完整性负责。

### 二、信息公开内容

- (一) 机动车和非道路移动机械生产、进口企业基本信息；
- (二) 机动车和非道路移动机械污染控制技术信息，具体内容详见附件1；
- (三) 机动车和非道路移动机械排放检验信息，型式检验、生产一致性检验、在用符合性检验和出厂检验信息，包括

非道路移动机械（柴油）环保信息	
第一部分：基本信息	<input checked="" type="checkbox"/>
2. 机动车型号: <input type="checkbox"/>	<input checked="" type="checkbox"/>
4. 机动车牌: <input type="checkbox"/>	<input checked="" type="checkbox"/>
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302. 机动车生产厂质量管理体系: <input type="checkbox"/>	<input checked="" type="checkbox"/>
303. 机动车生产厂	

# 排放信息公开制度



# 信息公开公告

## -国环规大气【2016】3号

# 信息公开查询

## 环保信息公开平台

# 在用符合性检查

- 2000年建立了企业负责、政府监管的汽车环保生产一致性保障制度。企业必须制定汽车环保生产一致性计划，定期报送计划执行情况，声明企业对汽车环保一致性承诺的兑现情况；
- 强化汽车环保一致性监管，生态环境部联合工信、公安、工商、质检等部分建立了机动车环保达标联合监管工作机制，在生产、销售、注册登记、使用等环节强化汽车环保生产一致性监管、处罚、召回等制度；
- 2018年1月，生态环境部对山东凯马汽车制造有限公司、山东唐骏欧铃汽车制造有限公司生产排放超标机动车和污染控制装置弄虚作假行为进行了3800万元处罚；
- 2018年6月，山东省环保厅对德州德工机械有限公司因生产、销售不达标非道路移动机械，机动车进口销售企业青岛奥维俊杉贸易有限公司因未按规定进行环保信息公开，两公司分别被罚款157万元、10万元；



# 在用车环保检验制度

- 多地已制定实施地方机动车环保法规，多个省市成立了机动车环境管理中心；
- 截止2018年底，全国大陆31个省（自治区、直辖市）均已开展机动车环保定期检验工作，检验机构6678家，参加环保定期检验的汽车约1.76亿辆，占保有量的75%以上；
- 北京等城市划定了低排放区域，对高排放车辆和非道路移动机械实施区域限行；
- 在用柴油车检测方法与限值标准更新；



在用车环保检测线



停放地环保抽测



遥感检测



低排区划定





# 柴油货车污染治 理攻坚行动计划

# 计划由来

十九大

中央经济会议

两会

中央财经1次会议

环保大会

党的十九大提出着力解决突出环境问题。

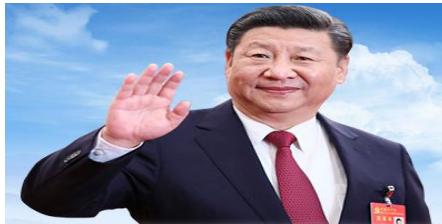
今后3年要重点抓好决胜全面建成小康社会的防范化解重大风险、精准脱贫、污染防治三大攻坚战。

推进污染防治取得更大成效。巩固蓝天保卫战成果。**开展柴油货车超标排放专项治理。**

打好决胜全面建成小康社会三大攻坚战。打好污染防治攻坚战，要打几场标志性的重大战役，打好柴油货车污染治理攻坚战。

要把解决突出生态环境问题作为民生优先领域。

打好污染防治攻坚战时间紧、任务重、难度大，是一场大仗、硬仗、苦仗，必须加强党的领导。



打赢蓝天保卫战；  
打好柴油货车污染  
防治攻坚战；

2017年10月

2017年12月

2018年3月

2018年4月

2018年5月

- 坚持全民共治、源头防治，持续实施大气污染防治行动，打赢蓝天保卫战。
- 打好污染防治攻坚战，要使主要污染物排放总量大幅减少，生态环境质量总体改善，重点是打赢蓝天保卫战，调整产业结构，淘汰落后产能，调整能源结构，加大节约力度和考核，调整运输结构。
- 坚持源头防治，调整“四个结构”，做到“四减四增”。要调整运输结构，减少公路运输量，增加铁路运输量。
- 基本消除重污染天气，还老百姓蓝天白云、繁星闪烁。
- 要抓住重点区域重点领域，突出加强工业、燃煤、机动车“三大污染源”治理，坚决打赢蓝天保卫战。



# 指导思想



以习近平新时代中国特色社会主义思想为指引，全面贯彻落实党的十九大精神，坚持统筹“油、路、车”治理，以京津冀及周边地区、长三角地区、汾渭平原等重点区域为主战场，以车用柴油和尿素质量达标保障为主攻方向，以货物运输结构调整为导向，以高污染高排放柴油货车为重点，建立健全最严格的机动车全防全控环境监管制度，大力实施清洁柴油车、清洁柴油机、清洁运输、清洁油品行动，全链条治理柴油车超标排放，明显降低污染物排放总量，促进城市和区域空气质量明显改善。

The screenshot shows the official website of the Ministry of Ecology and Environment of the People's Republic of China. At the top right is the ministry's logo and name in Chinese and English. Below the logo, the text "政府信息公开" (Government Information Disclosure) is prominently displayed. A detailed table of information is shown, including the document title, file number, category, issuing agency, and date. To the right of the table, a vertical list of other government departments involved in the plan is provided.

名 称	关于印发《柴油货车污染治理攻坚战行动计划》的通知
索 引 号	000014672/2019-00009
分 类	大气环境管理
发布机关	生态环境部
生成日期	2019-01-04

国家发展和改革委员会  
工业和信息化部  
公安部  
财政部  
交通运输部  
商务部

柴油货车污染治理攻坚战行动计划

# 主要任务

## 清洁柴油车行动

1

- 加强新生产柴油货车环保达标监管 (一)
- 加大在用车监督执法力度 (二)
- 强化在用车排放检验和维修治理 (三)
- 加快老旧车辆淘汰和深度治理 (四)
- 推进监控体系建设和应用 (五)
- 推动相关行业集约化发展 (六)

2

## 清洁柴油机行动

- (一) 严格实施排放标准
- (二) 加强排放控制区划定和管控
- (三) 加快治理和淘汰更新
- (四) 强化监督管理
- (五) 推动靠港船舶使用岸电

# 柴油货车 污染治理攻坚战

## 清洁运输行动

3

- 提升铁路货运量 (一)
- 推广高效货运组织方式 (二)
- 促进运输企业集约化发展 (三)
- 优化运输车队结构 (四)

4

## 清洁油品行动

- (一) 加快提升油品质量标准
- (二) 健全燃油及清净增效剂车用尿素管理制度
- (三) 推进油气回收治理
- (四) 强化生产销售和使用环节监管



# 工作进展

# 移动源排放标准升级

- 自2000年我国实施国一标准以来，轻型车、重型车全面实施国五排放标准，新车单车排放量下降了90%以上；
- 轻型车国六、重型车国六排放标准已发布并将逐步实施，移动源排放技术控制水平逐步向发达国家靠近；轻重型柴油车限定了PN排放；
- 国四非道路移动源排放标准（修订版）也将发布，PN的限值要求和OBD远程传输功能也将纳入其中；

新车排放  
标准

新非道路  
移动机械  
排放标准

车型	年份	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	
轻型汽车	燃油车	无控制要求	国Ⅰ		国Ⅱ		国Ⅲ		国Ⅳ		国Ⅴ		国Ⅵ		国Ⅶ		国Ⅷ		国Ⅸ		国Ⅹ		国Ⅺ	
	汽油车	无控制要求	国Ⅰ		国Ⅱ		国Ⅲ		国Ⅳ		国Ⅴ		国Ⅵ		国Ⅶ		国Ⅷ		国Ⅸ		国Ⅹ		国Ⅺ	
	气体燃料车	无控制要求	国Ⅰ		国Ⅱ		国Ⅲ		国Ⅳ		国Ⅴ		国Ⅵ		国Ⅶ		国Ⅷ		国Ⅸ		国Ⅹ		国Ⅺ	
重型汽车	柴油车	无控制要求	国Ⅰ		国Ⅱ		国Ⅲ		国Ⅳ		国Ⅴ		国Ⅵ		国Ⅶ		国Ⅷ		国Ⅸ		国Ⅹ		国Ⅺ	
	汽油车	无控制要求	国Ⅰ		国Ⅱ		国Ⅲ		国Ⅳ		国Ⅴ		国Ⅵ		国Ⅶ		国Ⅷ		国Ⅸ		国Ⅹ		国Ⅺ	
	气体燃料车	无控制要求	国Ⅰ		国Ⅱ		国Ⅲ		国Ⅳ		国Ⅴ		国Ⅵ		国Ⅶ		国Ⅷ		国Ⅸ		国Ⅹ		国Ⅺ	
摩托车	两轮和轻便摩托车	无控制要求	国Ⅰ		国Ⅱ		国Ⅲ		国Ⅳ		国Ⅴ		国Ⅵ		国Ⅶ		国Ⅷ		国Ⅸ		国Ⅹ		国Ⅺ	
	三轮摩托车	无控制要求	国Ⅰ		国Ⅱ		国Ⅲ		国Ⅳ		国Ⅴ		国Ⅵ		国Ⅶ		国Ⅷ		国Ⅸ		国Ⅹ		国Ⅺ	
	低速汽车	无控制要求	国Ⅰ		国Ⅱ		国Ⅲ		国Ⅳ		国Ⅴ		国Ⅵ		国Ⅶ		国Ⅷ		国Ⅸ		国Ⅹ		国Ⅺ	
非道路移动机械	低速货车	无控制要求	国Ⅰ		国Ⅱ		国Ⅲ		国Ⅳ		国Ⅴ		国Ⅵ		国Ⅶ		国Ⅷ		国Ⅸ		国Ⅹ		国Ⅺ	
	船舶发动机	无控制要求	国Ⅰ		国Ⅱ		国Ⅲ		国Ⅳ		国Ⅴ		国Ⅵ		国Ⅶ		国Ⅷ		国Ⅸ		国Ⅹ		国Ⅺ	
	非道路手持式小型汽油发动机	无控制要求	国Ⅰ		国Ⅱ		国Ⅲ		国Ⅳ		国Ⅴ		国Ⅵ		国Ⅶ		国Ⅷ		国Ⅸ		国Ⅹ		国Ⅺ	
非道路移动机械	手持式小型汽油发动机	无控制要求	国Ⅰ		国Ⅱ		国Ⅲ		国Ⅳ		国Ⅴ		国Ⅵ		国Ⅶ		国Ⅷ		国Ⅸ		国Ⅹ		国Ⅺ	
	手持式小型汽油发动机	无控制要求	国Ⅰ		国Ⅱ		国Ⅲ		国Ⅳ		国Ⅴ		国Ⅵ		国Ⅶ		国Ⅷ		国Ⅸ		国Ⅹ		国Ⅺ	
	船用发动机	无控制要求	国Ⅰ		国Ⅱ		国Ⅲ		国Ⅳ		国Ⅴ		国Ⅵ		国Ⅶ		国Ⅷ		国Ⅸ		国Ⅹ		国Ⅺ	

	基准质量(RM)/ (kg)	限值								
		CO/ (mg/km)	CO <sub>2</sub> / (g/km)	THC/ (mg/km)	NMHC/ (mg/km)	NOx/ (mg/km)	N <sub>2</sub> O/ (mg/km)	PM/ (mg/km)		
第一类车	-	全部	500	tbd	100	68	60	tbd	4.5	$6.0 \times 10^{11}$
	I	RM≤1305	500	tbd	100	68	60	tbd	4.5	$6.0 \times 10^{11}$
第二类车	II	1305<RM≤1760	630	tbd	130	90	75	tbd	4.5	$6.0 \times 10^{11}$
	III	1760<RM	740	tbd	160	108	82	tbd	4.5	$6.0 \times 10^{11}$

## 轻型车国六排放标准限值

试验	CO (mg/kWh)	THC (mg/kWh)	NMHC (mg/kWh)	CH <sub>4</sub> (mg/kWh)	NO <sub>x</sub> (mg/kWh)	NH <sub>3</sub> (ppm)	PM (mg/kWh)	PN (#/kWh)
WHCTC 工况 (CI <sup>(1)</sup> )	1500	130	—	—	400	10	10	$8.0 \times 10^{11}$
WHTC 工况 (CI <sup>(1)</sup> )	4000	160	—	—	460	10	10	$6.0 \times 10^{11}$
WHTC 工况 (PI <sup>(2)</sup> )	4000	—	160	500	460	10	10	$6.0 \times 10^{11}$

<sup>(1)</sup>CI=压燃式发动机  
<sup>(2)</sup>PI=点燃式发动机

## 重型车国六排放标准限值

# 加大在用车监督执法力度

## ➤ “天地车人”一体化监控体系

天

道路遥感  
黑烟抓拍  
企业视频  
路边停靠上

地

机动车环保定期检验  
检验机构三级联网

车

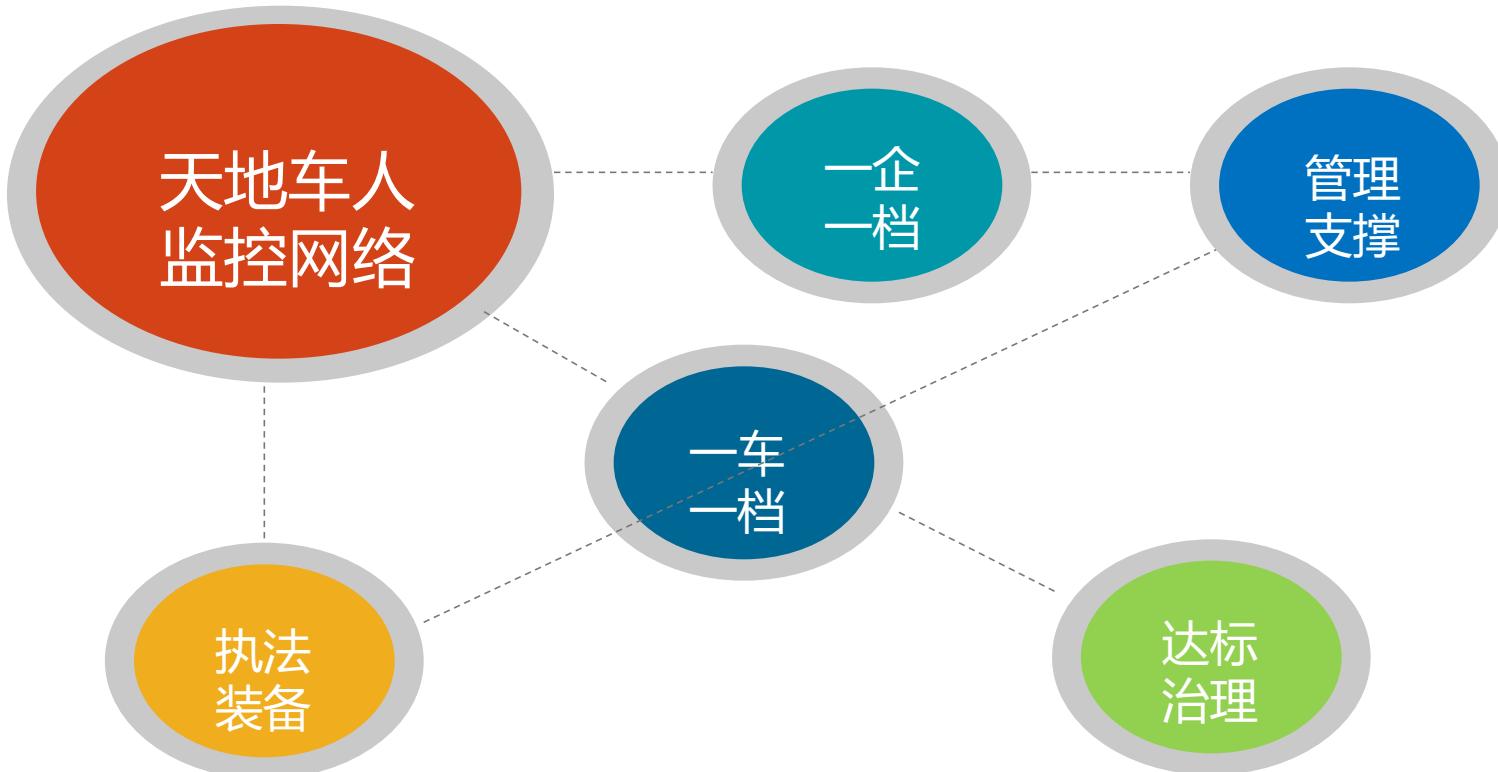
单车实施排放监测  
卫星精准定位  
加油位置监控

人

路检路查  
停放地监督抽测



# 监控思路



# 道路沿线车辆监管

- 在主要物料输送地、交通道路沿线等地安装车流量视频监控和黑烟抓拍、遥感系统；



遥感系统



遥测与抓拍



HJ845-2017（在用柴油车  
遥感检测方法及技术要求）

# 工业企业运输监控

- 对工业企业重型柴油车的运行情况利用高清摄像机进行监控；



厂门入口

192.168.11.1 - 远程桌面连接  
宝龙汽车污染防治设备联网监管控制软件V1.0  
控制台(C) 设置(S) 管理(M) 帮助(H) 高级 摄像 红外 图像 退出 192.111 3

2018年03月14日 星期三 15:26:46

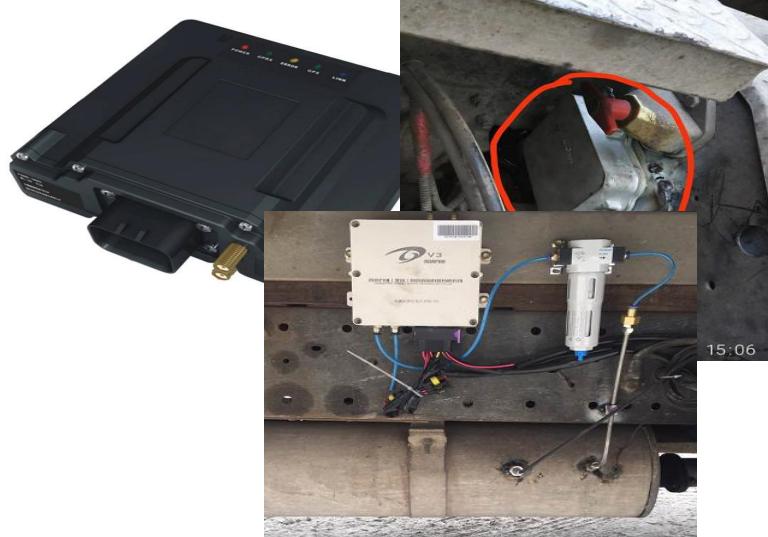
编号 :3911  
车牌号:冀B0921F  
颜色 :黄  
置信度:87.00  
车速 :44.31  
加速度:-0.52  
状态 :合格

状态	时间	车牌号	颜色	CO	CO2	HC	NO
合格	15:27:26	冀B0921F	黄	----	----	----	----
合格	15:27:05	无法识别	其他	----	----	----	----
合格	15:27:02	冀B00032	黄	----	----	----	----
合格	15:26:38	无法识别	其他	----	----	----	----
合格	15:26:32	无法识别	其他	----	----	----	----
合格	15:26:30	冀B0921F	黄	----	----	----	----
合格	15:25:23	无法识别	其他	----	----	----	----
合格	15:25:18	无法识别	其他	----	----	----	----
合格	15:25:15	冀B0921F	黄	----	----	----	----

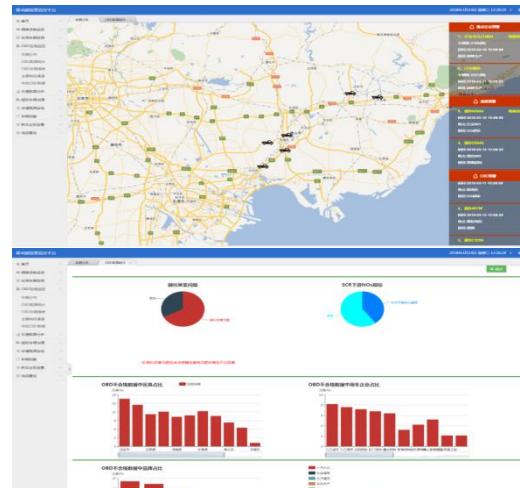
监控系统

# 车辆远程监控

- ▶ 构建重型柴油车车载诊断系统远程监控系统，利用OBD车载终端技术了解车辆尾气控制系统是否正常工作（NOx浓度、尿素液位变化等），实现重点车辆实时监控；



OBD系统



远程监控



## 重型车远程排放监控技术规范 第1部分：总则

Technical specifications of remote emission supervision system for heavy-duty vehicles

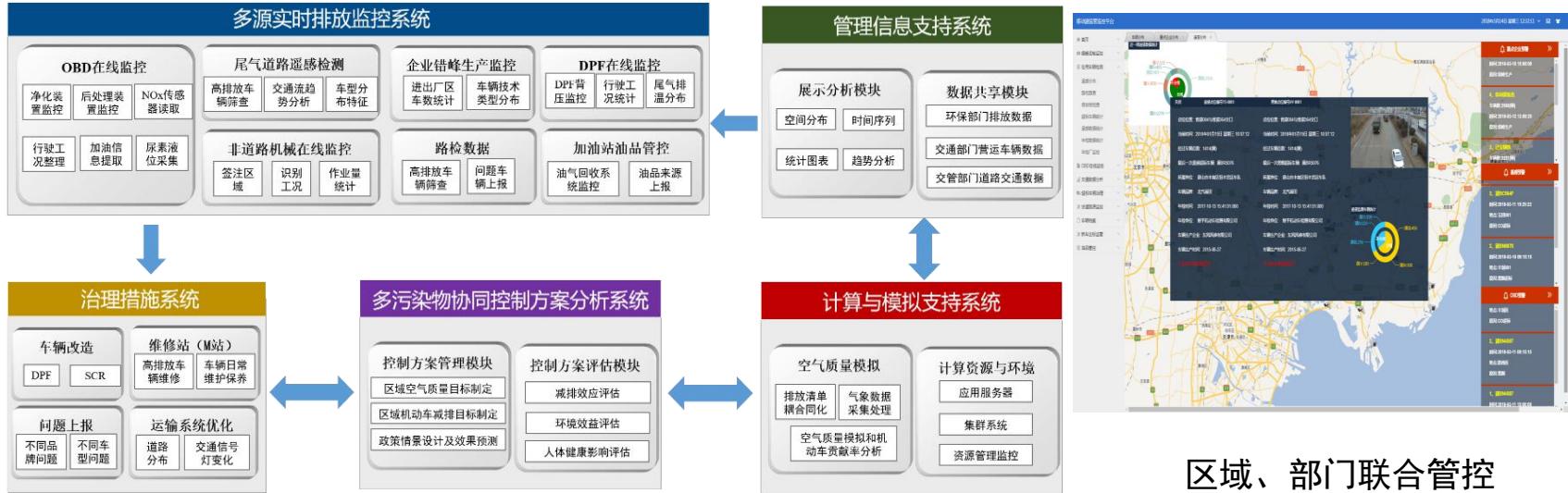
PART1: General principle

《征求意见稿》

2020-01-01发布 2020-01-01实施  
生态环境部发布

技术规范（征求意见稿）

# 监控平台-大数据用于管理决策

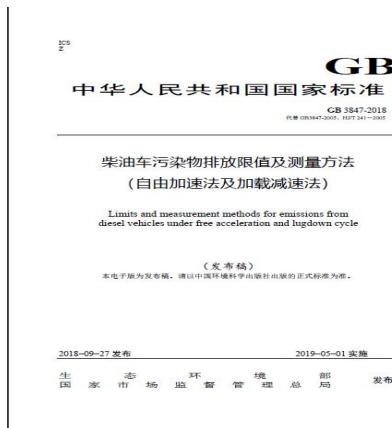


区域、部门联合管控

“监管-治理-评估” 闭环政策控制系统

- 多源实时排放监控系统、治理措施系统、多污染物协同控制方案分析系统、计算与模拟支持系统和管理信息支持系统；
- 结合排放清单、排放测试和微站观测等的综合分析决策平台；
- 研究制定不同的管控措施，支撑大气污染防治的科学决策和精准施策；

# 强化在用车排放检验和维修治理



GB3847-2018



GB36886-2018

- 加强排放检验机构监督管理；
- 建立完善排放检验与维护制度（I/M制度）；
- 加大维修单位监督管理力度；

- 生态环境部联合国家认监委对机动车环保检测机构开展双随机检查；
- 生态环境部印发在用柴油车、非道路移动柴油机械烟度限值和测量方法；
- 《生态环境部 交通运输部 市场监管总局关于建立实施汽车排放检验与维护制度的通知》（环大气〔2020〕31号）

# 加快老旧车辆淘汰和深度治理

## 推进老旧货车淘汰报废



- 重点区域采取经济补偿、限制使用、严格超标排放监管等方式，大力推进国三及以下排放标准营运柴油货车提前淘汰更新，加快淘汰采用稀薄燃烧技术和“油改气”的老旧燃气车辆；
- 各地制定营运柴油货车和燃气车辆提前淘汰更新目标及实施计划。2020年底前，京津冀及周边地区、汾渭平原淘汰国三及以下排放标准营运中型和重型柴油货车100万辆以上；
- 修订《报废汽车回收管理办法》；

# 柴油车深度治理

## ▶ 推动高排放车辆深度治理



加装后处理装置

针对颗粒物超标的机动车和非道路移动机械加装颗粒后处理装置。



调整车辆电子控制策略

针对氮氧化物超标的带有电子控制系统的机动车，与车辆生产企业合作调整电子控

按照政府引导、企业负责、全程监控模式，推进高排放老旧柴油车深度治理。对于超标排放且具备条件的柴油车，应依法加装或更换符合要求的污染控制装置，协同控制颗粒物和氮氧化物排放；政府支持的车辆，应安装远程排放监控设备和北斗导航定位系统，并与生态环境部门联网，实时监控油箱和尿素箱液位变化，以及氮氧化物、颗粒物排放情况，确保治理效果。

# 加强排放控制区划定和管控

- 各城市人民政府依法划定并公布禁止使用高排放非道路移动机械的区域；
- 加强环渤海（京津冀）、长三角、珠三角水域船舶排放控制区管理，调整扩大船舶排放控制区范围；
- 研究探索在船舶排放控制区同步管控船舶硫氧化物、氮氧化物和颗粒物排放；

2018年11月，交通运输部《船舶大气污染物排放控制区实施方案》：明确了控制范围、燃油硫含量、PM和NOx排放控制要求，使用岸电等；



中华人民共和国交通运输部  
Ministry of Transport of the People's Republic of China

政府信息公开专栏

Q 搜索

高级搜索

当前位置：首页 > 海事局

名称：	交通运输部关于印发船舶大气污染物排放控制...	机构分类：	部海事局
索引号：	2018-01619	主题分类：	规范性文件
文号：	交海发〔2018〕168号	行业分类：	船舶管理
公开日期：	2018年12月20日	主关键词：	船舶;大气污染物;排放控制区

交通运输部关于印发船舶大气污染物排放控制区实施方案的通知

【字号：大 中 小】 打印本页

各省、自治区、直辖市、新疆生产建设兵团交通运输厅（局、委），各直属海事局、长江航务管理局、珠江航务管理局：  
现将《船舶大气污染物排放控制区实施方案》印发给你们，请认真贯彻落实。

## 船舶大气污染物排放控制区实施方案

# 强化非道路移动源排放监管



- 非道路移动机械登记和标记管理制度；
- 新生产、销售的工程机械安装北斗定位系统和实时排放监控装置；
- 进入重点区域城市划定的禁止使用高排放非道路移动机械区域内作业的工程机械，推进工程机械安装实时定位和排放监控装置，建设排放监控平台；
- 对使用排放超标机械的施工单位或工地业主由主管部门处罚制度；
- 强化船舶排放控制区内船用燃料油使用监管；



# 非道路移动机械治理和淘汰更新



图片来源：视觉中国 www.vcg.com



- 加快推进老旧工程机械、农业机械治理。
- 推动促进老旧农业机械、老旧工程机械淘汰报废。
- 加快新能源非道路移动机械的推广使用。
- 加快淘汰港口老旧燃油机械，促进采用新能源或清洁能源。
- 推动内河和江海直达船舶治理，限制高排放船舶使用。
- 推进内河船型标准化，鼓励淘汰使用20年以上的内河航运船舶，依法强制报废超过使用年限的船舶。
- 推广使用纯电动船舶和天然气船舶。

# 优化车队结构



- 加快推进城市建成区新增和更新的公交、环卫、邮政、出租、通勤、轻型物流配送车辆使用新能源或清洁能源汽车，重点区域使用比例达到80%；
- 重点区域港口、机场、铁路货场等新增或更换作业车辆主要使用新能源或清洁能源汽车；
- 在物流园、产业园、工业园、大型商业购物中心、农贸批发市场等物流集散地建设集中式充电桩和快速充电桩。为承担物流配送的新能源车辆在城市通行提供便利。
- 2019年，全国新能源汽车产销量分别为124.2万辆和120.6万辆，其中，纯电动汽车产销量分别为102.0万辆和97.2万辆；插电式混合动力汽车产销量分别为22.0万辆和23.2万辆；燃料电池汽车产销量分别为2833辆和2737辆。

# 推广高效货运组织方式



- ✓ 2018年9月，国务院办公厅印发《推进运输结构调整三年行动计划（2018-2020年）》；
- ✓ 2018年10月，交通运输部、生态环境部等印发《贯彻落实国务院办公厅<推进运输结构调整三年行动计划（2018-2020）>的通知》；
- ✓ 2018年7月铁路总公司《2018-2020年货运增量行动方案》；2019年1月，所属18家集团公司与1014家大型企业达成协议货意见：20亿吨，比去年增长30%；

- 推进港口集装箱联运；
- 提高沿海港口集装箱铁路集疏港比例；
- 加快发展多式联运；
- 推进多式联运型和干支衔接型货运枢纽（物流园区）建设；
- 提高铁路运货量；
- 试点开展高铁快运、地铁货运等；
- 优化城市货运和快递配送体系；

- ✓ 2018年全国铁路完成货运40.3亿吨，同比增长9.1%；煤炭、矿石等大宗货物和集装箱运量增加12%以上；
- ✓ 曹妃甸港区：铁路列车8趟，运输铁矿石834.65万吨；

# 提升油气质质量



- 全面供应符合国六标准的车用汽柴油；
- 实现“三油并轨”；
- 制定实施内河部分大型船舶用燃料油标准，
- 修订天然气质量标准；
- 加快制定更加严格的油品质量标准，降低烯烃、芳烃和多环芳烃含量；

- 2018年市场监管总局、国标委：关于废止《普通柴油》强制性国家标准的公告；
- 2019年1月1日起，全国供应国六车用柴油，取消普通柴油，实现与部分船舶用油三油并轨；

年份	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
项目	车用汽油		车用柴油		普通柴油																	
车用汽油	1500		1000		800	(国Ⅰ)	500	(国Ⅱ)		150	(国Ⅲ)	50	(国Ⅳ)	10	(国Ⅴ)	10	(国Ⅵ)					
车用柴油	2000	5000	10000		2000	(国Ⅰ)			350	(国Ⅲ)	30	(国Ⅳ)	10	(国Ⅴ)	10	(国Ⅵ)						
普通柴油	2000	5000	10000		2000	(国Ⅰ)			350	(国Ⅲ)	30	(国Ⅳ)	50	(国Ⅴ)	10	(国Ⅵ)	无此类油					

全国车用燃料标准实施进度



# 下一步工作设想

# 工作建议

- **推进国六标准和非道路国四标准的实施；**
- **深化事前信息公开、事中达标监管、事后环保召回的机动车环保监管体系；**
- **利用科技手段提高在用车环保监测监督，落实I/M制度；**
- **加强非道路移动机械管理；**
- **建立健全船舶等的环保检验制度；**



感谢聆听!